



## 27<sup>th</sup> ANNUAL SYMPOSIUM

*For International Market Authorities on Regulation of Derivatives Products, Markets, and Financial Intermediaries*

### Location

U.S. Commodity Futures Trading Commission  
Headquarters  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

### Schedule

Optional Pre-meeting Program:

- Meeting with US Congressional Staff  
Monday, October 21, 2019 (1:30 pm – 4:00 pm)

Symposium Program

Tuesday, October 22, 2019  
through Friday, October 25, 2019  
(ending 3:00 pm on Friday)

### Symposium Fee

US\$525.00 per person (Covers conference registration, breakfast and lunch)

### Registration Deadline

October 11, 2019 (space is limited)

### Contact

Kevin Piccoli

+1-646-746-9834

[Symposium@cftc.gov](mailto:Symposium@cftc.gov)

*The U.S. Commodity Futures Trading Commission invites you to attend its 27<sup>th</sup> Annual Symposium for International Market Authorities on Regulation of Derivatives, Products, Markets and Financial Intermediaries on October 22 - 25, 2019 (pre-meeting event on Monday October 21<sup>st</sup>). This special program is meant to be a comprehensive educational experience about futures and derivatives regulation. Over its 27 year history, the program has grown in depth of topics and diversification of participant to make it one of the most respected events for regulators.*

*Participants can expect to explore the fundamentals of futures and derivatives regulation and current issues facing regulators with experts from the CFTC, industry and other U.S. regulators. Speakers also will discuss market trends in the global economy. The optional pre-meeting program will entail a visit to the US Congress.*

*This year our **LabCFTC will host a one day of Fintech Forward** which will feature leading industry speakers discussing the future of Fintech as it relates to the financial services industry. We will combine this with a international regulators panel discussing fintech regulatory approaches around the world.*

*We encourage regulators from diverse jurisdictions with markets at various levels of development to attend this symposium. The interplay between representatives of developing and mature markets will lead to a lively and instructive sharing of knowledge, experiences, and opinions that are sure to be beneficial to all participants. **Register by going to [CFTC Registration Link](#)***

### Kevin Piccoli

Deputy Director & Head of Technical Assistance,  
Office of International Affairs

# Program at a Glance

Expert speakers from the CFTC and industry will cover the following topics:

## CFTC Regulatory Structure and Priorities

- Discussion with the CFTC Chairman and Commissioners about the CFTC's Priorities
- Discussion with the National Futures Association (NFA) about NFA's Priorities
- Meeting with Republican and Democrat Congressional Staff
- Introduction to the CFTC and its Legal Regime

## Exchanges, Central Clearinghouses and Intermediaries

- Exchange oversight process with a focus on stress testing
- Discussion of the CFTC's Market Oversight Process
- Latest Trends and Techniques for Overseeing FCMs
- Key Issues Facing FCMs in Today's Global Markets
- An Analysis of Margin 2.0 and Exchange Speedbumps
- Compliance Monitoring and Testing Best Practices
- Trends and Analysis of the Commodities and Derivatives Markets

## International Regulation

- Discussion of Key Issues Facing Global Regulators
- Analysis of IOSCO's Warehouse and Delivery Report
- Current Issues Facing International Regulators

## Enforcement

- CFTC Enforcement Priorities and trends for 2019-2020
- Discussion with firms on how to handle a CFTC request for information and investigation
- Enforcement Case Studies

## FinTech, Cyber and Crypto Currencies

- Report from LabCFTC
- The latest on FinTech and RegTech Directly from the Innovators
- Regulation of Crypto-Assets
- Regulatory Approaches to Cyber Security

## **APPLICATION INFORMATION**

Due to resource and space considerations, we request that each organization register no more than three individuals. The program will be conducted in English and translators are not permitted at the training sessions due to a lack of space. Upon registration, you will receive a confirmation in writing noting the acceptance of each applicant for the program. Hotel information is attached. Book your hotel early as Washington is a very busy convention city and hotel rooms are difficult to secure in October.

**To register, please use this link:**

[CFTC Registration Link](#)

## **PROGRAM FEE**

The cost to attend the seminar is **US\$525.00** per person (do not deduct any wire or bank transaction fees). All payments must be received by **October 11, 2019**. Payment instructions will be provided in the registration form. **PLEASE NOTIFY US BY EMAIL OF ANY CANCELLATIONS AT LEAST ONE WEEK PRIOR TO THE EVENT TO AVOID THE PAYMENT REQUIREMENT. No refunds will be provided after this date.**

## **PROGRAM VENUE**

CFTC Headquarters Conference Center, 1155 21<sup>st</sup> Street, NW, Washington DC 20581, USA. A government issued passport will be required for building security clearance.

## **PROGRAM PARTICIPANTS**

The program is directed at mid and senior-level individuals with either policy, oversight, supervisory and/or enforcement responsibilities.

## **PROGRAM CONTACT**

Kevin Piccoli  
Deputy Director and Head of Technical Assistance Program  
Office of International Affairs  
U.S. Commodity Futures Trading Commission

Telephone: +1 646 746 9834  
e-mail: [Symposium@cftc.gov](mailto:Symposium@cftc.gov)

## **Payment Instructions:**

### **By Check or Money Order**

To be made out to US Commodity Futures Trading Commission with memo notation of "CFTC International Regulators Symposium" and mailed to (contact information +1-405-954-6569, email – [9-AMC-AR-CFTC@faa.gov](mailto:9-AMC-AR-CFTC@faa.gov)):

U. S. Commodity Futures Trading Commission  
ESC/FAA/MMAC, ATTN: AMK-326  
6500 S. MacArthur Blvd.  
HQ Room 265  
Oklahoma City, OK 73169

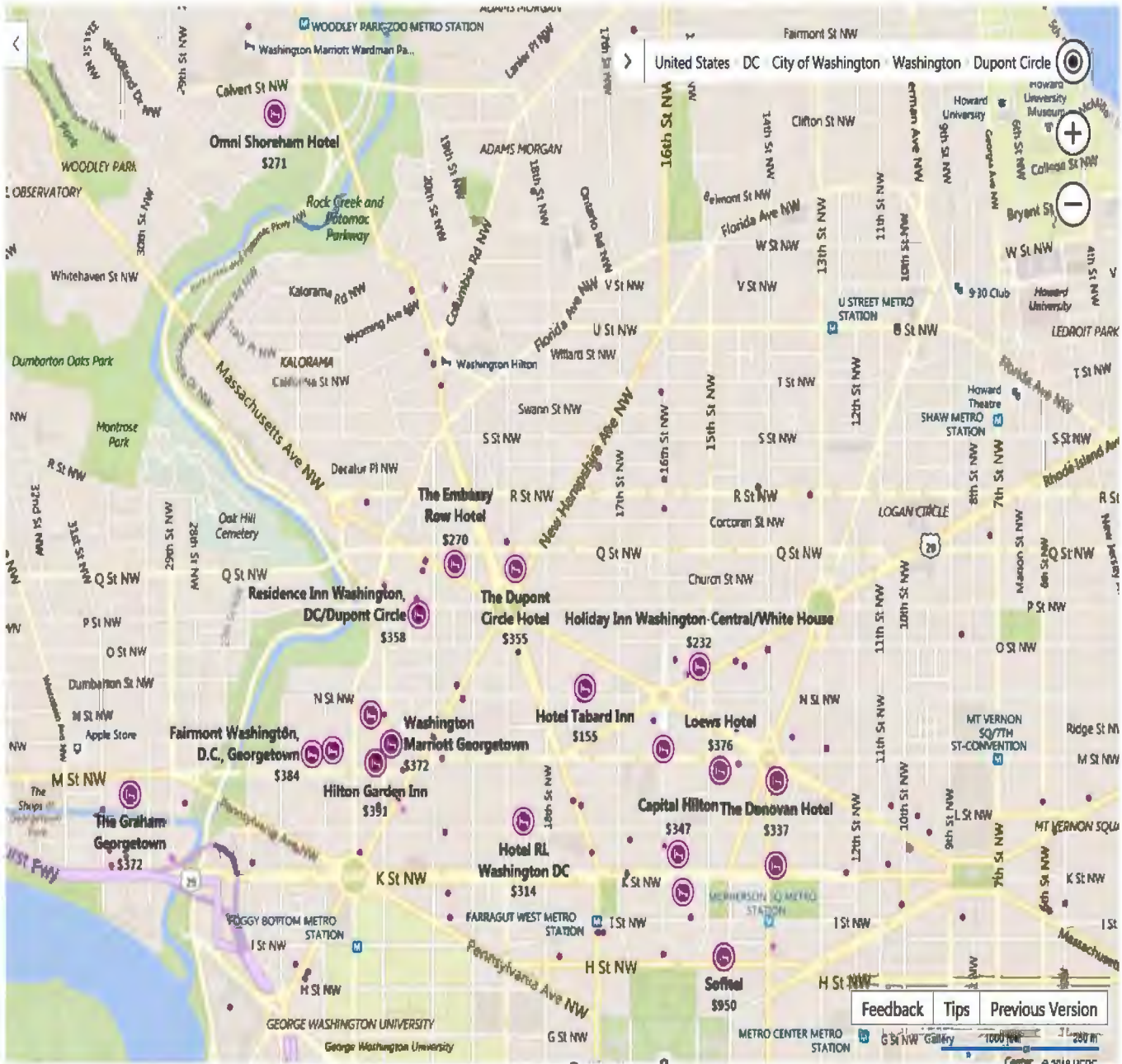
### **By Wire**

(Contact Jamie Stovall (+1-405-954-5421) or Kristine Everhart (+1-405-954-5902) with questions)

|                                |  |
|--------------------------------|--|
| Name of Beneficiary            | Commodity Futures Trading Commission   |
| Account Number                 | 95140001   |
| IBAN Code (Euro payments only) | [no IBAN Code is required for these payments as funds are to be sent in USD]               |
| Branch Code/Routing Number     | 021030004  |
| Receiving Bank Name            | TREAS NYC  |
| Beneficiary Bank Name          | TREAS NYC  |
| Bank Street Address            | 33 Liberty Street  |
| City, Postal Code              | New York, 10045  |
| Country                        | United States of America   |
| SWIFT/BIC code                 | FRNYUS33 021030004   |
| Reasons for Payment            | TASC-Payment for US CFTC International Regulators Symposium/Attendee Name/Your Agency Name |

**Payment should not be net of any wire or bank transaction fees.**  
**All funds need to be received by October 11<sup>th</sup>.**

# Hotel Information



Reference to hotels, hotel prices, and restaurants is provided for informational purposes only and is not intended to provide an endorsement of these businesses by the CFTC. The CFTC has no preferred rates at any area hotels.

**① The Mansion on O Street**

**Address:** 2020 O St NW, Washington, DC 20036

**Phone:** (202) 496-2020

**Website:** <http://www.omansion.com>

**③ The Dupont Circle Hotel**

**Address:** 1500 New Hampshire Ave NW, Washington, DC 20036

**Phone:** (202) 483-6000

**Website:** <http://thedupontcirclehotel.com/>

**⑤ Grand Hyatt Washington**

**Address:** 1000 H St NW, Washington, DC 20001

**Phone:** (202) 582-1234

**Website:** <http://washingtondc.grand.hyatt.com/en/hotel/home.html>

**⑦ Washington Hilton**

**Address:** 1919 Connecticut Ave NW, Washington, DC 20009

**Phone:** (202) 483-3000

**Website:** <http://www3.hilton.com/en/hotels/district-of-columbia/washington-hilton-DCAWHHH/index.html>

**⑨ Gaylord National Resort & Convention Center**

**Address:** 201 Waterfront St, Oxon Hill, MD 20745

**Phone:** (301) 965-4000

**Website:** <http://www.marriott.com/hotels/travel/wasgn-gaylord-national-resort-and-convention-center/>

**⑪ St. Regis**

**Address:** 923 16th And K Streets, N W, Washington, DC 20006

**Phone:** (202) 638-2626

**Website:** <http://www.stregiswashingtondc.com/>

**② Hotel Tabard Inn**

**Address:** 1739 N St NW, Washington, DC 20036

**Phone:** (202) 785-1277

**Website:** <http://www.tabardinn.com>

**④ Omni Shoreham Hotel**

**Address:** 2500 Calvert St NW, Washington, DC 20008

**Phone:** (202) 234-0700

**Website:** <http://www.omnihotels.com/hotels/washington-dc-shoreham>

**⑥ Holiday Inn Express & Suites Washington Dc**

**Northeast**

**Address:** 1917 Bladensburg Rd NE, Washington, DC 20002

**Phone:** (202) 266-9000

**Website:** [http://www.ihg.com/holidayinnexpress/hotels/us/en/washington/wasny/hoteldetail?cm\\_mmc=extLocal\\_-\\_USA\\_-\\_WASNY](http://www.ihg.com/holidayinnexpress/hotels/us/en/washington/wasny/hoteldetail?cm_mmc=extLocal_-_USA_-_WASNY)

**⑧ Fairmont Washington, D.C., Georgetown**

**Address:** 2401 M St NW, Washington, DC 20037

**Phone:** (202) 429-2400

**Website:** <http://www.fairmont.com/washington/>

**⑩ The Georgetown Inn**

**Address:** 1310 Wisconsin Ave NW, Washington, DC 20007

**Phone:** (202) 333-8900

**Website:** <http://www.georgetowninn.com/>

**⑫ Capital Hilton**

**Address:** 1001 16th St NW, Washington, DC 20036

**Phone:** (202) 393-1000

**Website:** <http://www3.hilton.com/en/hotels/district-of-columbia/capital-hilton-DCASHHH/index.html>

**13 Country Inn & Suites By Carlson, Camp Springs**

**(Andrews Air Force Base), MD**

**Address:** 4950 Mercedes Blvd, Camp Springs, MD 20746

**Phone:** (240) 492-1070

**Website:** [http://www.countryinns.com/camp-springs-hotel-md-20746/mdcamp?s\\_cid=tl.tadv.cis&refid=VFetSQogETgAABIY1rUAAAAZ](http://www.countryinns.com/camp-springs-hotel-md-20746/mdcamp?s_cid=tl.tadv.cis&refid=VFetSQogETgAABIY1rUAAAAZ)

**15 Hotel Harrington**

**Address:** 436 11th St NW, Washington, DC 20004

**Phone:** (202) 628-8140

**Website:** <http://www.hotelharrington.com/>

**17 Hyatt Regency Crystal City at Reagan National**

**Airport**

**Address:** 2799 Jefferson Davis Hwy, Arlington, VA 22202

**Phone:** (703) 418-1234

**Website:** <http://crystalcity.hyatt.com>

**19 The Normandy Hotel**

**Address:** 2118 Wyoming Ave NW, Washington, DC 20008

**Phone:** (202) 483-1350

**Website:** <http://www.thenormandydc.com/>

**14 Hotel Monaco Washington DC**

**Address:** 700 F St NW, Washington, DC 20004

**Phone:** (202) 628-7177

**Website:** <http://www.monaco-dc.com/>

**16 Residence Inn Washington, DC/Dupont Circle**

**Address:** 2120 P St NW, Washington, DC 20037

**Phone:** (202) 466-6800

**Website:** <http://www.marriott.com/hotels/travel/wasri-residence-inn-washington-dc-dupont-circle/>

**18 Washington Marriott at Metro Center**

**Address:** 775 12th St NW, Washington, DC 20005

**Phone:** (202) 737-2200

**Website:** <http://www.marriott.com/hotels/travel/wasmc-washington-marriott-at-metro-center/>

**20 Phoenix Park Hotel**

**Address:** 520 N Capitol St NW, Washington, DC 20001

**Phone:** (202) 638-6900

**Website:** <http://www.phoenixparkhotel.com/>

## Restaurants

Here is a link to Zagat's guide to area restaurants:

<https://www.zagat.com/washington-dc>