

SELECTED FCM FINANCIAL DATA  
FROM REPORTS FILED BY  
April 30, 2003

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000)	(c)	(d)	(e)	(f)
1	ABC FUTURES LLC	N	NFA	03/31/2003	502,603	250,000	0	252,603	0	0
2	ABN AMRO INCORPORATED	Y	CBOT	03/31/2003	1,289,263,503	119,510,310	85,330,119	1,169,753,193	1,531,138,358	110,011,273
3	ABN AMRO SAGE CORPORATION	Y	NFA	03/31/2003	32,000,577	2,582,886	2,582,886	29,417,691	53,046,104	0
4	ADM INVESTOR SERVICES INC	N	CBOT	03/31/2003	72,945,369	16,744,094	15,897,445	56,201,275	422,925,058	13,656,444
5	ADVEST INC	Y	NFA	03/31/2003	39,836,223	1,000,000	0	38,836,223	0	0
6	AG EDWARDS & SONS INC	Y	CBOT	03/31/2003	608,968,192	40,509,691	3,435,706	568,458,501	84,668,687	42,190
7	AGE COMMODITY CLEARING CORP	N	CBOT	03/31/2003	13,164,889	2,012,407	3,332,317	9,832,572	65,121,554	0
8	AIG CLEARING CORPORATION	N	NYME	03/31/2003	120,859,299	250,000	9,466,664	111,392,635	0	0
9	ALARON TRADING CORPORATION	N	CME	03/31/2003	3,648,026	1,976,486	931,401	1,671,540	55,729,104	70,164
10	ALCO COMMODITIES INC	N	NFA	03/31/2003	492,050	250,000	0	242,050	0	0
11	AMERICAN NATIONAL TRADING CORP	N	NFA	03/31/2003	919,594	464,833	161,933	454,761	15,175,376	339
12	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	03/31/2003	61,018,493	7,522,302	34,702,763	26,315,730	188,057,546	0
13	BANC ONE CAPITAL MARKETS INC	Y	CBOT	03/31/2003	334,319,702	6,647,071	15,579,859	318,739,843	261,660,580	10,332,659
14	BARCLAYS CAPITAL INC	Y	CBOT	03/31/2003	319,701,538	53,659,014	93,223,633	226,477,905	1,353,374,231	57,637,879
15	BEAR STEARNS & CO INC	Y	CBOT	03/31/2003	1,630,140,457	30,217,007	0	1,599,923,450	0	0
16	BEAR STEARNS SECURITIES CORP	Y	CME	03/31/2003	2,273,508,189	718,584,149	76,164,015	1,554,924,040	1,261,197,383	193,167,654
17	BIELFELDT & COMPANY LLC	N	CBOT	03/31/2003	388,023	250,000	0	138,023	0	0
18	BLAYLOCK AND PARTNERS LP	Y	NFA	03/31/2003	17,030,716	304,189	0	16,726,527	0	0
19	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	03/31/2003	41,585,427	7,398,894	10,075,325	31,510,102	184,972,352	0
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	03/31/2003	48,535,647	14,208,597	24,503,173	24,032,474	384,838,912	29,755,137
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	03/31/2003	322,561,638	250,000	0	322,311,638	0	0
22	BNY CLEARING SERVICES LLC	Y	CFTC	03/31/2003	76,506,031	20,915,157		55,590,874	0	0
23	BOSTON CABOT LLC	Y	NFA	03/31/2003	617,827	250,000	0	367,827	0	0
24	C CZARNIKOW SUGAR FUTURES INC	N	NFA	03/31/2003	1,509,024	250,000	138,088	1,259,024	1,664,609	0
25	CANTOR FITZGERALD & CO	Y	CBOT	03/31/2003	38,745,902	1,027,057	65,511	37,718,845	1,499,426	0
26	CAPITAL MARKET SERVICES LLC	N	NFA	03/31/2003	522,851	250,000	0	272,851	0	0
27	CARGILL INVESTOR SERVICES INC	N	CBOT	03/31/2003	93,262,861	38,458,473	46,009,023	47,253,838	939,380,317	89,418,640
28	CARR FUTURES INC	Y	CME	03/31/2003	212,362,057	109,795,325	138,478,115	73,883,942	2,595,539,295	1,575,914,174
29	CDC SECURITIES	Y	NFA	03/31/2003	61,546,070	250,000	0	61,296,070	0	0
30	CIBC WORLD MARKETS CORP	Y	NYME	03/31/2003	843,075,568	33,162,932	8,468,825	809,912,636	5,368,084	0
31	CITIGROUP GLOBAL MARKETS INC.	Y	CBOT	03/31/2003	3,799,456,165	425,531,159	192,679,190	3,373,925,006	4,930,164,958	78,774,202
32	CLIFDEN FUTURES LLC	N	NFA	03/31/2003	441,492	250,000	5,616	191,492	856,533	0
33	CLIFF LARSON COMPANY THE	N	NFA	03/31/2003	347,296	250,000	0	97,296	1,188,124	0
34	CMC GROUP PLC	N	NFA	03/31/2003	10,353,596	250,000	0	10,103,596	0	0
35	COESfx CLEARING INC	N	NFA	03/31/2003	386,732	250,000	0	136,732	0	0
36	COMMERZ FUTURES LLC	Y	CME	03/31/2003	6,789,492	1,922,034	2,247,515	4,541,977	85,704,008	4,651,309
37	COUNTRY HEDGING INC	N	NFA	03/31/2003	5,844,449	948,313	2,119,895	3,724,554	27,837,563	24,446
38	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	03/31/2003	28,079,704	4,200,023	22,578,603	5,501,101	130,089,679	368,629
39	CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	03/31/2003	2,187,337,854	115,937,341	80,934,249	2,071,400,513	1,430,218,276	388,340,896
40	CRESWELL CAPITAL LLC	N	NFA	03/31/2003	578,306	250,000	0	328,306	1,131,607	0
41	CROSSLAND LLC	N	CBOT	03/31/2003	3,681,878	250,000	72,700	3,431,878	5,887,245	0
42	CUNNINGHAM COMMODITIES INC	N	CBOT	03/31/2003	2,292,926	265,300	110,697	2,027,626	6,510,008	124,349
43	DAIWA SECURITIES AMERICA INC	Y	CME	03/31/2003	211,877,468	8,640,858	4,019,105	203,236,610	71,556,596	2,038,224
44	DAVID A NOYES & CO	Y	NFA	03/31/2003	1,232,510	250,000	0	982,510	0	0
45	DEUTSCHE BANK SECURITIES INC	Y	CBOT	03/31/2003	1,869,996,112	76,017,953	134,995,160	1,735,000,952	1,318,698,914	253,167,053
46	DIRECT TRADING GROUP LLC	N	NFA	03/31/2003	609,913	250,000	40,219	359,913	4,837,087	276
47	DORMAN TRADING LLC	N	CME	03/31/2003	5,619,166	650,451	257,676	4,968,715	16,264,528	0

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	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC or \$250,000)	Capital		4d(2)	Pt. 30 Required
		(a)	(b)				(c)	(d)	(e)	(f)
48	DUNAVANT COMMODITY CORP	N	NYCE	03/31/2003	19,560,113	888,513	30,624	18,671,600	27,603,700	0
49	EAGLE MARKET MAKERS INC	N	CBOT	03/31/2003	1,901,966	250,000	26,360	1,651,966	1,962,689	38,101
50	ED & F MAN COMMODITY ADVISORS INC	N	NFA	03/31/2003	381,532	250,000	0	131,532	0	0
51	EM COMBS & SON	N	CBOT	03/31/2003	1,980,591	250,000	23,899	1,730,591	2,547,964	0
52	FAHNESTOCK & CO INC	Y	NFA	03/31/2003	151,594,700	9,122,610	0	142,472,090	655,794	0
53	FARR FINANCIAL INC	N	NFA	03/31/2003	1,108,673	587,022	149,906	521,651	15,068,795	38,961
54	FC STONE LLC	N	CME	03/31/2003	16,149,136	6,831,544	8,003,035	8,146,101	225,795,530	652,404
55	FCT GROUP LLC	N	CME	03/31/2003	3,567,381	250,000	310,790	3,256,591	5,660,153	0
56	FIMAT USA INC	Y	CME	03/31/2003	202,037,625	81,010,077	124,849,597	77,188,028	2,297,274,906	495,757,505
57	FIRST CAPITOL GROUP LLC	N	NFA	03/31/2003	1,756,049	704,681	548,090	1,051,368	23,356,324	78,880
58	FIRST OPTIONS OF CHICAGO INC	Y	CME	03/28/2003	144,826,864	11,669,978	22,113,406	122,713,458	504,344,490	17,959,356
59	FOREX CAPITAL MARKETS LLC	N	NFA	03/31/2003	9,590,046	250,000	0	9,340,046	0	0
60	FORTIS CLEARING CHICAGO LLC	N	CBOT	03/31/2003	6,216,040	1,882,061	1,098,759	4,333,979	36,353,121	10,949,402
61	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	03/31/2003	1,648,519	250,000	193,345	1,398,519	5,486,385	21,450
62	FRONTIER FUTURES INC	N	NFA	03/31/2003	1,027,166	371,688	256,926	655,478	11,812,728	0
63	FUTURES TECH LLC	N	NFA	03/31/2003	401,363	250,000	0	151,363	0	0
64	FX SOLUTIONS LLC	N	NFA	03/31/2003	1,180,141	250,000	0	930,141	0	0
65	GAIN CAPITAL INC.	N	NFA	03/31/2003	2,992,172	250,000	0	2,742,172	0	0
66	GELBER GROUP LLC	N	CBOT	03/31/2003	13,583,758	471,517	128,335	13,112,241	12,656,036	80,201
67	GFS SECURITIES & FUTURES INC	N	NFA	03/31/2003	236,977	250,000	0	-13,023	0	0
68	GILDER GAGNON HOWE AND CO LLC	Y	NFA	03/31/2003	17,651,353	250,000	0	17,401,353	0	0
69	GLOBAL FUTURES & FOREX LTD	N	NFA	03/31/2003	4,693,547	250,000	0	4,443,547	0	0
70	GOLDENBERG HEHMEYER & CO	Y	CBOT	03/31/2003	15,574,943	1,436,585	1,192,776	14,138,358	45,586,772	4,178,877
71	GOLDMAN SACHS & CO	Y	CBOT	03/28/2003	3,607,366,108	704,965,314	405,666,521	2,902,400,794	6,831,763,465	3,174,146,364
72	GREENWICH CAPITAL MARKETS INC	Y	CBOT	03/31/2003	710,860,000	30,294,000	24,907,320	680,566,000	310,546,000	3,618,000
73	HAGERTY GRAIN CO INC	N	CBOT	03/31/2003	1,609,960	250,000	102,189	1,359,960	4,429,594	0
74	HORNBLOWER FISCHER & CO	Y	NFA	03/31/2003	1,739,322	253,743	198,878	1,485,579	5,215,323	0
75	HOTSPOT FX INC	N	NFA	03/31/2003	3,303,311	250,000	0	3,053,311	0	0
76	HSBC SECURITIES USA INC	Y	CME	03/31/2003	305,698,428	12,004,175	32,203,704	273,494,724	308,953,959	18,900,562
77	IFSC USA INC	N	NFA	03/31/2003	475,836	250,000	0	225,836	0	0
78	INTEGRATED BROKERAGE SERVICES INC	N	NFA	03/31/2003	1,090,775	250,000	0	840,775	766,256	0
79	INTERACTIVE BROKERS LLC	Y	NFA	03/31/2003	101,297,076	2,295,020	962,628	99,002,056	10,871,962	3,757,914
80	INVESTEC ERNST & COMPANY	Y	NFA	03/28/2003	15,417,917	250,000	0	15,167,917	0	0
81	IOWA GRAIN CO	N	CBOT	03/31/2003	8,047,951	3,513,442	3,178,809	4,534,509	93,424,692	6,097
82	ISB CLEARING CORPORATION	N	NFA	03/31/2003	397,942	250,000	0	147,942	0	0
83	JP MORGAN FUTURES INC	N	NYME	03/31/2003	531,071,391	190,567,021	311,960,608	219,110,783	4,753,601,426	580,311,525
84	JULIUS BAER SECURITIES INC	Y	NFA	03/31/2003	15,281,980	250,000	0	15,031,980	0	0
85	KOTTKE ASSOCIATES LLC	N	CBOT	03/31/2003	8,934,080	320,862	96,461	8,613,218	11,462,453	5,205
86	LADENBURG THALMANN & CO INC	Y	NFA	03/31/2003	5,486,058	1,000,000	0	4,486,058	0	0
87	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	03/31/2003	2,826,550	250,000	40,677	2,576,550	3,879,157	0
88	LBS LIMITED PARTNERSHIP	N	CBOT	03/31/2003	471,855	250,000	0	221,855	0	0
89	LEADER INVESTMENTS INC	Y	NFA	03/31/2003	649,096	250,000	0	399,096	0	0
90	LEGG MASON WOOD WALKER INC	Y	NFA	03/31/2003	356,887,647	19,924,887	0	336,962,760	0	0
91	LEHMAN BROTHERS INC	Y	CBOT	03/31/2003	1,791,121,000	226,339,760	151,988,800	1,564,781,240	1,635,073,000	35,225,000
92	LINN GROUP ( THE )	N	NFA	03/31/2003	460,451	250,000	0	210,451	0	0
93	LINSCO/PRIVATE LEDGER CORP	Y	NFA	03/31/2003	88,751,815	3,295,863	0	85,455,952	0	0
94	LOEB PARTNERS CORPORATION	Y	NFA	03/31/2003	20,159,667	250,000	0	19,909,667	0	0

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		(a)	(b)				(c)	(d)	(e)	(f)
95	MAN FINANCIAL INC	N	CME	03/31/2003	211,107,323	99,667,102	114,464,329	96,642,994	2,466,523,422	566,668,186
96	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	03/31/2003	5,231,690	250,000	0	4,981,690	0	0
97	MAXCOR FINANCIAL INC	Y	NFA	03/31/2003	44,133,247	250,000	0	43,883,247	0	0
98	MBF CLEARING CORP	N	NYME	03/31/2003	6,518,245	3,059,814	923,058	3,458,431	76,286,146	543,302
99	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	03/31/2003	8,027,918	4,665,015	1,012,085	3,362,903	117,803,996	0
100	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	03/28/2003	3,097,001,382	278,361,151	213,456,804	2,818,640,231	4,508,275,522	383,969,094
101	MERRILL LYNCH PROFESSIONAL CLEARING CO	Y	NFA	03/28/2003	1,375,811,952	22,112,178	41,754,260	1,334,057,692	614,251,944	0
102	MID-CO COMMODITIES INC	N	NFA	03/31/2003	3,524,804	260,485	626,960	2,897,844	7,729,789	0
103	MITSUI & CO INVESTMENT PRODUTS CORP	N	NFA	03/31/2003	2,127,520	250,000	23,004	1,877,520	869,921	0
104	MIZUHO SECURITIES USA INC	Y	CME	03/31/2003	168,053,436	9,925,230	11,175,650	156,877,786	217,543,433	31,199,183
105	MONEY GARDEN CORPORATION, THE	N	NFA	03/31/2003	3,579,423	250,000	0	3,329,423	0	0
106	MORGAN KEEGAN & COMPANY INC	Y	NFA	03/31/2003	169,065,020	9,629,006	0	159,436,014	0	0
107	MORGAN STANLEY & CO INCORPORATED	Y	CME	03/31/2003	4,445,903,638	594,410,684	198,723,836	3,851,492,954	2,564,698,420	888,419,814
108	MORGAN STANLEY DW INC	Y	CBOT	03/31/2003	1,170,864,491	89,846,724	2,355,642	1,081,017,767	722,345,547	1,251,988,322
109	NATIONAL COMMODITIES CORPORATION INC	N	NFA	03/31/2003	1,891,608	250,000	86,105	1,641,608	15,519,593	0
110	NEUBERGER BERMAN LLC	Y	NFA	03/31/2003	226,685,077	16,464,156	304,186	210,220,921	15,408,293	0
111	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	03/31/2003	11,699,973	250,000	0	11,449,973	0	0
112	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	03/31/2003	603,776,563	8,466,653	1,007,290	595,309,910	20,489,536	0
113	OANDA CORPORATION	N	NFA	03/31/2003	2,112,016	250,000	0	1,862,016	0	0
114	OCONNOR & COMPANY LLC	Y	CBOT	03/31/2003	40,889,465	2,075,110	1,426,926	38,814,355	57,689,106	0
115	PACIFIC CAPITAL MARKETS	N	NFA	03/31/2003	381,556	250,000	0	131,556	0	0
116	PATTERSON CAPITAL MARKETS LTD	N	CBOT	03/31/2003	482,921	250,000	0	232,921	0	0
117	PAX CLEARING CORPORATION	Y	CME	03/31/2003	39,851,686	2,052,630	2,342,138	37,509,548	57,142,299	0
118	PENSON FINANCIAL FUTURES INC	N	NFA	03/31/2003	496,872	250,000	12,832	246,872	414,848	0
119	PEREGRINE FINANCIAL GROUP INC	N	NFA	03/31/2003	10,792,443	3,784,290	1,228,119	7,008,153	97,047,990	1,092,562
120	PERSHING LLC	Y	CBOT	03/31/2003	1,406,506,000	117,957,380	0	1,288,548,620	0	0
121	PIONEER FUTURES INC	N	NYME	03/31/2003	6,476,545	3,946,594	1,769,031	2,529,951	113,566,454	764,535
122	PREFERRED TRADE INC	Y	NFA	03/31/2003	10,208,938	664,128	0	9,544,810	393,208	0
123	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	03/28/2003	663,635,000	61,074,520	57,201,240	602,560,480	1,004,395,000	131,305,000
124	RAND FINANCIAL SERVICES INC	N	CME	03/31/2003	13,251,950	6,494,979	8,956,399	4,295,551	144,820,100	28,919,500
125	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	03/28/2003	303,248,606	20,051,583	36,223	283,197,023	0	0
126	RB&H FINANCIAL SERVICES LP	N	CME	03/31/2003	3,219,992	1,809,077	845,986	1,410,915	48,853,624	52,110
127	RBC DAIN RAUSCHER INC	Y	NFA	03/31/2003	228,888,983	26,370,781	0	202,518,202	0	0
128	RBC DOMINION SECURITIES CORPORATION	Y	CME	03/31/2003	103,423,985	1,000,000	1,352,571	102,071,414	0	0
129	REDSKY SECURITIES LLC	Y	NFA	03/31/2003	596,665	250,000	0	346,665	0	0
130	REFCO LLC	N	CME	03/31/2003	199,525,347	112,454,910	113,277,789	86,247,558	3,196,930,085	33,258,923
131	RJ OBRIEN ASSOCIATES INC	N	CME	03/31/2003	33,790,546	17,891,424	17,389,582	15,899,122	477,266,550	3,792,745
132	ROBBINS FUTURES INC	N	NFA	03/31/2003	476,689	250,000	49,667	226,689	4,732,012	0
133	ROBECO USA LLC	Y	NFA	03/28/2003	66,260,547	2,677,942	0	63,582,605	0	0
134	ROSENTHAL COLLINS GROUP LLC	N	CME	03/31/2003	50,230,085	9,807,763	6,634,448	40,422,322	277,241,547	623,344
135	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	03/31/2003	16,957,612	896,346	0	16,061,266	0	0
136	ROTHSCHILD INC	N	N	03/31/2003	19,915,671	250,000		19,665,671	0	0
137	SANFORD C BERNSTEIN & CO LLC	Y	NFA	03/31/2003	171,904,805	9,105,404	0	162,799,401	0	0
138	SENTINEL MANAGEMENT GROUP INC	N	NFA	03/31/2003	1,229,310	250,000	0	979,310	376,306,008	0
139	SG COWEN SECURITIES CORPORATION	Y	NFA	03/31/2003	661,488,263	1,500,000	0	659,988,263	0	0
140	SHATKIN ARBOR KARLOV & CO	N	CBOT	03/31/2003	6,286,032	344,592	125,891	5,941,440	8,781,003	98,812
141	SHAY GRAIN CLEARING COMPANY	N	KCBT	03/31/2003	744,810	250,000	87,398	494,810	1,686,038	0



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ISB Clearing Corporation									
Oanda Corporation									
Deletions		1							
GNI Incorporated									
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(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.		(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.			(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.				
(b): DSRO: Designated Self-Regulatory Organization.					Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov.				
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association, was effective 10/31/00.		(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.							