

SELECTED FCM FINANCIAL DATA AS OF  
 May 31, 2009  
 FROM REPORTS FILED BY  
 June 30, 2009

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	3DFOREX LLC	N	NFA	05/31/2009	8,925,339	500,000	8,425,339	0	0
2	ACM USA LLC	N	NFA	05/31/2009	783,708	500,000	283,708	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	05/31/2009	154,751,903	86,580,017	68,171,886	2,042,868,872	63,267,381
4	ADVANCED MARKETS LLC	N	NFA	05/31/2009	20,722,551	20,000,000	722,551	0	0
5	ADVANTAGE FUTURES LLC	N	CME	05/29/2009	15,022,310	6,065,108	8,957,202	207,152,729	19,915,567
6	AIG CLEARING CORPORATION	N	NYME	05/31/2009	203,070,172	1,106,944	201,963,228	0	0
7	ALARON TRADING CORPORATION	N	NFA	05/31/2009	1,221,287	500,000	721,287	0	0
8	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	Y	NFA	03/31/2009	23,585,672	5,484,657	18,101,015	0	0
9	ALPARI (US) LLC	N	NFA	05/31/2009	22,312,195	20,000,000	2,312,195	0	0
10	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	05/31/2009	83,376,315	5,559,875	77,816,440	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	05/29/2009	2,977,644,479	65,378,832	2,912,265,647	0	0
12	BARCLAYS CAPITAL INC	Y	NYME	05/31/2009	5,253,292,694	514,842,467	4,738,450,227	4,712,299,784	1,857,092,322
13	BGC FINANCIAL LP	Y	NFA	05/31/2009	58,994,624	500,000	58,494,624	0	0
14	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	05/29/2009	284,707,523	229,973,534	54,733,989	2,254,852,062	527,043,378
15	BNP PARIBAS SECURITIES CORP	Y	CBOT	05/31/2009	1,341,786,177	48,812,840	1,292,973,337	225,156,175	0
16	CADENT FINANCIAL SERVICES LLC	N	CME	05/31/2009	4,065,233	3,749,802	315,431	162,821,009	1,246,042
17	CANTOR FITZGERALD & CO	Y	CBOT	05/31/2009	241,898,828	7,096,915	234,801,913	14,854,308	0
18	CAPITAL MARKET SERVICES LLC	N	NFA	05/31/2009	29,788,506	20,000,000	9,788,506	0	0
19	CIBC WORLD MARKETS CORP	Y	CME	05/29/2009	1,194,278,819	11,005,352	1,183,273,467	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	05/29/2009	6,620,694,300	792,401,778	5,828,292,522	13,464,312,444	540,081,859
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	05/31/2009	814,760	500,000	314,760	0	0
22	COUNTRY HEDGING INC	N	NFA	05/31/2009	14,301,081	8,691,474	5,609,607	95,167,927	149,040
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	05/31/2009	13,765,245,488	591,068,687	13,174,176,801	3,483,300,376	1,699,518,217
24	CROSSLAND LLC	N	CBOT	05/31/2009	3,737,854	2,886,382	851,472	41,145,761	22,293,606
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	05/31/2009	3,548,604	501,441	3,047,163	29,195,744	55,441
26	CX CAPITAL MARKETS LLC	N	NFA	05/31/2009	812,707	500,000	312,707	3,786,284	0
27	DAIWA SECURITIES AMERICA INC	Y	CME	05/31/2009	241,063,400	1,670,205	239,393,195	19,572,692	96,851
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	05/29/2009	6,808,268,129	430,139,594	6,378,128,535	9,389,985,667	869,245,430
29	DORMAN TRADING LLC	N	CME	05/31/2009	7,990,678	837,014	7,153,664	81,781,105	0
30	EAGLE MARKET MAKERS INC	N	CBOT	05/31/2009	6,272,630	500,000	5,772,630	2,494,397	0
31	EASY FOREX US LTD	N	NFA	05/31/2009	20,928,968	20,000,000	928,968	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	05/31/2009	7,090,657	674,971	6,415,686	0	0
33	ENSKILDA FUTURES LTD	N	CME	05/31/2009	25,779,268	10,678,963	15,100,305	242,635,959	0
34	FARR FINANCIAL INC	N	NFA	05/31/2009	904,856	546,491	358,365	33,049,998	45,747
35	FCSTONE LLC	N	CME	05/31/2009	59,481,852	41,135,485	18,346,367	822,251,284	7,582,884
36	FOREX CAPITAL MARKETS LLC	N	NFA	05/31/2009	63,999,806	20,000,000	43,999,806	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	05/31/2009	25,427,601	20,000,000	5,427,601	0	0
38	FORTIS CLEARING AMERICAS LLC	Y	CBOT	05/31/2009	185,299,415	65,022,468	120,276,947	1,024,043,181	110,317,884
39	FRIEDBERG MERCANTILE GROUP INC	N	NFA	05/29/2009	3,358,273	500,000	2,858,273	3,732,913	0
40	FRONTIER FUTURES INC	N	NFA	05/31/2009	1,088,860	776,188	312,672	25,300,277	0
41	FUTURES TECH LLC	N	NFA	05/31/2009	827,038	500,000	327,038	0	0

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		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required
								(e)	(f)
42	FX SOLUTIONS LLC	N	NFA	05/31/2009	48,262,204	20,000,000	28,262,204	0	0
43	GAIN CAPITAL GROUP LLC	N	NFA	05/31/2009	98,125,651	20,000,000	78,125,651	0	0
44	GFS FOREX & FUTURES INC	N	NFA	05/31/2009	4,275,656	500,000	3,775,656	0	0
45	GILDER GAGNON HOWE & CO LLC	Y	NFA	05/31/2009	15,832,999	500,000	15,332,999	0	0
46	GLOBAL FUTURES & FOREX LTD	N	NFA	05/31/2009	79,368,858	20,000,000	59,368,858	813,521	203,940
47	GOLDMAN SACHS & CO	Y	CBOT	05/29/2009	14,305,722,863	1,727,398,559	12,578,324,304	19,060,153,173	7,796,473,215
48	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	05/29/2009	1,888,916,530	101,578,029	1,787,338,501	1,059,834,908	15,723,552
49	HOTSPOT FXR LLC	N	NFA	05/31/2009	5,948,244	500,000	5,448,244	0	0
50	HSBC SECURITIES USA INC	Y	CME	05/29/2009	1,418,027,182	79,879,562	1,338,147,620	1,069,046,089	97,524,231
51	I TRADE FX LLC	N	NFA	05/31/2009	15,189,231	500,000	14,689,231	0	0
52	ICAP FUTURES LLC	N	NFA	05/29/2009	4,795,639	500,000	4,295,639	0	0
53	IG MARKETS INC	N	NFA	05/31/2009	31,489,024	20,000,000	11,489,024	0	0
54	IKON GLOBAL MARKETS INC	N	NFA	05/31/2009	21,247,886	20,000,000	1,247,886	1,824,658	443,118
55	INSTINET LLC	Y	NFA	05/31/2009	115,092,101	1,554,076	113,538,025	0	0
56	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	05/31/2009	1,955,339	500,000	1,455,339	0	0
57	INTERACTIVE BROKERS LLC	Y	NFA	05/31/2009	691,880,346	60,355,771	631,524,575	354,195,979	93,600,908
58	INTERBANK FX LLC	N	NFA	05/31/2009	35,611,164	20,000,000	15,611,164	0	0
59	ITG DERIVATIVES LLC	Y	NFA	05/31/2009	2,639,778	500,000	2,139,778	0	0
60	JP MORGAN CLEARING CORP	Y	CME	05/31/2009	4,833,699,167	1,432,037,614	3,401,661,553	743,370,031	217,696,593
61	JP MORGAN FUTURES INC	N	NYME	05/31/2009	2,179,674,037	1,233,011,650	946,662,387	16,619,550,157	2,275,509,482
62	JP MORGAN SECURITIES INC	Y	NFA	05/31/2009	7,106,389,712	577,123,239	6,529,266,473	0	0
63	LADENBURG THALMANN & CO INC	Y	NFA	05/31/2009	2,447,700	500,000	1,947,700	0	0
64	LEK SECURITIES CORPORATION	Y	NFA	05/29/2009	13,320,067	1,500,000	11,820,067	667,319	0
65	LIMESTONE TRADING LLC	N	NFA	05/31/2009	15,852,483	500,000	15,352,483	0	0
66	LINN GROUP THE	N	NFA	05/31/2009	2,225,217	500,000	1,725,217	30,296,449	59,859
67	LPL FINANCIAL CORPORATION	Y	NFA	05/31/2009	112,163,056	6,102,677	106,060,379	0	0
68	MACQUARIE FUTURES USA INC	N	CBOT	05/31/2009	46,723,028	29,041,095	17,681,933	522,640,774	2,005,837
69	MAREX USA LIMITED	N	NFA	05/31/2009	1,008,033	500,000	508,033	0	0
70	MB TRADING FUTURES INC	N	NFA	05/31/2009	21,360,551	20,000,000	1,360,551	0	0
71	MBF CLEARING CORP	N	NYME	05/31/2009	24,939,899	2,974,916	21,964,983	94,090,463	8,068,620
72	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	05/31/2009	7,639,193	2,774,331	4,864,862	567,081,852	0
73	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	05/31/2009	4,949,911,950	634,150,291	4,315,761,659	9,233,005,825	1,300,435,468
74	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	05/31/2009	1,454,725,590	97,386,679	1,357,338,911	1,023,746,441	56,134,972
75	MF GLOBAL INC	Y	CME	05/31/2009	546,577,221	352,121,581	194,455,640	7,359,834,415	477,157,021
76	MG FINANCIAL LLC	N	NFA	05/31/2009	5,082,313	500,000	4,582,313	0	0
77	MID CO COMMODITIES INC	N	NFA	05/31/2009	11,256,105	2,782,460	8,473,645	22,295,379	0
78	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	05/29/2009	69,139,423	1,375,990	67,763,433	0	0
79	mitsui BUSSAN COMMODITIES USA INC	N	NYME	05/31/2009	7,356,952	2,724,941	4,632,011	0	0
80	MIZUHO SECURITIES USA INC	Y	CME	05/29/2009	315,009,570	48,530,703	266,478,867	884,579,451	316,993,981
81	MORGAN KEEGAN & COMPANY INC	Y	NFA	05/31/2009	343,454,901	9,941,954	333,512,947	0	0
82	MORGAN STANLEY & CO INCORPORATED	Y	CME	05/29/2009	11,198,785,593	958,278,843	10,240,506,750	4,477,695,059	3,946,687,431
83	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	05/31/2009	303,362,303	500,000	302,862,303	0	0

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84	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	05/29/2009	207,394,820	500,000	206,894,820	0	0
85	NEUBERGER BERMAN LLC	Y	NFA	05/31/2009	43,762,641	1,500,000	42,262,641	0	0
86	NEWEDGE USA LLC	Y	NYME	05/31/2009	2,420,634,369	1,516,838,368	903,796,001	19,021,981,680	7,524,991,485
87	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	05/31/2009	1,134,665,718	5,834,210	1,128,831,508	0	0
88	OANDA CORPORATION	N	NFA	05/31/2009	159,062,073	20,000,000	139,062,073	0	0
89	ODL SECURITIES INC	N	NFA	05/31/2009	3,260,017	1,000,000	2,260,017	0	0
90	OPEN E CRY LLC	N	NFA	05/31/2009	2,739,917	827,546	1,912,371	66,048,966	608,683
91	OPPENHEIMER & CO INC	Y	NFA	05/29/2009	188,145,685	18,148,253	169,997,432	16,908,433	0
92	OPTIONSPRESS INC	Y	NFA	05/31/2009	81,353,587	5,865,811	75,487,776	62,370,038	567,259
93	PENSON GHCO	N	CBOT	05/31/2009	18,893,187	15,609,142	3,284,045	450,603,453	31,624,667
94	PEREGRINE FINANCIAL GROUP INC	N	NFA	05/31/2009	36,465,727	20,000,000	16,465,727	245,489,426	17,969,411
95	PIONEER FUTURES INC	N	NYME	05/31/2009	1,352,018	500,000	852,018	0	0
96	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	05/31/2009	236,565,000	170,981,800	65,583,200	2,824,914,000	305,207,000
97	PUMA FINANCIAL LLC	N	NFA	05/29/2009	1,166,356	750,000	416,356	7,798,733	0
98	RAND FINANCIAL SERVICES INC	N	CME	05/31/2009	57,689,614	8,195,382	49,494,232	104,548,300	24,249,200
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	05/31/2009	293,850,478	23,862,647	269,987,831	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	05/29/2009	1,152,248,353	111,173,158	1,041,075,195	1,207,657,805	1,029,537,379
101	RBS SECURITIES INC	Y	CBOT	05/31/2009	2,183,855,000	162,316,000	2,021,539,000	1,312,531,000	142,614,000
102	RJ OBRIEN ASSOCIATES LLC	N	CME	05/31/2009	116,167,924	66,616,751	49,551,173	1,992,151,551	28,294,676
103	ROBBINS FUTURES INC	N	NFA	05/31/2009	594,913	500,000	94,913	3,645,534	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	05/31/2009	61,842,272	33,497,321	28,344,951	1,094,120,760	21,900,987
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	05/31/2009	27,278,067	663,398	26,614,669	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	05/29/2009	139,865,742	8,836,416	131,029,326	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	05/31/2009	76,050,266	602,712	75,447,554	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	05/31/2009	2,559,204	587,816	1,971,388	7,495,546	0
109	SMW TRADING COMPANY INC	N	CME	05/31/2009	35,091,412	500,000	34,591,412	4,963,736	0
110	STEPHENS INC	Y	NFA	05/29/2009	58,809,340	9,947,235	48,862,105	0	0
111	STERLING COMMODITIES CORP	N	NYME	05/31/2009	8,568,037	884,767	7,683,270	31,834,699	847,914
112	TCA FUTURES LLC	N	NFA	05/31/2009	887,533	500,000	387,533	0	0
113	TD AMERITRADE INC	Y	NFA	05/31/2009	175,995,610	500,000	175,495,610	0	0
114	TENCO INC	N	CBOT	05/31/2009	45,080,000	8,644,243	36,435,757	152,699,565	0
115	TERRA NOVA FINANCIAL LLC	Y	NFA	05/29/2009	12,367,383	1,500,000	10,867,383	0	0
116	TIMBER HILL LLC	Y	CME	05/31/2009	1,166,584,525	17,716,574	1,148,867,951	222,677,376	0
117	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	05/31/2009	3,908,233	500,000	3,408,233	0	0
118	TRADELINK LLC	Y	CBOT	05/31/2009	28,125,662	500,000	27,625,662	0	0
119	TRADESTATION SECURITIES INC	Y	NFA	05/31/2009	91,306,530	1,368,695	89,937,835	0	0
120	TRADITION SECURITIES AND FUTURES INC	N	NFA	05/31/2009	811,404	500,000	311,404	0	0
121	TRANSMARKET GROUP LLC	Y	CBOT	05/31/2009	9,314,571	500,000	8,814,571	0	0
122	TRILAND USA INC	N	NYME	05/31/2009	41,360,554	20,652,900	20,707,654	164,995,535	20,721,675
123	UBS FINANCIAL SERVICES INC	Y	NFA	05/31/2009	1,092,100,428	87,325,079	1,004,775,349	196,159,823	1,531,313
124	UBS SECURITIES LLC	Y	CBOT	05/29/2009	12,869,846,649	1,027,998,206	11,841,848,443	12,528,838,445	6,178,977,307
125	VELOCITY FUTURES LLC	N	NFA	05/31/2009	1,043,033	500,000	543,033	35,383,033	892,946

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		<b>(a)</b>	<b>(b)</b>			<b>(c)</b>	<b>(d)</b>	<b>4d(a)(2) (e)</b>	<b>Pt. 30 Required (f)</b>
126	VISION FINANCIAL MARKETS LLC	Y	CME	05/31/2009	20,300,162	5,647,347	14,652,815	256,733,682	1,455,731
127	WACHOVIA CAPITAL MARKETS LLC	Y	CME	05/31/2009	2,049,826,060	1,072,466	2,048,753,594	0	0
128	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	05/31/2009	22,213,752	1,981,825	20,231,927	0	0
129	WELLS FARGO ADVISORS LLC	Y	NFA	05/31/2009	654,286,643	1,500,000	652,786,643	0	0
130	WHITE COMMERCIAL CORPORATION	N	NFA	05/31/2009	2,244,605	500,000	1,744,605	0	0
131	WSD FINANCIAL USA INC	N	NFA	05/31/2009	1,261,688	500,000	761,688	0	0
132	YORK BUSINESS ASSOCIATES LLC	N	NFA	05/31/2009	1,363,750	500,000	863,750	44,545,670	1,134,262
	<b>Totals</b>							143,563,579,680	37,655,795,772



