SELECTED FCM FINANCIAL DATA AS OF July 31, 2016 FROM REPORTS FILED BY August 30, 2016

А	В	С	D	F	F	G	Н		J	K	ı	M	N	0	Р	0	R	S	T	U
	_					_			_		_			Excess/Deficient				Excess/Deficient		Total Amount of
	Futures Commission Merchant /	Registered As	DSRO	As of	Adjusted Net	Net Capital	Excess Net	Customers' Assets	Customers' Seg	Excess/Deficient	Target Residual	Funds in Separate Section 30.7	Customer Amount	Funds in Separate	Target Residual Interest in Section	Funds in Separate Cleared Swap	Customer Amount Cleared Swap Seg	Funds in Cleared	Target Residual Interest in Cleared	Retail Forex
	Retail Foreign Exchange Dealer	registered As	20.10	Date	Capital	Requirement	Capital	in Seg	Required 4d(a)(2)	Funds in Seg	Interest in Seg	Accounts	Pt. 30 Required	Section 30.7	30.7	Segregation	Required	Swap Seg	Swap Seg	Obligation
2		(a)	(h)			(a)	(d)	(a)	(6)	(m)	(%)	<i>(</i> i)	/a	Accounts	(1)		(m)	Accounts		_
3		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	U	(k)	(1)	(m)	(n)	(0)	(p)	(p)
4 1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	7/31/2016	604,200,700	172,868,758	431,331,942	3,093,114,544	2,777,028,249	316,086,295	157,520,592	171,587,654	142,292,468	29,295,186	14,229,247	0	0	0	0	0
	ADM INVESTOR SERVICES INC	FCM	CBOT	7/31/2016	318,999,702	202,917,941	116,081,761	4,755,002,411	4,488,429,388	266,573,023	120,000,000	375,356,886	315,702,448	59,654,438	20,000,000	11,103,174	1,435,200	9,667,974	8,000,000	0
	ADVANTAGE FUTURES LLC	FCM	CME	7/31/2016	23,758,942	13,321,366	10,437,576	583,991,215	567,690,799	16,300,416	9,000,000	35,353,851	33,546,891	1,806,960	1,000,000		0	0	0	0
	AMP GLOBAL CLEARING LLC	FCM	NFA	7/29/2016	2,429,559	1,500,000	929,559	54,164,855	52,496,465	1,668,390		1,866,632	1,543,523	323,109	150,000	0	0	0	0	0
	APERTURE LLC BARCLAYS CAPITAL INC	FCM BD	NFA NYMF	7/31/2016 7/31/2016	11,470,802 6,444,765,846	1,000,000 1,165,306,520	10,470,802 5,279,459,326	2,770,118 5,585,574,630		884,279 879,952,614		3,269,785,978	2,908,468,633	361,317,345	146,005,125	5,494,526,989	4,832,077,517	662,449,472	272,529,172	0
	BGC FINANCIAL LP	FCM BD	NFA	7/31/2016	40,361,088	1,841,256	38.519.832	0,505,571,050	1,703,022,010	075,532,011	250,005,211	0	2,500,100,033	0 0	0	3, 13 1,320,303	0	002,113,172	0	0
11 8	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	7/31/2016	2,083,872,669	214,760,623	1,869,112,046	2,480,607,688	2,168,754,433	311,853,255	216,875,443	37,883,700	23,218,907	14,664,793	10,000,000	16,878,660	8,777,121	8,101,539	5,000,000	0
	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	7/31/2016	1,907,561,620	226,795,759	1,680,765,861	1,281,980,791	1,046,233,876	235,746,915		211,997,516	179,587,289	32,410,227	17,958,729	979,758,816	727,841,530	251,917,286	72,784,153	0
	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	7/31/2016	9,427,820	1,429,860	7,997,960	31,692,797	30,110,176	1,582,621	1,000,000	185	0	185	1	6	0	6	1	0
	CANTOR FITZGERALD & CO CHS HEDGING LLC	FCM BD FCM	CBOT	7/31/2016 7/31/2016	271,783,013 32,085,323	8,436,279 10.782.904	263,346,734 21,302,419	4,969,921 186,717,629	136.945.903	4,969,921 49,771,726	3,000,000 13,100,000	2.058.262	647 573	1.410.689	545.000	503 912	0	503.912	310 000	0
	CITIGROUP GLOBAL MARKETS INC.	FCM BD SD	CBOT/NFA	7/31/2016	7,276,833,802	1.643.222.421	5.633.611.381	8.630.557.970		351,230,692		1,798,178,045	1,475,209,276	322.968.769	150,000,000		11.183.263.907	336,189,850	254.875.000	0
	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	7/31/2016	11,896,778,663	1,542,256,036	10,354,522,627	6,490,683,514		420,684,155		2,741,365,082		262,025,730	123,966,968					0
	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	7/31/2016	5,185,138	1,434,730	3,750,408	77,324,263	73,091,600	4,232,663	3,050,000	229,042	16,686	212,356	100,000		0	0	0	0
	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	7/31/2016	566,693,679	6,972,208	559,721,471	5,483,555	0	5,483,555	1	575,741	0	575,741	1	0	0	0	0	0
	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	7/31/2016	12,853,282,095	687,015,059				291,767,960		1,185,404,430	989,530,296	195,874,134		1,576,015,928	1,236,631,935	339,383,993	250,000,000	0
	DORMAN TRADING LLC E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	7/31/2016 7/31/2016	9,790,895 121,936,188	2,138,295 74.656.840	7,652,600 47,279,348	176,661,331 1,798,512,065	172,973,434 1,747,650,096	3,687,897 50,861,969	2,000,000	4,699,527 64,148,004	4,076,288 56,969,794	623,239 7,178,210	200,000 3,000,000	2,472,246	1,344,753	1,127,493	500,000	0
	E TRADE CLEARING LLC	FCM BD	NFA	7/31/2016	860.460.747	146,900,097	713,560,650	64,117,818	47,548,303	16,569,515	10,000,000	1,370,038	260,824	1,109,214	1,000,000	2,472,240	1,344,/53	1,127,493	000,000	0
	EFL FUTURES LIMITED	FCM	CME	7/31/2016	32,329,606	20,401,351	11,928,255	299,520,585		13,172,368		0	0	0	0	0	0	0	0	0
25 22	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	7/31/2016	52,890,093	32,098,425	20,791,668	0	0	0	0	0	0	0	0	0	0	0	0	173,920,320
	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	7/31/2016	39,245,735	27,290,278	11,955,457	517,598,540	501,460,173	16,138,367	5,000,000	5,160,072	3,493,303	1,666,769	1,000,000	0	0	0	0	130,422,644
	GH FINANCIALS LLC	FCM	CME	7/31/2016	20,970,646	11,299,144	9,671,502	164,916,923	153,689,121	11,227,802		3,250,295	2,203,262	1,047,033	550,000		0	0	0	0
	GOLDMAN SACHS & CO	FCM BD SD FCM BD	CBOT/NFA	.,,	17,304,817,472	2,677,936,894			23,326,604,211	1,088,387,154			11,004,575,762	594,701,040			3,325,384,212	561,784,470	350,000,000	0
	GOLDMAN SACHS EXECUTION & CLEARING LP HSBC SECURITIES USA INC	FCM BD	CME	7/31/2016 7/31/2016	222,894,513 1,071,316,939	1,000,000 221,780,502	221,894,513 849,536,437	10,000,000 1,211,850,300	1,130,226,451	10,000,000 81,623,849	5,000,000 70,000,000	10,000,000 215,363,619	171,921,000	10,000,000 43,442,619	5,000,000 25,000,000	939,475,092	832,150,896	107,324,196	96,000,000	0
	INTERACTIVE BROKERS LLC	FCM BD	CME	7/31/2016	3,055,692,431	271,287,525			2,910,321,726	216,485,153		474,732,173	358,320,160	116,412,013	80,000,000		0 002/130/030	0	0	34,556,079
	INTL FCSTONE FINANCIAL INC	FCM BD	CME	7/31/2016	132,245,988	90,882,701	41,363,287	2,109,889,049	2,055,815,431	54,073,618	35,000,000	108,860,855	92,582,126	16,278,729	8,000,000	0	0	0	0	0
	IRONBEAM INC	FCM	NFA	7/31/2016	2,328,009	1,471,547	856,462	62,172,057	60,766,862	1,405,195	800,000	234,722	98,741	135,981	50,000	0	0	0	0	0
	ITG DERIVATIVES LLC	FCM BD	NFA	7/31/2016	2,262,663	1,000,000	1,262,663	0	0	0	0	0	0	0	0	0	0	0	0	0
	JEFFERIES LLC JP MORGAN CLEARING CORP	FCM BD FCM BD	NFA NFA	7/31/2016 7/31/2016	1,230,184,579 7,146,709,115	77,515,786 1,596,614,258	1,152,668,793 5,550,094,857	826,401,001	654,577,986	171,823,015	130,915,597	837,069,774	670,844,996	166,224,778	134,168,999	250,000	0	250,000	0	0
	JP MORGAN CLEARING CORP JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	7/31/2016	14,299,226,095	2,674,828,660	11,624,397,435			1,817,803,718		4,351,899,026	3,952,231,448	399.667.578	276.656.201				633,400,702	0
	MACQUARIE FUTURES USA LLC	FCM	CBOT	7/31/2016	252,908,631	174,742,871	78,165,760	 		180,114,895	100,000,000	47,396,369	13,293,741	34,102,628	7,000,000				1,000,000	0
	MAREX NORTH AMERICA LLC	FCM	CME	7/31/2016	22,013,334	16,065,369	5,947,965	208,644,117	202,654,417	5,989,700		54,736,391	53,272,854	1,463,537	1,000,000	0	0	0	0	0
	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	7/31/2016	13,710,241	2,457,555		808,350,072		21,855,756		2,417,861	0	2,417,861	400,000		0	0	0	0
	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	7/31/2016	11,617,381,704	1,542,758,209				296,152,026		3,572,357,040	3,345,403,122	226,953,918	150,000,000	5,621,861,096	4,970,770,519	651,090,577	150,000,000	0
	MERRILL LYNCH PROFESSIONAL CLEARING CORP MID CO COMMODITIES INC	FCM BD FCM	NFA NFA	7/31/2016 7/31/2016	3,496,913,797 8,433,446	510,571,399 4,726,130	2,986,342,398 3,707,316	1,530,105,469 40,006,328	794,881,177 31,578,492	735,224,292 8,427,836		0	0	0	0	0	0	0	0	0
	MINT BROKERS	FCM BD	NFA	7/31/2016	5,328,074	1,000,000	4,328,074	10,000,320	31,376,432	0,427,030	3,130,000	0	0	0	0	0	0	0	0	0
	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	7/31/2016	568,665,028	263,544,313	305,120,715	2,666,013,275	2,462,011,753	204,001,522	125,000,000	809,983,375	712,295,503	97,687,872	70,000,000	48,263,992	15,130,698	33,133,294	15,000,000	0
46 43	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	7/31/2016	10,270,285,071	1,989,357,941	8,280,927,130	15,868,485,114	15,666,707,507	201,777,607	105,000,000	4,189,229,999	3,983,905,863	205,324,136	105,000,000	12,146,040,499	11,847,776,185	298,264,314	92,000,000	0
	MUFG SECURITIES AMERICAS INC	FCM BD	NFA	7/31/2016	377,641,564	1,028,193	376,613,371	0	0	0	0	0	0	0	0	0	0	0	0	0
	NANHUA USA LLC NEUBERGER BERMAN LLC	FCM BD	CME NFA	7/31/2016 7/31/2016	20,069,495	3,234,058 1,500,000	16,835,437 12,142,030	64,881,639	51,325,727	13,555,912	3,500,000	0	0	0	0	0	0	0	0	0
	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	7/31/2016	2,283,066,991	77,285,016	2,205,781,975	257,748,331	155,671,626	102,076,705	15,000,000	18,426,845	75,625	18.351.220	1,000,000	0	0	0	0	0
	OANDA CORPORATION	FCMRFD	NFA	7/31/2016	92,154,657	48,869,342	43,285,315	0	0	0	0	0	0	0	0	0	0	0	0	127,267,004
52 49	OPTIONSXPRESS INC	FCM BD	NFA	7/31/2016	267,078,510	6,228,160	260,850,350	128,311,476		37,560,280		4,321,454	1,387,662	2,933,792	208,149	0	0	0	0	0
	PHILLIP CAPITAL INC	FCM BD	CME	7/31/2016	32,679,831	16,614,412	16,065,419	254,727,202	233,923,407	20,803,795	11,696,170	27,280,203	21,121,569	6,158,634	1,056,078	0	0	0	0	0
	PICTET OVERSEAS INC	FCM BD	NFA	7/31/2016	15,496,436	1,000,000	14,496,436	0	222 222	0	0	0	0	0	0	0	0	0	0	0
	RAND FINANCIAL SERVICES INC RBC CAPITAL MARKETS LLC	FCM BD	CME CME	7/31/2016 7/31/2016	84,007,472 2,029,032,206	18,790,571 247,421,253	65,216,901 1,781,610,953	282,895,500 2,702,224,346	239,083,200 2,453,885,997	43,812,300 248,338,349	.,,	36,750,200 207,076,703	13,954,500 165,186,280	22,795,700 41,890,423	418,600 20,000,000	832,010,840	609,850,392	222,160,448	80,000,000	0
	RBS SECURITIES INC	FCM BD	CBOT	7/31/2016	3,542,499,149	92,332,650				192,186,564		168.847.066	42,423,713	126,423,353	100,000,000		005,050,592	222,100,446	00,000,000	0
	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	7/31/2016	214,490,697	160,564,712	53,925,985	4,362,729,110	4,264,465,036	98,264,074		259,145,380	233,724,557	25,420,823	20,000,000	Ö	0	0	0	ő
	ROSENTHAL COLLINS GROUP LLC	FCM	CME	7/31/2016	85,177,191	61,679,843	23,497,348	1,484,461,679	1,459,028,563	25,433,116	18,000,000	35,801,603		12,173,896	8,000,000	0	0	0	0	0
0.0	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	7/31/2016	209,311,748	29,775,673	179,536,075	0	0	0	0	0	0	0	0	0	0	0	0	0
	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	7/31/2016	127,239,532	11,802,110 38.054.650	115,437,422	549,349,574 1.000.000	544,167,224	5,182,350		750,000	0	750,000	0	0	0	0	0	0
	SCOTIA CAPITAL USA INC SG AMERICAS SECURITIES LLC	FCM BD	CME	7/31/2016 7/31/2016	642,630,631 3,940,164,745	38,054,650 1,335,950,414	604,575,981 2,604,214,331	1,000,000	15,355,778,022	1,000,000 871,416,362	_	/50,000 4,472,200,919		750,000 499,768,008	255,000,000	528,783,589	313,821,368	214,962,221	75,000,000	0
	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	7/31/2016	529,359,313	13,380,705	515,978,608	327,788,492		128,189,257	9,979,962	54,899,408	6,377,630	499,768,008) 313,021,308) 0	214,902,221	75,000,000	0
	STRAITS FINANCIAL LLC	FCM	CME	7/31/2016	24,725,222	10,076,853	14,648,369			17,155,477		40,133,611	33,201,454	6,932,157			0	0	0	0
66 63	TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	7/31/2016	113,415,121	21,717,545	91,697,576	302,357,743	242,634,528	59,723,215	18,000,000	0	0	0	0	0	0	0	0	44,350,905
	TRADESTATION SECURITIES INC	FCM BD	CME	7/31/2016	69,821,636	3,947,195	65,874,441	552,605,600	539,984,041	12,621,559	9,000,000	26,016,848		1,633,743	800,000	0	0	0	0	0
	UBS FINANCIAL SERVICES INC	FCM BD	NFA	7/29/2016	1,226,290,583	127,318,406		99,738,954		35,310,671		29,107,758	4,451,169	24,656,589	15,000,000		0	0	0	0
	UBS SECURITIES LLC UOBBF CLEARING LIMITED	FCM BD FCM	CBOT	7/31/2016 7/31/2016	3,944,110,293 7,729,663	930,868,138	3,013,242,155 6,729,663	8,618,940,592	8,070,909,305	548,031,287	425,000,000	4,304,783,830	3,868,670,180	436,113,650	300,000,000	1,056,842,032	758,324,810	298,517,222	200,000,000	0
71 68	WEDBUSH SECURITIES INC	FCM BD	CBOT	7/31/2016	154,038,212	37,166,078	116,872,134	1,084,627,224	1,067,231,547	17,395,677	13,000,000	24,337,318	21,764,295	2,573,023	1.000.000	1 0	0	0	0	0
	WELLS FARGO SECURITIES LLC	FCM BD	CME	7/31/2016	3,507,359,465	937,138,313	2,570,221,152	3,330,468,655		515,511,824		489,491,972	269,454,690	220,037,282	30,000,000	8,743,486,778	7,828,786,701	914,700,077	575,000,000	0
	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	7/31/2016	5,230,093	1,000,000	4,230,093	43,622,714	38,346,312	5,276,402		2,153,025	1,853,086	299,939	200,000		0	0	0	0
7.4			1																	

SELECTED FCM FINANCIAL DATA AS OF July 31, 2016 FROM REPORTS FILED BY August 30, 2016

1	В	С	D	E	F	G	Н		J	K	L	M	N	0	Р	Q	R	S	Т	U
75	Totals							170,840,863,922	159,476,427,012	11,364,436,910	6,605,093,289	46,385,353,089	41,678,942,262	4,706,410,827	2,744,963,099	74,408,830,145	67,744,995,996	6,663,834,149	3,641,091,751	510,516,952
76																				
77	June Web Page Update	70																		
78																				
79	Additions	0																		
80	NONE																			
81																				
82	Deletions	0																		
83	NONE																			
84																				
85	Name Changes																			
86	NONE																			
87																				
88	July Web Page Update	70																		
89																				
90	(a): FCM: Futures Commission Merchant that is registered																		•	
91	BD: The FCM is also registered with the Securities	and Exchange	Commission	as a securities	broker or dealer.															
92	RFED: Retail Foreign Exchange Dealer that is registe	red with the Co	ommodity Fut	ures Trading C	Commission.															

- - FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
 - SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.
- (b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
- (c): A firm's net capital requirement is the greater of:
- 96 97 98 99
 - FCM minimum dollar amount (\$1,000,000); or
 - risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
 - · the amount of capital required by a registered futures association (currently NFA is the only such association); or
 - for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

 - minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.
 - (d): Excess net capital is adjusted net capital, less the firm's net capital requirement.
- 108 Location (a): Excess her capital is adjusted her capital, less the finite share capital requirement.

 108

 109

 (e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

 110
 - (f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.
 - (g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.
 - (h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.
 - (i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.
 - (j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.
 - (k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.
 - (I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.
 - (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.
- (n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.
 - (o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.
 - (p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.
- [133] (q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.