

SELECTED FCM FINANCIAL DATA AS OF
November 30, 2009
FROM REPORTS FILED BY
December 31, 2009

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	ACM USA LLC	N	NFA	11/30/2009	772,310	500,000	272,310	0	0
2	ADM INVESTOR SERVICES INC	N	CBOT	11/30/2009	170,011,208	98,652,016	71,359,192	2,001,340,318	107,243,344
3	ADVANCED MARKETS LLC	N	NFA	11/30/2009	20,603,287	20,000,000	603,287	0	0
4	ADVANTAGE FUTURES LLC	N	CME	11/30/2009	16,646,932	6,376,559	10,270,373	253,748,149	21,409,805
5	AIG CLEARING CORPORATION	N	NYME	11/30/2009	225,000,957	500,000	224,500,957	0	0
6	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	09/30/2009	20,468,279	7,257,790	13,210,489	0	0
7	ALPARI (US) LLC	N	NFA	11/30/2009	21,879,836	20,000,000	1,879,836	0	0
8	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	11/30/2009	21,414,803	500,000	20,914,803	0	0
9	AMERIPRISE FINANCIAL SERVICES INC	Y	NFA	11/30/2009	45,401,212	500,000	44,901,212	0	0
10	BANC OF AMERICA SECURITIES LLC	Y	CME	11/30/2009	2,552,829,301	116,987,783	2,435,841,518	0	0
11	BARCLAYS CAPITAL INC	Y	NYME	11/30/2009	6,140,085,200	655,821,211	5,484,263,989	4,846,317,950	1,902,711,645
12	BGC FINANCIAL LP	Y	NFA	11/30/2009	19,894,968	500,000	19,394,968	0	0
13	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	11/30/2009	309,734,386	167,382,949	142,351,437	1,390,004,492	509,668,266
14	BNP PARIBAS SECURITIES CORP	Y	CBOT	11/30/2009	1,744,238,780	83,280,165	1,660,958,615	353,276,388	0
15	CADENT FINANCIAL SERVICES LLC	N	NFA	11/30/2009	696,812	500,000	196,812	0	0
16	CANTOR FITZGERALD & CO	Y	CBOT	11/30/2009	160,613,573	2,438,366	158,175,207	11,698,853	0
17	CAPITAL MARKET SERVICES LLC	N	NFA	11/30/2009	23,984,785	21,188,215	2,796,570	0	0
18	CIBC WORLD MARKETS CORP	Y	CME	11/30/2009	1,372,722,043	1,500,000	1,371,222,043	0	0
19	CITADEL SECURITIES LLC	Y	CME	11/30/2009	329,443,027	1,000,000	328,443,027	0	0
20	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	11/30/2009	9,377,767,614	691,814,538	8,685,953,076	10,878,159,175	1,115,752,626
21	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	11/30/2009	1,011,383	500,000	511,383	0	0
22	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	11/30/2009	3,236,906	500,000	2,736,906	0	0
23	COUNTRY HEDGING INC	N	NFA	11/30/2009	15,839,898	8,151,572	7,688,326	92,215,831	41,274
24	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	11/30/2009	8,405,564,668	700,536,013	7,705,028,655	3,922,075,034	1,465,888,116
25	CROSSLAND LLC	N	CBOT	11/30/2009	7,416,527	3,923,809	3,492,718	46,848,287	25,894,918
26	CUNNINGHAM COMMODITIES LLC	N	CBOT	11/30/2009	3,342,805	760,344	2,582,461	35,630,254	38,617
27	CX CAPITAL MARKETS LLC	N	NFA	11/30/2009	1,053,355	500,000	553,355	8,649,286	0
28	DAIWA SECURITIES AMERICA INC	Y	CME	11/30/2009	284,456,850	5,012,290	279,444,560	6,591,300	46,698
29	DEUTSCHE BANK SECURITIES INC	Y	CBOT	11/30/2009	7,323,403,914	392,814,707	6,930,589,207	13,358,950,643	1,354,143,287
30	DORMAN TRADING LLC	N	CME	11/30/2009	7,819,699	693,536	7,126,163	84,303,588	107,185
31	EAGLE MARKET MAKERS INC	N	CBOT	11/30/2009	5,645,646	500,000	5,145,646	3,375,245	0
32	EASY FOREX US LTD	N	NFA	11/30/2009	20,665,102	20,000,000	665,102	0	0
33	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	11/30/2009	9,477,652	555,333	8,922,319	0	0
34	ENSKILDA FUTURES LTD	N	CME	11/30/2009	26,811,485	18,528,706	8,282,779	358,260,122	0
35	FARR FINANCIAL INC	N	NFA	11/30/2009	1,014,929	535,552	479,377	34,580,190	13,500
36	FCSTONE LLC	N	CME	11/30/2009	75,755,561	38,172,339	37,583,222	803,390,244	6,152,646
37	FOREX CAPITAL MARKETS LLC	N	NFA	11/30/2009	68,574,018	25,050,658	43,523,360	0	0
38	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	11/30/2009	24,290,794	20,000,000	4,290,794	0	0
39	FORTIS CLEARING AMERICAS LLC	Y	CBOT	11/30/2009	218,264,499	50,016,929	168,247,570	1,021,090,391	62,518,792
40	FRIEDBERG MERCANTILE GROUP INC	N	NFA	11/30/2009	3,363,800	500,000	2,863,800	6,149,886	0
41	FRONTIER FUTURES INC	N	NFA	11/30/2009	1,330,260	880,932	449,328	22,968,431	0

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42	FUTURES TECH LLC	N	NFA	11/30/2009	815,540	500,000	315,540	0	0
43	FX SOLUTIONS LLC	N	NFA	11/30/2009	52,466,413	20,000,000	32,466,413	0	0
44	GAIN CAPITAL GROUP LLC	N	NFA	11/30/2009	79,058,968	25,808,266	53,250,702	0	0
45	GENESIS FUTURES LLC	N	NFA	11/30/2009	761,967	500,000	261,967	0	0
46	GFS FOREX & FUTURES INC	N	NFA	11/30/2009	1,805,352	500,000	1,305,352	0	0
47	GILDER GAGNON HOWE & CO LLC	Y	NFA	11/30/2009	14,726,976	500,000	14,226,976	0	0
48	GLOBAL FUTURES & FOREX LTD	N	NFA	11/30/2009	73,797,865	26,354,093	47,443,772	286,588	209,508
49	GOLDMAN SACHS & CO	Y	CBOT	11/30/2009	13,061,735,092	1,938,944,413	11,122,790,679	18,524,384,477	6,991,971,757
50	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	11/30/2009	1,923,630,716	113,786,909	1,809,843,807	1,256,331,625	17,179,006
51	HOTSPOT FXR LLC	N	NFA	11/30/2009	6,671,765	500,000	6,171,765	0	0
52	HSBC SECURITIES USA INC	Y	CME	11/30/2009	1,473,171,429	69,726,254	1,403,445,175	742,743,775	109,273,432
53	I TRADE FX LLC	N	NFA	11/30/2009	797,362	500,000	297,362	0	0
54	ICAP FUTURES LLC	N	NFA	11/30/2009	12,647,104	500,000	12,147,104	0	0
55	IG MARKETS INC	N	NFA	11/30/2009	30,632,638	20,000,000	10,632,638	0	0
56	IKON GLOBAL MARKETS INC	N	NFA	11/30/2009	22,006,933	20,601,565	1,405,368	1,649,303	946,364
57	INSTINET LLC	Y	NFA	11/30/2009	117,797,419	1,500,000	116,297,419	0	0
58	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	11/30/2009	1,386,286	500,000	886,286	0	0
59	INTERACTIVE BROKERS LLC	Y	NFA	11/30/2009	773,699,591	88,411,256	685,288,335	406,959,270	161,704,517
60	INTERBANK FX LLC	N	NFA	11/30/2009	33,250,967	21,472,683	11,778,284	0	0
61	ITG DERIVATIVES LLC	Y	NFA	11/30/2009	3,309,937	500,000	2,809,937	0	0
62	JP MORGAN CLEARING CORP	Y	CME	11/30/2009	4,981,842,963	1,576,650,016	3,405,192,947	442,114,374	233,974,724
63	JP MORGAN FUTURES INC	N	NYME	11/30/2009	2,186,246,301	1,030,125,521	1,156,120,780	13,848,612,643	1,911,236,815
64	JP MORGAN SECURITIES INC	Y	NFA	11/30/2009	8,366,898,156	534,819,717	7,832,078,439	0	0
65	LADENBURG THALMANN & CO INC	Y	NFA	11/30/2009	5,560,433	500,000	5,060,433	0	0
66	LEK SECURITIES CORPORATION	Y	NFA	11/30/2009	12,045,799	1,500,000	10,545,799	209,264	0
67	LIMESTONE TRADING LLC	N	NFA	11/30/2009	12,328,854	500,000	11,828,854	0	0
68	LINN GROUP THE	N	NFA	11/30/2009	2,785,278	541,537	2,243,741	31,400,355	1,750
69	LPL FINANCIAL CORPORATION	Y	NFA	11/30/2009	85,922,137	6,027,920	79,894,217	0	0
70	MACQUARIE FUTURES USA INC	N	CBOT	11/30/2009	65,588,034	35,144,808	30,443,226	873,625,647	1,810,939
71	MAREX USA LIMITED	N	NFA	11/30/2009	1,304,310	500,000	804,310	0	0
72	MB TRADING FUTURES INC	N	NFA	11/30/2009	23,828,976	20,000,000	3,828,976	0	0
73	MBF CLEARING CORP	N	NYME	11/30/2009	11,079,888	1,670,372	9,409,516	86,126,319	6,505,344
74	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	11/30/2009	10,469,540	2,128,314	8,341,226	518,695,835	0
75	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/30/2009	5,142,168,690	597,155,174	4,545,013,516	8,344,409,730	799,537,488
76	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/30/2009	1,407,756,618	102,326,989	1,305,429,629	965,931,640	28,775,532
77	MF GLOBAL INC	Y	CME	11/30/2009	544,176,658	387,513,256	156,663,402	8,004,540,264	480,962,313
78	MG FINANCIAL LLC	N	NFA	11/30/2009	5,003,598	500,000	4,503,598	0	0
79	MID CO COMMODITIES INC	N	NFA	11/30/2009	11,314,525	2,612,858	8,701,667	22,699,700	0
80	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	11/30/2009	65,380,826	1,665,998	63,714,828	0	0
81	mitsui BUSSAN COMMODITIES USA INC	N	NYME	11/30/2009	8,069,261	2,361,147	5,708,114	0	0
82	MIZUHO SECURITIES USA INC	Y	CME	11/30/2009	340,345,265	34,431,514	305,913,751	567,483,081	199,697,406
83	MORGAN KEEGAN & COMPANY INC	Y	NFA	11/30/2009	396,852,819	8,963,480	387,889,339	0	0

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84	MORGAN STANLEY & CO INCORPORATED	Y	CME	11/30/2009	10,133,275,895	1,035,477,137	9,097,798,758	4,821,472,952	3,543,406,566
85	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	11/30/2009	488,274,145	500,000	487,774,145	0	0
86	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	11/30/2009	223,667,698	500,000	223,167,698	0	0
87	NEUBERGER BERMAN LLC	Y	NFA	11/30/2009	105,731,790	1,500,000	104,231,790	0	0
88	NEWEDGE USA LLC	Y	NYME	11/30/2009	2,383,048,928	1,210,740,482	1,172,308,446	19,338,682,117	6,984,126,240
89	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	11/30/2009	940,151,353	5,945,295	934,206,058	0	0
90	OANDA CORPORATION	N	NFA	11/30/2009	164,766,421	28,640,513	136,125,908	0	0
91	OPEN E CRY LLC	N	NFA	11/30/2009	3,368,387	635,544	2,732,843	72,615,826	719,372
92	OPPENHEIMER & CO INC	Y	NFA	11/30/2009	159,132,376	21,718,624	137,413,752	40,467,937	0
93	OPTIONSPRESS INC	Y	NFA	11/30/2009	94,636,871	10,385,142	84,251,729	60,052,732	1,359,164
94	PENSON GHCO	N	CBOT	11/30/2009	22,290,060	15,074,915	7,215,145	423,130,674	28,236,805
95	PEREGRINE FINANCIAL GROUP INC	N	NFA	11/30/2009	32,036,128	20,000,000	12,036,128	350,172,944	33,709,205
96	PIONEER FUTURES INC	N	NYME	11/30/2009	1,324,964	500,000	824,964	0	0
97	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	11/30/2009	216,580,000	175,130,920	41,449,080	3,040,135,000	341,061,000
98	RAND FINANCIAL SERVICES INC	N	CME	11/30/2009	59,971,910	8,274,847	51,697,063	97,336,900	34,960,800
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	11/30/2009	414,065,884	26,284,118	387,781,766	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	11/30/2009	1,160,908,380	102,292,534	1,058,615,846	720,571,878	904,917,018
101	RBS SECURITIES INC	Y	CBOT	11/30/2009	1,308,118,000	183,856,000	1,124,262,000	1,437,520,000	130,367,000
102	RJ OBRIEN ASSOCIATES LLC	N	CME	11/30/2009	114,680,433	63,726,619	50,953,814	2,023,007,738	34,615,163
103	ROBBINS FUTURES INC	N	NFA	11/30/2009	577,474	500,000	77,474	3,222,755	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	11/30/2009	68,668,745	36,032,498	32,636,247	1,254,847,538	15,477,086
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	11/30/2009	44,377,714	694,000	43,683,714	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	11/30/2009	156,049,120	10,802,897	145,246,223	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	11/30/2009	75,525,880	826,051	74,699,829	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	11/30/2009	2,568,879	500,000	2,068,879	8,710,293	0
109	SMW TRADING COMPANY INC	N	CME	11/30/2009	35,602,915	500,000	35,102,915	4,367,522	0
110	STATE STREET GLOBAL MARKETS LLC	Y	NFA	11/30/2009	269,820,511	500,000	269,320,511	0	0
111	STEPHENS INC	Y	NFA	11/30/2009	59,164,121	13,046,081	46,118,040	0	0
112	STERLING COMMODITIES CORP	N	NYME	11/30/2009	7,224,252	1,019,324	6,204,928	38,042,438	831,498
113	TCA FUTURES LLC	N	NFA	11/30/2009	841,180	500,000	341,180	0	0
114	TD AMERITRADE INC	Y	NFA	11/30/2009	326,903,193	500,000	326,403,193	0	0
115	TENCO INC	N	CBOT	11/30/2009	47,666,188	7,454,412	40,211,776	145,932,017	0
116	TERRA NOVA FINANCIAL LLC	Y	NFA	11/30/2009	11,375,334	1,500,000	9,875,334	0	0
117	TIMBER HILL LLC	Y	CME	11/30/2009	1,087,216,228	34,145,579	1,053,070,649	454,444,297	0
118	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	11/30/2009	3,499,296	500,000	2,999,296	0	0
119	TRADELINK LLC	Y	CBOT	11/30/2009	27,731,526	500,000	27,231,526	0	0
120	TRADESTATION SECURITIES INC	Y	NFA	11/30/2009	87,502,787	1,853,956	85,648,831	0	0
121	TRADITION SECURITIES AND FUTURES INC	N	NFA	11/30/2009	754,556	500,000	254,556	0	0
122	TRANSMARKET GROUP LLC	Y	CBOT	11/30/2009	10,162,320	500,000	9,662,320	0	0
123	TRILAND USA INC	N	NYME	11/30/2009	37,324,638	12,011,357	25,313,281	140,870,845	38,136,957
124	UBS FINANCIAL SERVICES INC	Y	NFA	11/30/2009	774,236,740	93,151,473	681,085,267	130,035,202	1,049,406
125	UBS SECURITIES LLC	Y	CBOT	11/30/2009	13,365,122,827	890,834,105	12,474,288,722	9,982,382,461	5,272,089,850

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126	VELOCITY FUTURES LLC	N	NFA	11/30/2009	1,174,197	500,000	674,197	35,982,035	2,309,134
127	VISION FINANCIAL MARKETS LLC	Y	CME	11/30/2009	20,017,185	8,011,646	12,005,539	331,836,613	2,038,510
128	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	11/30/2009	26,183,589	2,390,287	23,793,302	0	0
129	WELLS FARGO ADVISORS LLC	Y	NFA	11/30/2009	457,534,132	1,554,295	455,979,837	0	0
130	WELLS FARGO SECURITIES LLC	Y	CME	11/30/2009	2,054,328,150	1,783,493	2,052,544,657	0	0
131	WHITE COMMERCIAL CORPORATION	N	NFA	11/30/2009	2,563,434	500,000	2,063,434	0	0
132	WSD FINANCIAL USA INC	N	NFA	11/30/2009	1,238,789	500,000	738,789	0	0
133	YORK BUSINESS ASSOCIATES LLC	N	NFA	11/30/2009	1,785,252	500,000	1,285,252	48,369,600	1,297,754
	Totals							139,182,038,261	34,886,126,142

