

Commodity Futures Trading Commission  
CEA CASES

**NAME:** EDWARD A. COX

**DOCKET NUMBER:** STIPULATION 74

**DATE:** APRIL 12, 1965

**DOCUMENT TYPE:** STIPULATION OF COMPLIANCE

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

In re: Edward A. Cox

Stipulation of Compliance under the Commodity Exchange Act No. 74

The Secretary of Agriculture has reason to believe that Edward A. Cox has violated the provisions of the Commodity Exchange Act (7 U.S.C., Chapter 1) and the regulations of the Secretary of Agriculture promulgated thereunder (17 CFR, Chapter 1). No complaint with respect to such violations has been issued and the said Edward A. Cox is desirous of disposing of the matter by entering into a stipulation of compliance as authorized by section 0.4(a) of the Rules of Practice under the Commodity Exchange Act (17 CFR, 0.0-0.28). Therefore, the said Edward A. Cox stipulates the following facts are true

(1) Edward A. Cox, an individual whose address is 141 West Jackson Boulevard, Chicago, Illinois, 60604, is now and was at all times mentioned herein a member of the Board of Trade of the City of Chicago, a duly designated contract market under the Commodity Exchange Act.

(2) The registration of Edward A. Cox as floor broker under the Commodity Exchange Act for the calendar year 1964 expired on December 31, 1964, and the said Edward A. Cox did not submit an application for registration as floor broker under the act for the calendar year 1965 until March 4, 1965.

(3) During the period from January 1 through March 4, 1965, Edward A. Cox acted as floor broker by executing for others orders for the purchase or sale of commodities for future delivery on or subject to the rules of the Board of Trade of the City of Chicago and for such services received and accepted compensation.

The Secretary of Agriculture has advised Edward A. Cox that by reason of such acts he has violated section 4e of the act and section 1.8 of the regulations thereunder.

The said Edward A. Cox agrees to desist from such acts and practices in the future. He further understands and agrees that this stipulation shall be admissible as evidence of such acts and practices in any future proceeding based upon acts or practices in addition to those referred to herein which may be brought against him by the Secretary of Agriculture under the provisions of the said act. He also understands that it is the practice of the Commodity Exchange Authority to make stipulations of compliance a matter of public record.

Done at Chicago, Illinois, this 12 day of April, 1965

[SEE SIGNATURE IN ORIGINAL]

Edward A. Cox

Witnessed by:

[SEE SIGNATURE IN ORIGINAL]

[SEE SIGNATURE IN ORIGINAL]

**LOAD-DATE:** June 16, 2008

