

Commodity Futures Trading Commission
CEA CASES

NAME: JOSEPH A. FRIEDMAN AND JOSEPH A. FRIEDMAN CO.

DOCKET NUMBER: 115

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington 25, D. C.

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 115

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging the following respondent with violation of the Commodity Exchange Act:

Joseph A. Friedman and

Joseph A. Friedman Co.

New York, New York

Joseph A. Friedman is a member of the New York Mercantile Exchange and a registered floor broker under the act. Joseph A. Friedman Co. is a partnership composed of Joseph A. Friedman and his wife and is a registered futures commission merchant under the act. The complaint charges that the respondents unlawfully took the opposite side of customers' orders for their own account and cheated or defrauded customers. The charges pertain to transactions in May 1963 potato futures on the New York Mercantile Exchange during January and February 1963.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, February 19, 1964, in New York, New York.

LOAD-DATE: June 12, 2008

