

Commodity Futures Trading Commission
CEA CASES

NAME: HAROLD GOLDSTEIN

DOCKET NUMBER: 208

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 208

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Harold Goldstein

(Beverly Hills, California)

The complaint charges that during April and June 1971, Harold Goldstein, while employed as the branch office manager of a registered futures commission merchant, converted to his own use funds belonging to three of his employer's regulated commodity customers.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint will be held in Los Angeles, California, at a place therein and date to be specified later.

LOAD-DATE: June 16, 2008

