

Commodity Futures Trading Commission
CEA CASES

NAME: M. J. KING, INC., AND MAX J. KING

DOCKET NUMBER: 136

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 136

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

M. J. King, Inc., and

Max J. King

(New York, New York)

The complaint charges that M. J. King, Inc., while operating as a futures commission merchant during the period July 28, 1965, until January 25, 1966, and its president, Max J. King, failed to maintain books and records required under the Commodity Exchange Act. The complaint also alleges that, from January 1, 1966, through January 24, 1966, the respondent corporation was not registered as a futures commission merchant as required by the act.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, May 25, 1966, in New York, New York.

LOAD-DATE: June 12, 2008

