

Commodity Futures Trading Commission  
CEA CASES

**NAME:** CARL D. LEAVEN

**DOCKET NUMBER:** 184

**DATE:** NOVEMBER 22, 1971

**DOCUMENT TYPE:** CEASE AND DESIST ORDER

Docket No. 184

Name: Carl D. Leaven

Effective On or about November 22, 1971

Effective upon the date of service of this order upon respondents Robert E. O'Grady, Carl D. Leaven, Leonard B. Feldman and Time Trading Co., they shall cease and desist from: (1) entering into, or offering to enter into, any futures transaction subject to the Commodity Exchange Act if such transaction is, is of the character of, or is commonly known to the trade as, a "wash sale"; and (2) executing any futures transaction subject to the Commodity Exchange Act if such transaction is not executed openly and competitively in accordance with section 1.38 of the regulations issued under the Commodity Exchange Act (17 CFR 1.38).

Done at Washington, D. C.

NOV 18 1971

[SEE SIGNATURE IN ORIGINAL]

Thomas J. Flavin

Judicial Officer

**LOAD-DATE:** June 16, 2008



