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| **SUBMISSION COVER SHEET** |
| **Registered Entity Identifier Code (optional)** **Date:**  ***IMPORTANT*: Check box if confidential treatment is requested.** [ ]  |
| **ORGANIZATION** |        |
|  **FILING AS A:** [ ]  **DCM** [ ]  **SEF** [ ]  **DCO** [ ]  **SDR** [ ]  **ECM/SPDC**  |
| **TYPE OF FILING** |  |
| * **Rules and Rule Amendments**

 [ ]  Certification under § 40.6 (a) or § 41.24 (a) [ ]  “Non-Material Agricultural Rule Change” under § 40.4 (b)(5) [ ]  Notification under § 40.6 (d) [ ]  Request for Approval under § 40.4 (a) or § 40.5 (a) [ ]  Advance Notice of SIDCO Rule Change under § 40.10 (a)* **Products**

 [ ]  Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a) [ ]  Swap Class Certification under § 40.2 (d) [ ]  Request for Approval under § 40.3 (a) [ ]  Novel Derivative Product Notification under § 40.12 (a) |
| **RULE NUMBERS** |  |
|       |
| **DESCRIPTION** |  |
|       |
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