Column C	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	_		Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
Company Comp		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)		(1)	(m)	(n)		(p)	(p)
Control Cont		FCM BD				,		1,-1-1-1-1-1			,		1 _ 1 - 1 - 1				0	0	0	0
Company Comp								, , , , , , , , , , , , , , , , , , , ,									0	9,812,257	8,000,000	0
Control of the cont			_														0	0	0	0
Control Cont									92,945,031	27,559,859	10,000,000	0	0	0	(0 0	0	0	0	0
Company Comp									9.881.360.354	318.787.780	55.000.000	3.407.543.515	3.233.310.128	174.233.387	12.000.000	0 12.820.173.038	12.067.722.069	752.450.969	250.000.000	0
Company Comp	8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	10/31/2021	2,318,134,854	730,835,410	1,587,299,444	5,753,555,309	5,128,565,841	624,989,468	503,000,000	618,585,694	263,662,240	354,923,454	30,000,000	2,096,349,324	1,928,489,113	167,860,211	95,000,000	0
Description Company												5,309,711,496	5,080,029,263	229,682,233 0	150,000,000	0 13,727,004,158	13,186,024,477	540,979,681	150,000,000	<u> </u>
Property						907,006,675		9,706	0			0	0	0	(0 0	0	0	0	0
Company Comp		. 0				00/010/100			00. 00. 00.			1 527 272	0	1 527 272	200.000	0 (0	<u>0</u>	120,000	61,803,327
Tell						, ,							5,824,511,755		_00/000	0-0/-00				0
Proceedings Process					, , , ,	, , ,		, , , , , , , , , , , , , , , , , , , ,	0/000/000/200		, ,					, , ,	7,916,785,463	710,341,042	395,839,273	0
Conference Con		. 0		,	. /005/55.	-/000/000			53,764,646		3,050,000		796,927	1 - 1 - 1	100,000	0 <u>0</u>	0	0	0	0
Separate Control Con	18 DEUTSCHE BANK SECURITIES INC		CBOT	10/31/2021	9,210,889,878	242,416,440	8,968,473,438	2,808,922,646		200,607,362		100/020	628,586,053	,	75,000,000	0 0	0	0	0	0
Company Comp												17 347 660	16 603 847	742 822	200.000		0	0	0	0
STATE Column Co																	0	0	0	0
Second Conference Property									126,381,518	36,843,174	9,000,000	0	0	0	(0 0	0	0	0	0
Company Comp		. 0				=/000/000			0	13,566,531	10,000.000	0 1,795.796	0	<u> </u>	1,000.000	0 0	0	0	0	203,356,704
Figure 1	25 GH FINANCIALS LLC	FCM	CME	10/31/2021	27,442,591	8,730,612	18,711,979	143,151,742		19,411,256	6,100,000	5,612,450	1/200/1 10	1,418,710	400,000	•	0	0	0	0
Property Column Property C			0201/1111	,,			1 1/007 /500/020	50/0 10/0 11/7 51	35,151,300,921	895,540,830	675,000,000	10,481,406,229	9,912,946,758	568,459,471 0	475,000,000	0 15,039,214,207	14,553,876,066	485,338,141	350,000,000	<u> </u>
## PROPERTY OF CASE 100 10						456,323,147	782,235,542	4,092,800,360	3,980,155,316	112,645,044	101,000,000	160,583,895	133,663,366	26,920,529	15,000,000	1,344,781,553	1,272,871,411	71,910,142	61,000,000	0
		111							7 552 007 080	220 705 887	0	625 115 224	0 508 074 061	127 041 272	90,000,000	0 0	0	0	0	36,715,084
PERSON COUNTY C			<u> </u>			555/125/551	.,,	. , ,	.,00=,00.,000				200/01 1/002		00/000/000	•	0	0	0	<u> </u>
PROCEEDING 100								_	0	(0	0	0	0	(0 (0	0	0	0
September Company Co						-11		.0/==0/.00/000				. //	. / = / /							0
Fig. Section	35 MAREX NORTH AMERICA LLC		CME		189,368,392	170,031,635	19,336,757	3,380,399,108	3,351,066,962	29,332,146	20,330,000	218,704,323		43,166,419	10,250,000	0 (0	0	0	0
Sign Conference The Wish 2017/25 1,640,200 361,000 360,100 360,100 360,000											_0/000/000		0	2,567,861	1,000,000	0 (0	0	0	0
SECOND SCIENTIFIC STATE CPU			_									0	0	0			0	0	0	0
RECORD STABLEY & COLLEGE PORTING FOR P									0	756 626 645	0	0	0	0	70,000,000	0 (0 00 445	0	0	0
Special Maries Corp. Cor																				0
## RINDAMPSICE CERRINGLUC FOW WFA 1017/2021 3434-528 100.000 7.246-528 112.779,043 12.567.479 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,043 12.567.000 1.246-528 12.779,044 12.77	42 NANHUA USA LLC	FCM	CME	10/31/2021	18,468,635	6,962,623	11,506,012	129,687,852		14,767,553	3,500,000						0	0	0	0
6									103 654 417			0 1 993 874	1 263 697	0 730 177	400.000		0	0	0	<u>0</u>
### PRINCE OFFICE OFFIC	45 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	10/31/2021	3,029,188,108	156,177,668	2,873,010,440	286,703,098			1		0		100/000	•	0	0	0	0
## FIGURE CORES No. Co. Co									656 201 528	20,090,053	0	12.041.026	0 422 122	2 619 904	1 500 000	0 0	0	0	0	194,124,695
49 RICC CEPTIAL MARKET'S LIC PC NO CHE 10/31/2021 1 1905-355-402 433,353,302 1 472,776-100 4.255-665,319 3.377,697-408 1 392,507-511 1 2000,000 1 1,577-6492 92,674-305 28,509,187 90,000 0 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492 92,674-305 28,509,187 90,000 0 1 1,577-6492														0/020/00.	=/000/000		0	0	0	0
51 SIANTAMER INVESTMENT SCRUTTES INC FON 80 MYR 10/31/2021 41/32/585 16/38/31,141 278/37/9.941 2,096.620/7e 1/395/24/7e 17/34/999 71,000,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0																	921,674,305	236,090,187	80,000,000	0
\$2\sigma(\text{price}pri												228,894,121	198,270,864	30,623,257 0	20,000,000		0	0	0	0
545 SERVENITIES LC FCM BD CME 10/31/2021 4,276,348,400 2,102,477,277 2,208,185,103 16,383,903,107 15,897,433,817 486,699,200 200,000,000 7,619,395,676 7,721,539,352 494,026,524 200,000,000 1,997,776,283 1,777,027,517 220,725,766 80,000,000 55,576,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 55,576,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 55,576,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 55,576,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 55,576,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 220,725,760 80,000,000 1,997,776,283 1,777,027,517 2,777,027,517,027,517 2,777,027,517 2,777,027,517 2,777,027,517 2,777,027,517,027,517 2,777,027,517,027,517 2,777,027,517,027,517,027,517,027,027,027,027,027,027,027,027,027,02	52 SCORE PRIORITY CORP	FCM BD	NFA	10/31/2021	5,370,510	1,000,000	4,370,510	46,103	0			40,000	0	40,000	20,000	0 0	0	0	0	0
555 FRATE FIRMACIAL INC FCM BD CME 10/31/2021 340,435,025 197,035,288 143,399,741 4,799,637,898 4,644,272,076 65,385,882 5,000,000 231,152,76 218,142,456 12,972,828 6,000,000 621,990 0 621,990 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0									15 897 843 817	486 050 200	200 000 000	7 619 395 676	7 215 369 352	404 026 324	200 000 000	0 1 997 776 283	0 1 777 023 517	220 752 766	80,000,000	0
57 TRADRISC FM BD CME 10/31/2021 131,541,251,251,251,251,251,251,251,251,251,25	55 STONEX FINANCIAL INC	FCM BD	CME	10/31/2021	340,435,025	197,035,284	143,399,741	4,709,637,898	4,644,272,076	65,365,822	2 50,000,000	231,115,276	218,142,456	12,972,820	6,000,000	0 621,990	, , , , , , ,			0
September Web Page Update 62 Additions None Company Compan			_												000/000	•	0	0	0	0
60 UBS SECURITIES LIC FCM BD CBOT 10/31/2021 5,557/097,844 713,575.013 4,843,522,831 6,292,031,603 5,784,017,308 508,014,295 400,000,000 1,812,779,409 1,537,731,872 275,047,537 200,000 0,0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	58 TRADING.COM MARKETS INC			10/31/2021	23,152,169	20,000,000	3,152,169	0	090,003,245	(0	0	20,293,435	00	(0 0	0	0	0	0
61 MEDBUSH SECURITIES INC FCM BD CME 10/31/2021 200.923,800 69.908,022 131.015.778 2.401.124.980 2.370.259.469 30.865.51 19.000.000 39.088.634 34.119.346 4.969.288 1.000.000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0																	0 077 063 733	244 011 057	135 000 000	0
September Web Page Update Commonwealth Common																	9//,862,/23	2 44 ,811,85/	125,000,000	0
September Web Page Update 62 62 62 62 63 64 65												00/000/00					13,332,668,740	599,642,426	360,000,000	0
Additions Additions <t< td=""><td>Totals</td><td></td><td></td><td></td><td></td><td></td><td></td><td>270,413,390,919</td><td>259,013,388,732</td><td>11,400,002,187</td><td>5,996,357,581</td><td>60,014,375,240</td><td>55,468,017,392</td><td>4,546,357,848</td><td>2,218,478,945</td><td>150,600,370,848</td><td>144,456,112,479</td><td>6,144,258,369</td><td>2,916,640,648</td><td>550,988,511</td></t<>	Totals							270,413,390,919	259,013,388,732	11,400,002,187	5,996,357,581	60,014,375,240	55,468,017,392	4,546,357,848	2,218,478,945	150,600,370,848	144,456,112,479	6,144,258,369	2,916,640,648	550,988,511
None None Deletions None Name Changes None	September Web Page Update	62																		
Deletions None Name Changes None None None None None None None None																				
None	None																			
Name Changes None																				
None Some Some Some Some Some Some Some Som																				
October Web Page Update 62																				
	October Web Page Update	62																		

SELECTED FCM FINANCIAL DATA AS OF October 31, 2021 FROM REPORTS FILED BY November 24, 2021

* Capital balances reported as of October 14, 2021 due to a corporate merger that was effective October 15, 2021.											
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
22. The fact of the side registered than the definition as a provisionally registered shap dedict											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed second.											
(c): A firm's net capital requirement is the greater of:											
• FCM minimum dollar amount (\$1,000,000); or											
 risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or 											
 the amount of capital required by a registered futures association (currently NFA is the only such association); or 											
 for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or 											
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's transactions. 											
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's t 	otal retail forex obligation in excess of \$10,000,000.										
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with	Section 4d of the Commodity Exchange Act.										
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market	or derivatives transaction execution facility. This is the sum	of all accounts that contain a net liquidating equity.									
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commoditions customers are considered to the customers are customers and customers are customers and customers are customers are customers and customers are customers are customers are customers are customers are customers and customers are cu	y exchanges located outside the United States in compliance	with Part 30 of the Commodity Exchange Act.									
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United St	tes.										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.											
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement	. This target amount is set by the firm.										
	11 0 11 11(0 C) 11 0 11 T										
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.											
(a) Franci Deficient francis alcohol and a superior francis francis and a superior francis	- making was release and										
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation.	egation requirement.										
(a). This represents the toward evens of magnistra, finds deposited into consucts along development and accounts the standard evens of the standard even of the sta											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.											

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.