SELECTED FCM FINANCIAL DATA AS OF
January 31, 2024
FROM REPORTS FILED BY
February 29, 2024

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	01/31/2024	707,027,649	233,093,066	473,934,583	4,215,462,318	3,628,371,172	587,091,146	350,000,000	192,091,306	157,437,849	34,653,457	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	01/31/2024	424,691,568	301,927,390	122,764,178	7,117,225,465	6,867,748,964	249,476,501	120,000,000	366,583,745	320,211,291	46,372,454	20,000,000	10,468,198	0	10,468,198	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	01/31/2024	37,278,979	15,186,183	22,092,796	507,372,396	490,249,140	17,123,256	8,500,000	69,070,760	63,907,890	5,162,870	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	01/31/2024	12,927,902	1,000,000	11,927,902	78,963,979	65,761,142	13,202,837	900,000	1,990,374	1,499,438	490,936	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	01/31/2024	495,352,878	31,730,842	463,622,036	227,375,399	202,599,762	24,775,637	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	01/31/2024	5,760,136,988	2,612,589,055	3,147,547,933	17,710,184,556	15,432,342,876	2,277,841,680	187,000,000	4,082,490,413	3,856,853,622	225,636,791	81,000,000	15,323,981,980	14,628,077,154	695,904,826	45,000,000	0
7	BITNOMIAL CLEARING LLC	FCM	NFA	01/31/2024	1,534,846	1,000,000	534,846	554,824	50,000	504,824	100,000	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	01/31/2024	2,529,849,904	935,505,503	1,594,344,401	7,466,333,904	6,762,157,939	704,175,965	570,000,000	953,401,503	684,517,383	268,884,120	98,000,000	2,997,264,010	2,745,108,564	252,155,446	140,000,000	0
9	BOFA SECURITIES INC	FCM BD	CBOT	01/31/2024	19,134,163,170	4,507,999,568	14,626,163,602	29,813,461,220	28,744,649,011	1,068,812,209	200,000,000	5,406,634,326	5,110,305,309	296,329,017	150,000,000	17,384,641,235	16,704,217,579	680,423,656	150,000,000	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	01/31/2024	301,968,877	1,500,000	300,468,877	5,205,443	0	5,205,443	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	01/31/2024	313,993,567	48,687,387	265,306,180	813,177,848	655,204,521	157,973,327	100,000,000	0	0	0	0	0	0	0	0	60,870,598
12	CHS HEDGING LLC	FCM	CBOT	01/31/2024	76,366,344	10,953,126	65,413,218	154,360,820	91,808,475	62,552,345	24,300,000	1,575,406	0	1,575,406	200,000	526,112	0	526,112	120,000	0
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	01/31/2024	17,525,772,623	4,445,984,287	13,079,788,336	18,500,166,546	17,973,650,706	526,515,840	345,800,000	4,313,407,625	4,002,350,141	311,057,484	158,900,000	30,823,334,104	30,164,597,904	658,736,200	451,500,000	0
14	CLEAR STREET LLC	FCM BD	NFA	01/31/2024	623,483,518	44,371,437	579,112,081	0	0	0	0	0	0	0	0	0	0	0	0	0
15	COINBASE FINANCIAL MARKETS INC	FCM	NFA	01/31/2024	19,084,111	1,000,000	18,084,111	12,723,117	5,568,171	7,154,946	5,000,000	0	0	0	0	0	0	0	0	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	01/31/2024	4,445,377,029	48,841,453	4,396,535,576	8,290,363	0	8,290,363	1	4,002,547	0	4,002,547	1	1,953,481	0	1,953,481	1	0
17	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	01/31/2024	113,548,261	9,190,051	104,358,210	371,232,934	289,121,117	82,111,817	4,600,000	1,315,726	617,577	698,149	100,000	0	0	0	0	0
18	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	01/31/2024	1,286,871,965	1,654,796	1,285,217,169	2,125,612	0	2,125,612	1	443,992	0	443,992	1	0	0	0	0	0
19	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	01/31/2024	4,128,792,608	203,064,570	3,925,728,038	2,213,030,912	2,061,724,807	151,306,105	100,000,000	749,346,735	635,731,666	113,615,069	50,000,000	0	0	0	0	0
20	DIRECT ACCESS USA LLC	FCM	CME	01/31/2024	17,980,241	5,137,549	12,842,692	223,938,499	214,276,013	9,662,486	2,500,000	2,282,441	526,846	1,755,595	1,000,000	0	0	0	0	0
21	DORMAN TRADING LLC	FCM	CME	01/31/2024	17,911,827	3,642,033	14,269,794	294,663,412	288,164,779	6,498,633	2,000,000	2,834,920	1,743,314	1,091,606	200,000	0	0	0	0	0
22	ETRADE FUTURES LLC	FCM	NFA	01/31/2024	58,288,432	6,162,036	52,126,396	150,576,613	95,177,752	55,398,861	11,000,000	0	0	0	0	0	0	0	0	0
23	FUTU FUTURES INC	FCM	NFA	01/31/2024	1,966,832	1,000,000	966,832	0	0	0	0	0	0	0	0	0	0	0	0	0
24	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	01/31/2024	55,870,297	29,746,444	26,123,853	0	0	0	0	0	0	0	0	0	0	0	0	204,928,876
25	GH FINANCIALS LLC	FCM	CME	01/31/2024	33,843,003	12,339,140	21,503,863	166,452,033	150,562,409	15,889,624	6,100,000	7,383,889	5,229,391	2,154,498	500,000	0	0	0	0	0
26	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	01/31/2024	21,260,650,709	5,263,605,669	15,997,045,040	36,826,095,702	35,793,982,489	1,032,113,213	775,000,000	11,546,355,523	10,875,621,779	670,733,744	475,000,000	22,222,112,613	20,686,343,536	1,535,769,077	350,000,000	0
27	HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	01/31/2024	31,700,520	1,000,000	30,700,520	3,174,245	0	3,174,245	3,000,000	0	0	0	0	0	0	0	0	0
28	HSBC SECURITIES USA INC	FCM BD	CME	01/31/2024	1,273,714,659	447,923,177	825,791,482	4,268,129,347	4,105,074,506	163,054,841	138,000,000	198,810,305	165,165,175	33,645,130	15,000,000	1,733,147,759	1,622,066,893	111,080,866	88,000,000	0
29	IG US LLC	RFED	NFA	01/31/2024	57,757,293	22,259,566	35,497,727	0	0	0	0	0	0	0	0	0	0	0	0	55,191,326
30	INTERACTIVE BROKERS LLC	FCM BD	CME	01/31/2024	7,472,533,658	819,435,593	6,653,098,065	7,396,358,426	7,126,439,110	269,919,316	155,000,000	758,139,045	637,855,065	120,283,980	80,000,000	0	0	0	0	32,011,157
31	IRONBEAM INC	FCM	CME	01/31/2024	9,600,032	1,464,806	8,135,226	103,673,203	92,108,895	11,564,308	1,600,000	849,128	609,682	239,446	60,000	0	0	0	0	0
32	JEFFERIES LLC	FCM BD	NFA	01/31/2024	817,922,603	113,739,718	704,182,885	0	0	0	0	0	0	0	0	0	0	0	0	0
33	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	01/31/2024	25,266,809,710	5,513,972,817	19,752,836,893	41,119,063,938	40,061,536,340	1,057,527,598	761,169,190	6,682,770,970	6,301,603,352	381,167,618	170,143,291	23,230,309,801	22,237,369,187	992,940,614	689,358,445	0
34	LIME TRADING CORP	FCM BD	NFA	01/31/2024	10,537,279	1,000,000	9,537,279	46,093	0	46,093	20,000	40,010	0	40,010	20,000	0	0	0	0	0
35	MACQUARIE FUTURES USA LLC	FCM	CBOT	01/31/2024	566,629,506	438,879,700	127,749,806	4,698,133,228	4,507,998,481	190,134,747	100,000,000	351,079,524	306,188,530	44,890,994	7,000,000	5,180,377	0	5,180,377	1,000,000	0
36	MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	01/31/2024	540,140,739	305,987,939	234,152,800	7,097,260,321	6,919,374,434	177,885,887	90,000,000	198,390,183	167,055,034	31,335,149	12,000,000	0	0	0	0	0
37	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	01/31/2024	13,831,240	1,952,983	11,878,257	435,772,590	411,376,417	24,396,173	18,000,000	2,744,817	0	2,744,817	1,000,000	0	0	0	0	0
38	MFI FUNDING LLC	FCM	NFA	01/31/2024	109,723,486	1,000,000	108,723,486	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MID CO COMMODITIES INC	FCM	NFA	01/31/2024	15,913,355	3,497,013	12,416,342	42,146,006	25,584,772	16,561,234	5,138,000	0	0	0	0	0	0	0	0	0
40	MINT BROKERS	FCM BD	NFA	01/31/2024	2,237,158	1,000,000	1,237,158	0	0	0	0	0	0	0	0	0	0	0	0	0
41	MIZUHO SECURITIES USA LLC	FCM BD	CME	01/31/2024	1,539,764,843	578,854,653	960,910,190	10,611,133,784	10,217,304,489	393,829,295	150,000,000	1,769,667,567	1,589,845,020	179,822,547	70,000,000	21,576,741	0	21,576,741	10,000,000	0
42	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	01/31/2024	18,363,981,874	4,460,678,094	13,903,303,780	27,992,876,246	27,430,285,209	562,591,037	235,000,000	9,715,983,742	9,441,093,202	274,890,540	140,000,000	29,289,519,481	28,084,793,607	1,204,725,874	92,000,000	0
43	NANHUA USA LLC	FCM	CME	01/31/2024	37,663,665	22,039,264	15,624,401	348,444,472	317,037,307	31,407,165	3,500,000	357,395	1,092	356,303	100,000	0	0	0	0	0
44	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	01/31/2024	591,762,507	2,847,610	588,914,897	7,503,655	0	7,503,655	5,000,000	0	0	0	0	0	0	0	0	0
45	NINJATRADER CLEARING LLC	FCM	NFA	01/31/2024	14,022,703	1,000,000	13,022,703	252,159,768	232,782,116	19,377,652	4,000,000	2,860,994	1,470,124	1,390,870	400,000	0	0	0	0	0
46	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	01/31/2024	1,947,296,698	178,349,025	1,768,947,673	135,964,093	93,047,294	42,916,799	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
47	OANDA CORPORATION	FCMRFD	NFA	01/31/2024	42,825,412	28,566,290	14,259,122	0	0	0	0	0	0	0	0	0	0	0	0	175,340,597
48	PHILLIP CAPITAL INC	FCM BD	CME	01/31/2024	102,730,809	42,804,532	59,926,277	888,954,343	839,591,178	49,363,165	15,500,000	23,148,149	19,564,207	3,583,942	1,000,000	0	0	0	0	0
49	PICTET OVERSEAS INC	FCM BD	NFA	01/31/2024	50,434,462	8,364,910	42,069,552	113,288,299	107,384,455	5,903,844	1,500,000	40,399,089	36,701,271	3,697,818	900,000	0	0	0	0	0
50	RBC CAPITAL MARKETS LLC	FCM BD	CME	01/31/2024	2,136,964,007	548,012,182	1,588,951,825	4,560,344,932	4,011,941,342	548,403,590	340,000,000	488,616,676	376,827,554	111,789,122	20,000,000	1,667,358,088	1,327,187,803	340,170,285	120,000,000	0
51	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	01/31/2024	352,899,607	242,747,626	110,151,981	5,829,124,009	5,565,708,477	263,415,532	50,000,000	283,172,971	251,329,145	31,843,826	20,000,000	0	0	0	0	0
52	ROBINHOOD DERIVATIVES LLC	FCM	CME	01/31/2024	125,542,004	1,000,000	124,542,004	101	0	101	1	50	0	50	1	0	0	0	0	0
53	SANTANDER US CAPITAL MARKETS LLC	FCM BD	NYME	01/31/2024	617,758,171	166,081,308	451,676,863	2,111,368,723	2,039,152,057	72,216,666	70,000,000	0	0	0	0	0	0	0	0	0
54	SCOTIA CAPITAL USA INC	FCM BD	CME	01/31/2024	1,181,614,544	128,306,601	1,053,307,943	0	0	0	0	0	0	0	0	0	0	0	0	0
55	SG AMERICAS SECURITIES LLC	FCM BD	CME	01/31/2024	5,235,302,992	1,964,277,415	3,271,025,577	18,767,466,988	18,276,320,704	491,146,284	200,000,000	8,157,407,283	7,760,311,364	397,095,919	200,000,000	1,681,122,777	1,459,513,684	221,609,093	80,000,000	0
56	STONEX FINANCIAL INC	FCM BD	CME	01/31/2024	406,269,029	248,406,461	157,862,568	5,784,517,394	5,709,177,312	75,340,082	40,000,000	322,415,504	310,473,603	11,941,901	6,000,000	0	0	0	0	0
57	STRAITS FINANCIAL LLC	FCM	CME	01/31/2024	34,569,195	15,246,230	19,322,965	592,181,850	577,814,557	14,367,293	2,000,000	24,616,580	13,541,466	11,075,114	300,000	0	0	0	0	0
58	TRADESTATION SECURITIES INC	FCM BD	CME	01/31/2024	152,102,153	14,833,572	137,268,581	1,072,401,900	1,003,043,568	69,358,332	9,000,000	18,264,173	14,043,108	4,221,065	800,000	0	0	0	0	0
59	TRADING.COM MARKETS INC	RFED	NFA	01/31/2024	25,630,704	20,000,000	5,630,704	0	0	0	0	0	0	0	0	0	0	0	0	1,378,682
60	UBS FINANCIAL SERVICES INC	FCM BD	NFA	01/31/2024	1,147,481,603	133,872,443	1,013,609,160	217,162,234	135,858,233	81,304,001	35,000,000	37,984,081	4,948,029	33,036,052	15,000,000	0	0	0	0	0
61	UBS SECURITIES LLC	FCM BD	CBOT	01/31/2024	4,455,174,421	949,553,653	3,505,620,768	7,229,116,211	6,926,599,877	302,516,334	150,000,000	2,078,589,945	1,953,993,852	124,596,093	75,000,000	1,545,825,340	1,280,633,069	265,192,271	125,000,000	0
62	WEBULL FINANCIAL LLC	FCM BD	NFA	01/31/2024	128,481,365	1,000,000	127,481,365	0	0	0	0	0	0	0	0	0	0	0	0	0
63	WEDBUSH SECURITIES INC	FCM BD SD	CBOT/NFA	01/31/2024	240,278,527	108,468,856	131,809,671	4,202,007,516	4,167,064,751	34,942,765	19,000,000	125,390,346	120,187,333	5,203,013	1,000,000	0	0	0	0	0
64	WELLS FARGO SECURITIES LLC	FCM BD	CME	01/31/2024	9,925,639,421	1,819,991,218	8,105,648,203	7,618,439,887	6,887,483,525	730,956,362	125,000,000	412,451,746	304,504,127	107,947,619	30,000,000	16,994,891,404	15,672,425,595	1,322,465,809	310,000,000	0
	Totals							**290,377,187,717**	**277,600,260,651**	**12,776,927,066**	**5,567,227,193**	**59,396,611,504**	**55,493,864,831**	**3,902,746,673**	**1,917,773,294**	**164,933,213,501**	**156,612,334,575**	**8,320,878,926**	**2,659,978,446**	**529,721,236**
	December Web Page Update	**63**																		
	Additions																			
	WEBULL FINANCIAL LLC	**1**																		
	Deletions																			
	None																			
	Name Changes																			
	MAREX NORTH AMERICA LLC to ROBINHOOD DERIVATIVES LLC																			
	January Web Page Update	**64**																		

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FROM REPORTS FILED BY
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(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount ($1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.