## SELECTED FCM FINANCIAL DATA AS OF May 31, 2018 FROM REPORTS FILED BY June 27, 2018

Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
ARN AMRO CLEARING CHICAGO LLC	FCM RD	CDOT	05/31/2018	665 526 030	174 058 386	491 467 644	3.102.598.874	2.751.540.770	351 058 104	229,421,325	104 962 352	86 915 548	18 046 804	8 691 555				0	<del> </del>
ADM INVESTOR SERVICES INC	FCM	CROT	05/31/2018	306,184,234	175,780,072	130,404,162	4,308,882,464	4,100,263,023	208,619,441	120,000,000	377,975,820	331,120,163	46,855,657	20,000,000	15,155,004	4,891,387	10,263,617	8,000,000	<del> </del>
ADVANTAGE FUTURES LLC	FCM	0001	05/31/2018	21,771,259	5,899,124	15,872,135	320,499,311	292,211,097	28,288,214	17,500,000	45,772,979	42,500,635	3,272,344	1,000,000	13,133,004	4,071,307	10,203,017	0,000,000	
AMP GLOBAL CLEARING LLC	FCM	NFA	05/31/2018	3,803,628	1,000,000	2,803,628	53,585,178	49,515,245	4,069,933	900,000	2,285,335	1,968,624	316,711	150,000	0	0	0	0	
APEX CLEARING CORPORATION	FCM BD	NFA	05/31/2018	180,879,973	24,342,679	156,537,294	10,852,760	4,611,539	6,241,221	2,500,000	0	0	0	0	0	C	0	0	
BARCLAYS CAPITAL INC	FCM BD	NYME	05/31/2018	5,155,089,573	931,180,520	4,223,909,053	5,309,357,099	4,956,472,503	352,884,596	175,459,127	3,400,764,002	3,181,040,083	219,723,919	106,246,739	4,884,235,000	4,384,450,022	499,784,978	174,062,666	
BGC FINANCIAL LP	FCM BD		05/31/2018	54,754,295	1,888,384	52,865,911	0	0	0	0	0	0	0	0	0	C	0	0	1
BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	05/31/2018	2,579,869,502	345,324,367	2,234,545,135	3,087,263,216	2,766,417,137	320,846,079	150,000,000	199,327,216	161,266,484	38,060,732	30,000,000	1,087,383,754	933,965,312	153,418,442	120,000,000	1
BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	05/31/2018	7,732,176	1,042,048	6,690,128	25,005,405	19,585,737	5,419,668	1,000,000	6	0	6	1	6		6	1	<del></del>
BOFAML SECURITIES INC CANTOR FITZGERALD & CO	FCM BD	NFA CBOT	05/31/2018 05/31/2018	25,000,000 290,661,200	1,500,000 12,797,253	23,500,000 277,863,947	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	<del> </del>
CHARLES SCHWAB FUTURES, INC.	FCM BD		05/31/2018	96 390 988	4,214,409	92 176 579	114.194.013	57,282,540	56 911 473	8,592,381	3.536.128	1.016.240	2.519.888	152 436	0		0	0	
CHS HEDGING LLC	FCM	CBOT	05/31/2018	48.746.365	11,009,072	37.737.293	169,438,192	123,235,679	46,202,513	13,600,000	1,532,785	3.351	1,529,434	200,000	503.912		503,912	120,000	
CITIGROUP GLOBAL MARKETS INC	FCM BD SD		05/31/2018	9,477,757,170	2,440,773,295	7,036,983,875	8,881,218,468	8.157.897.251	723,321,217	443,700,000	2,705,319,588	2,528,951,649	176,367,939	150.000.000	24,455,606,604	23.899.987.470	555,619,134	422,900,000	
CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	05/31/2018	8.247.966.238	1,457,225,122	6,790,741,116	5,939,538,116	5.625.623.054	313,915,062	281,281,147	3,534,256,545	3,332,445,092	201.811.453	166,622,255	10.987.901.265	9,976,812,042	1.011.089.223	498.840.602	
CUNNINGHAM COMMODITIES LLC	FCM	CBOT	05/31/2018	5,136,618	1,000,000	4,136,618	65,945,970	62,308,022	3,637,948	3,050,000	2,272,399	1,774,790	497,609	100,000		C	0	0	
DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	05/31/2018	639,214,765	10,656,265	628,558,500	2,172,539	0	2,172,539	1	574,106	0	574,106	1	0	C	0	0	
DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	05/31/2018	12,174,368,017	278,876,362	11,895,491,655	2,434,419,623	2,232,485,273	201,934,350	125,000,000	988,897,310	863,874,318	125,022,992	75,000,000	0		0	0	
DIRECT ACCESS USA LLC	FCM	NFA	05/31/2018	2,906,641	1,000,000	1,906,641	0	0	0	0	0	0	0	0	0	C	0	0	1
DORMAN TRADING LLC	FCM	CME	05/31/2018	12,992,415	1,022,698	11,969,717	152,237,575	147,462,450	4,775,125	2,000,000	3,740,601	3,227,498	513,103	200,000	0	C	0	0	4
E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	05/31/2018	158,100,009	54,827,484	103,272,525	1,498,977,853	1,394,669,397	104,308,456	35,000,000	48,323,719	39,262,211	9,061,508	5,000,000	2,138,511	955,139	1,183,372	500,000	<del></del>
E*TRADE FUTURES LLC	FCM	NFA	05/31/2018	26,238,908	2,281,598	23,957,310	68,760,230	43,168,656	25,591,574	5,000,000	0	0	0	0	0		0	0	<del> </del>
EFL FUTURES LIMITED	FCMDED	CME	05/31/2018	44,529,906	17,222,992	27,306,914	200,768,188	184,921,731	15,846,457	5,000,000	0	3 050 031	1 502 1 12	1 000 000	0	1	0	0	251.507
GAIN CAPITAL GROUP LLC GH FINANCIALS LLC	FCMRFD FCM		05/31/2018 05/31/2018	47,373,192 23,172,158	34,688,201 5.515.488	12,684,991 17,656,670	223,833,727 115,176,347	209,636,297 94,289,985	14,197,430 20,886,362	10,000,000 3,300,000	4,652,233 4,545,272	3,059,084 3,437,668	1,593,149 1,107,604	1,000,000 550.000	0	1	0	0	251,587,
GOLDMAN SACHS & CO LLC			05/31/2018	16.865.138.373	2.456.565.163	14.408.573.210	20.655.415.711	19.717.409.263	938.006.448	675,000,000	10.049.336.893	9,492,244,928	557.091.965	475.000.000	6.211.535.575	5.702.186.152	509.349.423	350.000.000	<u> </u>
HSBC SECURITIES USA INC	FCM BD	CME	05/31/2018	973,678,363	289,122,781	684,555,582	2,147,700,321	1,987,904,683	159,795,638	147,000,000	247,119,639	205,881,235	41,238,404	25,000,000		905,814,296	113,529,535	95,000,000	
HUATAI FINANCIAL USA INC	FCM	NFA	05/31/2018	2,124,510	1,000,000	1,124,510	0	0	0	0	0	0	0	0	0	C	0	0	
INTERACTIVE BROKERS LLC	FCM BD	CME	05/31/2018	4,377,794,429	493,617,941	3,884,176,488	4,327,047,072	4,107,307,958	219,739,114	155,000,000	567,850,770	446,820,072	121,030,698	80,000,000	0		0	0	31,882,
INTL FCSTONE FINANCIAL INC	FCM BD	CME	05/31/2018	153,102,903	94,013,336	59,089,567	2,469,456,871	2,413,328,217	56,128,654	40,000,000	160,679,778	143,889,559	16,790,219	8,000,000	0		0	0	<del> </del>
IRONBEAM INC	FCM RD	NFA NFA	05/31/2018	3,143,322	1,508,722	1,634,600	64,164,242	61,856,593	2,307,649	1,600,000	432,128	297,859	134,269	50,000	0	0	0	0	<del> </del>
JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	00/01/2010	15,891,225,690	2,882,335,187	13,008,890,503	19,261,562,241	17,753,608,390	1,507,953,851	843,296,399	5,310,118,769	4,759,863,048	550,255,721	404,588,359	12,951,598,729	11,878,788,911	1,072,809,818	623,636,418	
MACOHARIE FUTURES LIC	ECM RD 2D		05/31/2018	258.886.048	162,900,062	95 985 986	2.137.486.167	17,753,608,390	222,341,933	100.000.000	47.529.266	31.177.858	16 351 408	7.000.000	22,511,743		20.554.481	1.000.000	
MAREX NORTH AMERICA LLC	FCM	CME	05/31/2018	22,062,196	17,144,451	4,917,745	203,136,036	199,453,227	3,682,809	2,610,000	58,819,208	57,646,707	1,172,501	620,000	22,311,743	1,737,202	20,554,461	1,000,000	
MCVEAN TRADING & INVESTMENTS LLC	FCM		05/31/2018	14,029,643	2,347,171	11,682,472	796,903,849	775,299,639	21,604,210	18,000,000	2,567,861	07,010,707	2,567,861	1,000,000	0		0	0	
MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	05/31/2018	12.353.320.200	1.759.685.363	10.593.634.837	14.915.844.477	14.467,214,180	448,630,297	200,000,000	5.385.980.781	5.082.839.068	303.141.713	150,000,000	6.830.735.834	6.340.088.836	490,646,998	150.000.000	
MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	05/31/2018	4,370,243,087	564,309,966	3,805,933,121	2,084,412,288	779,800,738	1,304,611,550	300,000,000	0	0	0	0	0	C	0	0	
MID CO COMMODITIES INC	FCM	NFA	05/31/2018	9,598,285	5,052,795	4,545,490	49,787,456	39,480,729	10,306,727	5,138,000	0	0	0	0	0	C	0	0	
MINT BROKERS	FCM BD		05/31/2018	2,674,276	1,000,000	1,674,276	0	0	0	0	0	0	0	0	0	C	0	0	<del>                                     </del>
MIZUHO SECURITIES USA LLC MORGAN STANLEY & CO LLC	FCM BD SD FCM BD SD			729,628,079 13,196,403,379	237,751,427 2,411,184,839	491,876,652 10,785,218,540	3,119,064,086 15,698,527,132	2,917,919,571 15,353,219,832	201,144,515 345,307,300	125,000,000 280,000,000	687,431,168 5,681,777,160	561,312,091 5.488.522.147	126,119,077 193,255,013	70,000,000 140,000,000	33,810,783 16,979,273,317	2,602,216 16,758,680,181	31,208,567	15,000,000 92,000,000	
NANHUA USA I I C	FCM BD 3D		05/31/2018	16,746,261	2,267,066	14,479,195	55.316.343	44.041.044	11,275,299	3.500.000	3,061,777,100	3,400,322,147 N	193,233,013	140,000,000	10,979,273,317	10,730,000,101	220,393,136	92,000,000	
NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	05/31/2018	1,162,745,003	34,619,450	1,128,125,553	637,813,752	485,937,064	151,876,688	125,000,000	42,597,390	12,353,509	30,243,881	25,000,000	0	Č	0	0	
NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	05/31/2018	2,084,633,210	218,715,380	1,865,917,830	240,494,937	137,157,504	103,337,433	15,000,000	18,040,783	84,192	17,956,591	1,000,000	0	C	0	0	
OANDA CORPORATION	FCMRFD	NFA	05/31/2018	88,548,953	54,139,208	34,409,745	0	0	0	0	0	0	0	0	0	C	0	0	185,219,0
PHILLIP CAPITAL INC	FCM BD		05/31/2018	33,300,220	12,150,257	21,149,963	283,104,509	273,072,513	10,031,996	7,500,000	12,720,204	7,009,320	5,710,884	2,000,000	0	C	0	0	1
PICTET OVERSEAS INC	FCM BD	NFA	05/31/2018	16,198,361	1,873,629	14,324,732	2,112,838	310,998	1,801,840	1,500,000	42,428,100	40,281,309	2,146,791	500,000	0		0	0	ļ
RAND FINANCIAL SERVICES INC RBC CAPITAL MARKETS LLC	FCM FCM BD		05/31/2018 05/31/2018	88,955,840 2,030,382,529	11,581,057 172,846,617	77,374,783 1.857.535.912	174,707,000 1,645,695,556	134,133,700 1,336,715,121	40,573,300 308,980,435	4,024,000 160,000,000	36,329,300 209,813,277	12,044,600 148,083,711	24,284,700 61,729,566	361,300 35,000,000	684,810,886	500,725,702	0 2 184,085,184	000,000,08	
RI OBRIEN ASSOCIATES II C	FCM SD		05/31/2018	2,030,382,529	172,846,617	69.246.615	3.887.207.804	3,792,177,893	308,980,435 95,029,911	50,000,000	209,813,277	208.204.219	25.647.151	20,000,000	084,810,886	500,725,702	184,085,184	80,000,000	
ROSENTHAL COLLINS GROUP LLC	FCM		05/31/2018	90.822.333	56,980,202	33.842.131	1.335.456.829	1,309,375,211	26.081.618	18,000,000	36.883.889	19.971.964	16.911.925	8,000,000	0	1	) n	n	<u> </u>
SANTANDER INVESTMENT SECURITIES INC	FCM BD		05/31/2018	207,145,654	50,000,000	157,145,654	804,373,009	791,508,056	12,864,953	10,000,000	0	0	0	0,555,500	0	č	0		
SCOTIA CAPITAL USA INC	FCM BD	CME	05/31/2018	925,420,659	55,107,063	870,313,596	0	0	0	0	0	0	0	0	0	C	0	0	1
SG AMERICAS SECURITIES LLC	FCM BD	CME	05/31/2018	4,084,553,402	1,443,571,895	2,640,981,507	14,632,596,312	14,050,075,748	582,520,564	500,000,000	6,733,739,389	6,102,156,533	631,582,856	255,000,000	717,878,295	496,295,590	221,582,705	75,000,000	1
STRAITS FINANCIAL LLC	FCM	CME	05/31/2018	22,486,946	6,459,380	16,027,566	204,678,142	189,818,222	14,859,920	2,000,000	26,797,619	20,253,778	6,543,841	300,000	0		0	0	<del>                                     </del>
TD AMERITRADE FUTURES & FOREX LLC	FCM		05/31/2018	120,915,845	22,951,710	97,964,135	288,242,468	211,057,677	77,184,791	35,000,000	0	0 053 040	0 701 501	0	0		0	0	69,034,
TRADESTATION SECURITIES INC UBS FINANCIAL SERVICES INC	FCM BD FCM BD	CME NFA	05/31/2018 05/31/2018	89,367,053 1,190,512,451	4,743,023 121,195,743	84,624,030 1.069.316.708	581,546,832 165,973,600	567,574,986 131,502,268	13,971,846 34,471,332	9,000,000	26,555,403 29,968.613	23,853,812 3,386,340	2,701,591 26,582,273	800,000 15,000,000	0	9	0	0	
UBS SECURITIES LLC	FCM BD		05/31/2018	5,543,794,278	584,162,080	4,959,632,198	5,718,814,252	5,219,329,140	499,485,112	425,000,000		1,702,158,690	374,782,978	300,000,000	821,076,266	556,339,668	264,736,598	200,000,000	
UOBBF CLEARING LIMITED	FCM	CME	05/31/2018	26,459,310	3,002,272	23,457,038	59,865,140	39,100,505	20,764,635	2,700,000	2,0,0,741,000	1,732,130,070	0.4,702,770	0.000,000	0.21,070,200	0.00,007,000	) 0	250,000,000	
WEDBUSH SECURITIES INC	FCM BD		05/31/2018	176,442,166	32,214,977	144,227,189	1,331,439,983	1,312,949,528	18,490,455	13,000,000	16,114,703	12,166,919	3,947,784	1,000,000	0	<u> </u>	0	0	
WELLS FARGO SECURITIES LLC	FCM BD		05/31/2018	7,910,218,483	819,740,847	7,090,477,636	3,458,964,548	3,258,140,990	200,823,558	115,000,000	346,380,592	301,410,748	44,969,844	25,000,000	7,576,882,807	7,153,243,086	423,639,721	260,000,000	
YORK BUSINESS ASSOCIATES LLC	FCM	NFA	05/31/2018	5,433,181	1,000,000	4,433,181	43,999,369	39,619,955	4,379,414	2,000,000	1,874,407	1,405,076	469,331	200,000	0		0	0	ļ
Totals							159,572,606,241	149,086,142,763	10,486,463,478	6,048,172,380	49,519,418,524	45,467,182,730	4,052,235,794	2,615,332,646	95,282,382,122	89,497,783,272	5,784,598,850	3,166,059,687	537,722,7
April Web Page Update	63																		
Additions	1																		
DIRECT ACCESS USA LLC																			
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Deletions	0																+		
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Deletions None	0																		
Deletions None Name Changes	0																		
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## SELECTED FCM FINANCIAL DATA AS OF May 31, 2018 FROM REPORTS FILED BY June 27, 2018

(a): FCM:	Futures Commission Merchant that is	registered with the Commodity Fu	utures Trading Co	mmission.					·		*			
BD:	The FCM is also registered with the	Securities and Exchange Commissi	ion as a securities	s broker or dealer.										
REED	D: Retail Foreign Exchange Dealer that													
	IRFD: The FCM is also registered with the C				iler.									
SD:														
(b): DSRO	D: Designated Self-Regulatory Organization.	FCM/RFED firms that are dually r	egistered as SDs	have two DSROs lister	d if they have a differe	nt DSRO for the FCM/RFED and the SD.	The DSRO for the FCM	VRFED is listed first an	d the DSRO for the SD is listed second.					
(c): A firm	n's net capital requirement is the greater of:													
· F	FCM minimum dollar amount (\$1,000,000):	or												
• r	risk based capital requirement, the sum of 8	% of total customer risk maintena	ance margin and	8% of total non-custo	mer risk maintenance	margin: or								
	the amount of capital required by a register													
	for securities brokers and dealers, the amou				ae Commission: or									
	minimum dollar amount for FCM's offering of													
• r	minimum dollar amount for FCM's offering of	r engaged in retail forex transacti	ons and RFEDs (\$	\$20,000,000) plus five	percent of the FCM's	or RFED's total retail forex obligation in e	cess of \$10,000,000.							
(d): Excess	ss net capital is adjusted net capital, less the	firm's net capital requirement.												
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(e): This re	represents the total amount of money, secu	ities, and property held in segrega	ated accounts for	futures and options of	ustomers in compliand	e with Section 4d of the Commodity Exch	ange Act.							
( , , , , , , , , , , , , , , , , , , ,														
(f): This re	represents the total amount of funds that an	FCM is required to segregate on I	behalf of custome	ers who are trading on	a designated contract	market or derivatives transaction execut	on facility. This is the	sum of all accounts th	at contain a net liquidating equity.					
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(a): Excess	ss/Deficient funds in segregation is custome	assets in segregation, less the cu	ustomer segregati	ion requirement.										
(h): This re	represents the targeted excess of proprietar	funds deposited into customer s	egregated accoun	nts above the custome	r segregation requiren	ent. This target amount is set by the fir	n.							
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(i): This re	represents the total amount of money, secur	ties, and property held in secured	accounts for futu	ures and options custo	mers who trade on co	mmodity exchanges located outside the L	Inited States in complia	ance with Part 30 of th	e Commodity Exchange Act.					
(i): This re	epresents the amount of funds an FCM is re	guired to set aside for customers	who trade on con	mmodity exchanges lo	cated outside of the U	nited States.								
(k): Excess	ss/Deficient funds in separate Section 30.7 a	counts is funds in separate Section	on 30.7 accounts.	less the customer am	ount Part 30 requirem	ent.								
(I): This re	epresents the targeted excess of proprietary	funds deposited into separate Se	ction 30.7 accoun	nts above the custome	r amount Part 30 regu	irement. This target amount is set by the	firm.							
(m): This	represents the total amount of money, secu	rities, and property held in clearer	d swap customer	accounts for cleared s	wap customers in con	pliance with Section 4d(f) of the Commo	dity Exchange Act.							
(n): This re	represents the amount of funds an FCM is re	quired to segregate for customer	s who trade clear	ed swaps.										
(o): Excess	ss/Deficient funds in cleared swap customer	accounts is funds in separate clea	red swap segrena	ation accounts, less th	e cleared swap custon	ner segregation requirement.								
(p): This	represents the targeted excess of proprieta	y funds deposited into separate c	leared swap segre	egation accounts above	ve the cleared swap cu	stomer segregation requirement. This ta	get amount is set by the	he firm.						

(a): This represents the total amount of funds at an FCM. RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.