SELECTED FCM FINANCIAL DATA AS OF
July 31, 2019
FROM REPORTS FILED BY
August 26, 2019

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	07/31/2019	566,766,770	188,072,904	378,693,866	2,967,219,984	2,627,403,613	339,816,371	215,989,478	105,673,270	87,054,813	18,618,457	8,705,481	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	07/31/2019	321,112,356	189,206,126	131,906,230	4,445,215,166	4,232,809,920	212,405,246	120,000,000	353,047,365	305,632,195	47,415,170	20,000,000	13,738,138	3,655,268	10,082,870	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	07/31/2019	22,493,409	6,465,229	16,028,180	280,286,539	256,365,163	23,921,376	14,500,000	45,612,595	42,376,896	3,235,699	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	07/31/2019	4,790,406	1,000,000	3,790,406	55,625,034	50,619,836	5,005,198	900,000	2,280,978	1,904,659	376,319	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	07/31/2019	133,328,499	9,884,706	123,443,793	38,683,546	25,140,166	13,543,380	6,000,000	0	0	0	0	0	0	0	0	0
6	BAKKT CLEARING LLC	FCM	NFA	07/31/2019	10,928,936	1,000,000	9,928,936	0	0	0	0	0	0	0	0	0	0	0	0	0
7	BARCLAYS CAPITAL INC	FCM BD	NYME	07/31/2019	4,955,881,936	1,261,970,140	3,693,911,796	5,790,292,668	5,419,203,524	371,089,144	302,391,557	3,978,493,704	3,779,981,262	198,512,442	126,251,374	7,829,371,957	7,348,927,584	480,444,373	285,873,283	0
8	BGC FINANCIAL LP	FCM BD	NFA	07/31/2019	27,217,475	1,700,771	25,516,704	0	0	0	0	0	0	0	0	0	0	0	0	0
9	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	07/31/2019	1,899,212,814	386,498,492	1,512,714,322	2,572,561,115	2,387,178,413	185,382,702	150,000,000	288,498,372	232,465,990	56,032,382	30,000,000	1,310,696,768	1,161,571,407	149,125,361	120,000,000	0
10	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	07/31/2019	6,475,087	1,000,000	5,475,087	6,797,842	3,400,841	3,397,001	1,000,000	6	0	6	1	6	0	6	1	0
11	BOFA SECURITIES INC	FCM BD	CBOT	07/31/2019	11,167,684,996	2,148,937,938	9,018,747,058	16,713,496,632	16,341,564,278	371,932,354	200,000,000	4,346,322,844	4,092,523,322	253,799,522	150,000,000	7,746,020,653	7,487,497,165	258,523,488	150,000,000	0
12	CANTOR FITZGERALD & CO	FCM BD	CBOT	07/31/2019	287,955,809	2,863,248	285,092,561	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
13	CHARLES SCHWAB FUTURES, INC.	FCM	NFA	07/31/2019	67,848,454	5,442,254	62,406,200	140,713,902	80,262,473	60,451,429	13,644,620	50,000	0	50,000	1	0	0	0	0	0
14	CHS HEDGING LLC	FCM	CBOT	07/31/2019	54,330,792	8,718,398	45,612,394	192,014,394	139,985,711	52,028,683	13,600,000	1,527,272	0	1,527,272	200,000	503,912	0	503,912	120,000	0
15	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	07/31/2019	10,422,000,125	3,008,811,998	7,413,188,127	10,274,851,082	9,722,208,700	552,642,382	379,300,000	3,193,337,125	2,885,447,888	307,889,237	150,000,000	29,701,222,175	29,070,086,741	631,135,434	479,500,000	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	07/31/2019	9,952,413,962	1,498,615,226	8,453,798,736	5,241,989,664	4,916,538,915	325,450,749	245,826,946	4,586,148,136	3,710,335,468	875,812,668	185,516,773	12,419,191,267	11,458,498,831	960,692,436	572,924,942	0
17	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	07/31/2019	5,612,053	1,377,215	4,234,838	73,509,747	69,886,667	3,623,080	3,050,000	2,925,694	2,392,603	533,091	100,000	0	0	0	0	0
18	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	07/31/2019	974,498,196	1,558,602	972,939,594	2,172,626	0	2,172,626	1	555,426	0	555,426	1	0	0	0	0	0
19	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	07/31/2019	14,031,041,493	208,587,007	13,822,454,486	2,241,629,258	2,038,374,082	203,255,176	125,000,000	762,282,500	638,343,337	123,939,163	75,000,000	0	0	0	0	0
20	DIRECT ACCESS USA LLC	FCM	CME	07/31/2019	8,321,090	1,000,000	7,321,090	18,112,729	10,143,119	7,969,610	2,500,000	0	0	0	0	0	0	0	0	0
21	DORMAN TRADING LLC	FCM	CME	07/31/2019	14,295,728	1,466,276	12,829,452	178,487,534	173,757,793	4,729,741	2,000,000	5,787,469	5,177,033	610,436	200,000	0	0	0	0	0
22	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	07/31/2019	169,388,362	77,324,850	92,063,512	1,714,555,365	1,612,295,550	102,259,815	44,250,000	66,002,207	56,140,178	9,862,029	5,000,000	1,978,668	747,490	1,231,178	500,000	0
23	E*TRADE FUTURES LLC	FCM	NFA	07/31/2019	26,946,516	2,723,257	24,223,259	77,484,494	50,664,948	26,819,546	5,000,000	0	0	0	0	0	0	0	0	0
24	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	07/31/2019	55,483,752	33,034,125	22,449,627	226,802,924	213,549,569	13,253,355	10,000,000	5,000,456	2,505,838	2,494,618	1,000,000	0	0	0	0	242,863,609
25	GH FINANCIALS LLC	FCM	CME	07/31/2019	21,552,006	6,344,387	15,207,619	110,804,874	94,285,022	16,519,852	3,250,000	4,823,654	3,693,842	1,129,812	400,000	0	0	0	0	0
26	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	07/31/2019	17,672,313,547	2,534,507,536	15,137,806,011	21,345,396,650	20,477,126,495	868,270,155	675,000,000	8,779,095,735	8,201,089,797	578,005,938	475,000,000	8,147,012,567	7,712,076,627	434,935,940	350,000,000	0
27	HSBC SECURITIES USA INC	FCM BD	CME	07/31/2019	1,022,703,205	276,489,122	746,214,083	2,089,659,992	1,962,599,079	127,060,913	104,000,000	175,716,376	134,840,829	40,875,547	25,000,000	1,196,051,037	1,031,856,299	164,194,738	152,000,000	0
28	HUATAI FINANCIAL USA INC	FCM	NFA	07/31/2019	4,771,423	1,000,000	3,771,423	18,581,826	17,105,743	1,476,083	600,000	344,640	21,911	322,729	150,000	0	0	0	0	0
29	IG US LLC	RFED	NFA	07/31/2019	31,738,450	20,000,000	11,738,450	0	0	0	0	0	0	0	0	0	0	0	0	8,137,125
30	INTERACTIVE BROKERS LLC	FCM BD	CME	07/31/2019	5,399,976,435	442,413,893	4,957,562,542	4,188,918,824	3,977,953,861	210,964,963	155,000,000	614,233,549	484,184,037	130,049,512	80,000,000	0	0	0	0	93,008,016
31	INTL FCSTONE FINANCIAL INC	FCM BD	CME	07/31/2019	184,268,137	91,868,349	92,399,788	2,433,054,976	2,376,608,112	56,446,864	40,000,000	142,138,977	126,639,922	15,499,055	8,000,000	0	0	0	0	0
32	IRONBEAM INC	FCM	NFA	07/31/2019	5,470,121	1,000,000	4,470,121	58,485,321	53,633,700	4,851,621	1,600,000	369,304	184,151	185,153	50,000	0	0	0	0	0
33	JEFFERIES LLC	FCM BD	NFA	07/31/2019	1,440,191,636	111,762,602	1,328,429,034	0	0	0	0	0	0	0	0	0	0	0	0	0
34	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	07/31/2019	20,627,352,012	3,481,620,781	17,145,731,231	22,036,475,548	20,788,067,368	1,248,408,180	1,039,403,368	5,082,252,969	4,685,739,013	396,513,956	281,144,341	16,293,212,668	15,323,639,985	969,572,683	804,491,099	0
35	MACQUARIE FUTURES USA LLC	FCM	CBOT	07/31/2019	269,095,355	181,730,781	87,364,574	2,315,456,221	2,147,823,863	167,632,358	100,000,000	35,388,332	17,439,676	17,948,656	7,000,000	25,384,652	4,196,801	21,187,851	1,000,000	0
36	MAREX NORTH AMERICA LLC	FCM	CME	07/31/2019	95,416,335	69,996,748	25,419,587	1,569,685,915	1,540,403,241	29,282,674	20,330,000	63,513,384	44,393,220	19,120,164	10,250,000	0	0	0	0	0
37	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	07/31/2019	13,930,288	2,041,700	11,888,588	713,080,381	690,755,806	22,324,575	18,000,000	2,567,861	0	2,567,861	1,000,000	0	0	0	0	0
38	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	07/31/2019	4,323,064,306	1,147,838,604	3,175,225,702	2,553,523,992	1,081,090,988	1,472,433,004	300,000,000	0	0	0	0	0	0	0	0	0
39	MID CO COMMODITIES INC	FCM	NFA	07/31/2019	8,776,048	3,889,317	4,886,731	49,210,077	39,394,640	9,815,437	5,138,000	0	0	0	0	0	0	0	0	0
40	MINT BROKERS	FCM BD	NFA	07/31/2019	2,144,980	1,000,000	1,144,980	0	0	0	0	0	0	0	0	0	0	0	0	0
41	MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	07/31/2019	17,216,274	1,000,000	16,216,274	3,577,637	568,088	3,009,549	2,500,000	0	0	0	0	0	0	0	0	0
42	MIZUHO SECURITIES USA LLC	FCM BD	CME	07/31/2019	726,069,031	261,860,175	464,208,856	3,702,995,752	3,502,545,245	200,450,507	125,000,000	909,721,180	783,705,496	126,015,684	70,000,000	22,191,395	1,226,444	20,964,951	10,000,000	0
43	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	07/31/2019	12,979,042,105	2,696,260,635	10,282,781,470	17,030,467,077	16,741,639,005	288,828,072	235,000,000	5,597,803,088	5,406,262,159	191,540,929	140,000,000	18,580,410,225	18,148,716,496	431,693,729	92,000,000	0
44	NANHUA USA LLC	FCM	CME	07/31/2019	16,449,292	1,266,071	15,183,221	37,578,558	26,615,303	10,963,255	3,500,000	1,218,025	946,041	271,984	100,000	0	0	0	0	0
45	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	07/31/2019	542,798,487	40,499,882	502,298,605	584,006,434	548,322,208	35,684,226	20,000,000	16,175,988	9,357,671	6,818,317	2,000,000	0	0	0	0	0
46	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	07/31/2019	1,912,461,555	193,020,688	1,719,440,867	290,677,114	179,335,913	111,341,201	15,000,000	0	0	17,673,510	1,000,000	0	0	0	0	0
47	OANDA CORPORATION	FCMRFD	NFA	07/31/2019	-25,311,145	42,023,723	-67,334,868	0	0	0	0	0	0	0	0	0	0	0	0	224,699,902
48	PHILLIP CAPITAL INC	FCM BD	CME	07/31/2019	34,032,696	16,052,489	17,980,207	377,573,453	366,043,832	11,529,621	9,000,000	9,859,408	7,469,656	2,389,752	2,000,000	0	0	0	0	0
49	PICTET OVERSEAS INC	FCM BD	NFA	07/31/2019	29,235,622	8,506,938	20,728,684	97,984,357	95,268,622	2,715,735	1,500,000	49,357,486	46,560,335	2,797,151	500,000	0	0	0	0	0
50	RAND FINANCIAL SERVICES INC	FCM	CME	07/31/2019	93,467,850	11,925,474	81,542,376	161,148,900	123,333,100	37,815,800	3,700,000	36,885,700	11,771,900	25,113,800	353,200	0	0	0	0	0
51	RBC CAPITAL MARKETS LLC	FCM BD	CME	07/31/2019	2,098,017,611	213,016,907	1,885,000,704	1,846,805,662	1,618,917,321	227,888,341	120,000,000	245,837,765	179,516,854	66,320,911	20,000,000	792,167,918	556,753,665	235,414,253	80,000,000	0
52	RJ OBRIEN ASSOCIATES LLC	FCM	CME	07/31/2019	222,935,473	162,180,068	60,755,405	4,064,167,626	4,172,011,240	-107,843,614	50,000,000	173,388,313	148,046,388	25,341,925	20,000,000	0	0	0	0	0
53	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	07/31/2019	441,593,876	54,733,737	386,860,139	540,606,129	523,892,172	16,713,957	12,000,000	0	0	0	0	0	0	0	0	0
54	SCOTIA CAPITAL USA INC	FCM BD	CME	07/31/2019	933,121,648	73,721,922	859,399,726	0	0	0	0	0	0	0	0	0	0	0	0	0
55	SG AMERICAS SECURITIES LLC	FCM BD	CME	07/31/2019	4,093,275,262	1,492,376,890	2,600,898,372	14,009,935,568	13,296,365,681	713,569,887	500,000,000	6,291,303,059	5,694,142,838	597,160,221	355,000,000	907,541,837	688,051,575	219,490,262	75,000,000	0
56	STRAITS FINANCIAL LLC	FCM	CME	07/31/2019	23,869,594	7,471,376	16,398,218	241,159,248	224,935,065	16,224,183	2,000,000	26,079,615	18,469,551	7,610,064	300,000	0	0	0	0	0
57	TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	07/31/2019	132,916,435	22,494,013	110,422,422	255,489,414	160,944,986	94,544,428	50,000,000	0	0	0	0	0	0	0	0	59,416,200
58	TRADESTATION SECURITIES INC	FCM BD	CME	07/31/2019	123,194,920	4,929,417	118,265,503	570,621,759	552,770,812	17,850,947	9,000,000	26,045,612	23,108,032	2,937,580	800,000	0	0	0	0	0
59	UBS FINANCIAL SERVICES INC	FCM BD	NFA	07/31/2019	1,207,555,247	131,975,448	1,075,579,799	114,405,730	86,393,355	28,012,375	22,000,000	31,418,536	7,394,573	24,023,963	15,000,000	0	0	0	0	0
60	UBS SECURITIES LLC	FCM BD	CBOT	07/31/2019	5,354,560,587	545,075,426	4,809,485,161	5,386,773,742	4,880,207,003	506,566,739	425,000,000	1,712,815,584	1,423,438,474	289,377,110	200,000,000	1,047,569,112	823,981,745	223,587,367	125,000,000	0
61	UOBBF CLEARING LIMITED	FCM	CME	07/31/2019	26,869,813	1,848,572	25,021,241	57,333,622	36,913,622	20,420,000	2,900,000	0	0	0	0	0	0	0	0	0
62	WEDBUSH SECURITIES INC	FCM BD	CBOT	07/31/2019	210,208,321	35,886,758	174,321,563	1,125,548,853	1,099,817,918	25,730,935	19,000,000	13,932,304	10,292,035	3,640,269	1,000,000	0	0	0	0	0
63	WELLS FARGO SECURITIES LLC	FCM BD	CME	07/31/2019	8,324,049,249	996,633,656	7,327,415,593	3,989,632,133	3,767,588,255	222,043,878	110,000,000	286,374,442	238,950,204	47,424,238	25,000,000	9,411,973,287	8,958,913,917	453,059,370	300,000,000	0
64	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	07/31/2019	6,575,633	1,000,000	5,575,633	42,536,269	36,981,678	5,554,591	2,000,000	1,864,094	1,252,652	611,442	200,000	0	0	0	0	0
	Totals							**167,270,831,800**	**157,659,611,623**	**9,611,220,177**	**6,059,373,970**	**48,091,743,909**	**43,551,192,739**	**4,540,551,170**	**2,494,371,172**	**115,446,238,242**	**109,780,398,040**	**5,665,840,202**	**3,606,409,325**	**628,124,852**

June Web Page Update 64

Additions 0

None

Deletions 0

None

Name Changes

None

July Web Page Update 64

SELECTED FCM FINANCIAL DATA AS OF
July 31, 2019
FROM REPORTS FILED BY
August 26, 2019

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount ($1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.