

**Stephen T. Bobo**  
sbobo@sachnoff.com

Shasta Investor

**Re: Commodity Futures Trading Commission v.  
Equity Financial Group LLC, et al.  
District of New Jersey : Case No. 04 CV 1512**

Dear Investor:

I am serving as the court-appointed Equity Receiver for Defendants Equity Financial Group LLC, Tech Traders, Inc., Tech Traders, Ltd., Magnum Investments, Ltd., Magnum Capital Investments, Ltd., Vincent J. Firth, and Robert W. Shimer (the "Defendants") in the above-captioned case recently filed in the United States District Court for the District of New Jersey. The records of the Defendants indicate that you may have invested funds with one or more of them through Shasta.

As you may be aware, the CFTC has alleged that the commodity trading investments operated by the Defendants were unlawful. Based on the evidence available, the court froze the activities and funds of the Defendants. The frozen funds amount to approximately \$20 million.

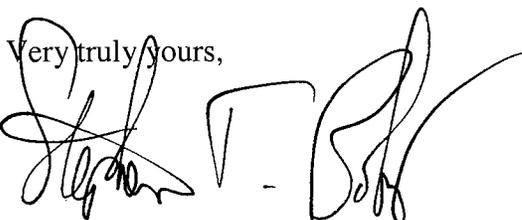
In order to keep you abreast of the current disposition of this case, I would like to inform you of following: on August 10, 2004, the Court allowed the CFTC to file an Amended Complaint in this case. Two days later, the CFTC filed its Amended Complaint, naming five additional Defendants, including Coyt E. Murray, J. Vernon Abernethy, Tech Traders, Ltd., Magnum Investments, Ltd., and Magnum Capital Investments, Ltd. At this point in time, all named Defendants have entered into consent orders agreeing to entry of a preliminary injunction. Discovery in this matter continues. My accountants continue their efforts to analyze the Defendants' financial information and are in the process of obtaining remaining outstanding information to complete their analysis. Finally, the Court has directed me to gather and review the facts concerning each investor's participation with the Defendants and develop a proposed plan for distributing the frozen funds.

On August 23, 2004, the court entered an order requiring all investors to complete and return the enclosed claim form within 30 days from the date of this letter. The claim form must be accompanied by copies of the documents showing all funds invested with and received back from the Defendants. Should you fail to return the completed form in a timely fashion, you may be barred from participating in the distribution of the funds that are frozen. If you invested with

any of the Defendants in more than one way or through more than one account, please make a copy of the claim form and complete one for each account in which you have an interest.

Once the information requested on the claim forms has been received and reviewed, I will be formulating a proposal for distributing funds back to the investors. The court will ultimately have to determine whether to accept this proposal. I would appreciate your assistance in providing all of the information requested in a timely fashion so that we can move forward towards making an interim distribution of funds.

If you have any questions regarding the claim form, please contact Ms. Raven Moore, one of my attorneys. Ms. Moore's e-mail address is [rmoore@sachnoff.com](mailto:rmoore@sachnoff.com), her phone number is (312) 207-6457 and her fax number is (312) 207-6400.

Very truly yours,  


Stephen T. Bobo  
Equity Receiver

UNITED STATES DISTRICT COURT  
FOR THE  
DISTRICT OF NEW JERSEY

COMMODITY FUTURES TRADING )  
COMMISSION, )

Plaintiff, )

vs. )

EQUITY FINANCIAL GROUP, LLC, )  
TECH TRADERS, INC., TECH )  
TRADERS, LTD., MAGNUM )  
CAPITAL INVESTMENTS, LTD., )  
MAGNUM INVESTMENTS, INC., )  
VINCENT J. FIRTH, ROBERT W. )  
SHIMER, COYT E. MURRAY, and J. )  
VERNON ABERNETHY, )

Defendants.

Civil Action No.: 04CV 1512

Honorable Robert B. Kugler

**CLAIM FORM FOR INVESTORS IN SHASTA CAPITAL ASSOCIATES, LLC**

Please complete the following statements, make any changes necessary to ensure accuracy, sign and return it to the address shown on the bottom of this form.

1. I invested funds for commodity trading with the Shasta Capital Associates, LLC (“Shasta”) commodity pool operated by Equity Financial Group, LLC.

2. My interest in Shasta is recorded under the following account name and account number, if available: \_\_\_\_\_.

3. I originally learned about Shasta from \_\_\_\_\_ and was solicited to invest in Shasta by \_\_\_\_\_.

4. According to the most recent information provided to me as of \_\_\_\_\_ (date), I understand that the amount of the account balance shown for my interest in Shasta is \$\_\_\_\_\_.





8. Check and complete all statements below that are accurate:

\_\_\_\_\_ a. No other person has an interest of any kind in my investment in Shasta;

\_\_\_\_\_ b. The following persons have a share of my investment in Shasta (list such persons' names and addresses): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_;

\_\_\_\_\_ c. I act as trustee or representative of one or more other persons who hold a beneficial interest in my investment in Shasta (list names and addresses of all persons holding such beneficial interests):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_;

\_\_\_\_\_ d. I am a representative or agent of the following entity which invested funds in Shasta (list name and address of entity and your relationship to the entity): \_\_\_\_\_  
\_\_\_\_\_.

9. I have enclosed with this form copies of all available statements received regarding my participation in Shasta.

10. I have also enclosed with this form copies of all checks, wire transfer advices and all other records of transfer relating to the funds deposited in or withdrawn from Shasta.

11. I have enclosed copies of all other documents in my possession or control in connection with my investment in Shasta, including correspondence, e-mails, K-1 or other tax forms, subscription agreements, independent verification agreements, and private placement memoranda.

12. I am aware of the following persons who also had an investment in Shasta (list names and addresses of such persons):

I aver and affirm that the above information is true and correct under penalty of perjury.

\_\_\_\_\_  
Signature

Name: \_\_\_\_\_  
(Please type or print)

Address: \_\_\_\_\_  
\_\_\_\_\_

State of \_\_\_\_\_

Home Phone: \_\_\_\_\_

County of \_\_\_\_\_

Daytime Phone: \_\_\_\_\_

Subscribed to before me  
this \_\_\_\_ day of \_\_\_\_\_,  
2004.

Fax: \_\_\_\_\_

Email Address: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

Please return to:

Cheryl Baran  
Sachnoff & Weaver, Ltd.  
30 South Wacker Drive  
Suite 2900  
Chicago, Illinois 60606