

**Philadelphia Board of Trade**

A subsidiary of the  
Philadelphia Stock Exchange

**PBOT**

1900 Market Street  
Philadelphia, PA 19103-3584  
Telephone: 215-496-5000  
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**Rule Self-Certification**

October 30, 2006

Office of the Secretary  
Commodity Futures Trading Commission  
Three Lafayette Center  
1155 21<sup>st</sup> St., N.W.  
Washington, D.C. 20581

**Reference File SR-PBOT-2006-09: Rule Certification**

Ladies and Gentlemen:

Pursuant to Commission Rule 40.6, the Philadelphia Board of Trade ("PBOT") proposes to amend its fee schedule, as set forth below. The amendment will be effective on October 30, 2006.

There were no opposing views expressed to the PBOT by its board of directors, its Executive Committee or its members concerning these fee schedule changes.

The PBOT certifies that this amendment to the PBOT's fee schedule complies with the Commodity Exchange Act and the rules thereunder.

Yours truly,



C. Robert Paul  
Executive Vice President  
and General Counsel

cc: Mr. Allen J. Cooper  
Ms. Jane Croessmann

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Additions underlined; deletions bracketed and struck through

Fee Schedule

TRANSACTION CHARGES

- A. LIBOR based-products
  - a. Customer: \$1.50 per contract side
  - b. Firm: \$0.50 per contract side
  - c. Market Maker: \$0.20 per contract side

MEMBERSHIP-RELATED FEES

- A. Application, Initiation and Seat Transfer Fees:
  - a. Application Fee: \$350.00
  - b. Seat Transfer Fee: \$250.00<sup>1</sup>
- B. Seat Lease
  - a. \$100.00 per month
- C. [~~Membership dues: \$500 per month per membership<sup>2</sup>~~
  - a. ~~A credit of \$1 per contract side traded will be applied against the total membership dues paid in a calendar year, but not to exceed the total membership dues paid in a calendar year~~
  - b. ~~PBOT members or member organizations that are also clearing members of the Options Clearing Corporation are exempt]~~
- C. Membership dues: \$100 per annum to be effective on the first of June each year ("annual dues"). The annual dues apply only to PBOT members who are not affiliated with a Philadelphia Stock Exchange member firm.

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<sup>1</sup> This fee is waived until January 1, 2007

<sup>2</sup> Membership dues will become effective on November 1, 2006]