

UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION

Yuma Shiba

Complainant,

v.

Cromwell Financial Services Inc.,
Et al.,

Respondents.

CFTC Docket No. 03-R013

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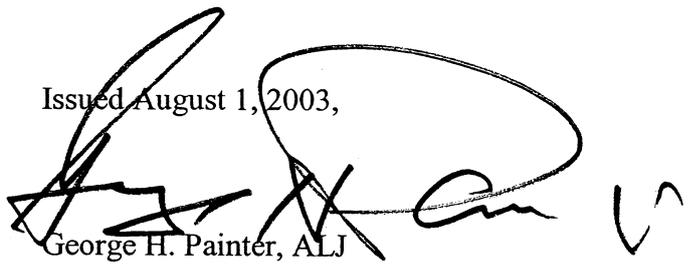
DISMISSAL ON SETTLEMENT

The Court is in receipt of the parties Notice of Settlement and Joint Stipulation of Dismissal with Prejudice, dated June 30, 2003.

All issues having been resolved, the Complaint against Respondents Cromwell Financial Services, Inc., Christopher H. Harris, Patricia F. Hyler, and Philip M Tuccelli is hereby **DISMISSED WITH PREJUDICE.**

So Ordered.

Issued August 1, 2003,



George H. Painter, ALJ

Charisma Hampton
Student Law Intern