

Exhibit U

Attach as Exhibit U, any information (described with particularity) included in the application that will be subject to a request for confidential treatment pursuant to § 145.9 of the Commission's regulations.

Response:

Each of the components of REsurety Markets' application listed below, in its entirety, is subject to a request for confidential treatment under Commission Regulation 145.9:

- 1. All pages of the application cover sheet other than the first page**
- 2. Exhibit A in its entirety:** List of persons who control REsurety Markets
- 3. Exhibit B in its entirety:** List of Present Officers, Directors, Governors, or Persons Performing Similar Functions for Applicant
- 4. Exhibit D in its entirety:** Organizational Structure of Applicant and addresses for service
- 5. Exhibit E in its entirety:** Personnel Qualifications for Each Category of Professional Employees Employed by Applicant
- 6. Exhibit F in its entirety:** Analysis of Staffing Requirements, Names and Qualifications of Key Staff
- 7. Exhibit H in its entirety:** Material Pending Legal Proceedings to which Applicant is a Party
- 8. Exhibit I in its entirety:** Financial Statements of REsurety Markets and certain affiliates
- 9. Exhibit J in its entirety:** Financial Statements of Each Affiliate Engaged in SEF or DCM Activities
- 10. Exhibit K in its entirety:** Dues, Fees and Other Charges Imposed for SEF Services of Applicant
- 11. Exhibit N in its entirety:** Agreements Supporting Compliance with Applicable Core Principles
 - A. Exhibit N-1:** Vendor Agreement
 - B. Exhibit N-2:** Vendor Agreement
 - C. Exhibit N-3:** Vendor Agreement
 - D. Exhibit N-4:** Vendor Agreement
 - E. Exhibit N-5:** Vendor Agreement
 - F. Exhibit N-6:** Shared Services Agreement
 - G. Exhibit N-7:** Participant Agreement
 - H. Exhibit N-8:** Broker Firm Agreement
 - I. Exhibit N-9:** ISV Agreement
 - J. Exhibit N-10:** Letters of Assignment
- 12. Exhibit O in its entirety:** REsurety Markets Compliance Manual
- 13. Exhibit P in its entirety:** Disciplinary Protocols, Tools and Procedures and Dispute Resolution
- 14. Exhibit Q in its entirety:** Explanation of SEF Trading Systems Operations
- 15. Exhibit R in its entirety:** Prohibition of Trade Practice Violations
- 16. Exhibit S in its entirety:** Information Responsive to How Trading Data Will Be Maintained by REsurety Markets LLC
- 17. Exhibit V in its entirety:** Information Responsive to CFTC Staff Technology Questionnaire
 - A. Exhibit V Appendixes (V-01 through V-48)**
 - i. Appendix V-01 – Acceptable Use Policy**
 - ii. Appendix V-02 – Access Control Policy**
 - iii. Appendix V-03 – Vendor System and Organization Controls Report**

- iv. **Appendix V-04** – Vendor System and Organization Controls Report
- v. **Appendix V-05** – Vendor System and Organization Controls Report
- vi. **Appendix V-06** – Vendor System and Organization Controls Report
- vii. **Appendix V-07** – Asset Management Policy
- viii. **Appendix V-08** – Business Continuity Management Policy Manual
- ix. **Appendix V-09** – Capacity Management Standard
- x. **Appendix V-10** – Vendor System and Organization Controls Report
- xi. **Appendix V-11** – Change Control Policy
- xii. **Appendix V-12** – Change Management Standards
- xiii. **Appendix V-13** – Data Classification and Handling Policy
- xiv. **Appendix V-14** – Disposal of Data and Equipment Policy
- xv. **Appendix V-15** – Vendor Data Protection Policy
- xvi. **Appendix V-16** – Encryption Policy
- xvii. **Appendix V-17** – Enterprise Risk Management Framework
- xviii. **Appendix V-18** – Enterprise Technology Risk Assessment
- xix. **Appendix V-19** – Vendor Internal Audit Schedule and Report
- xx. **Appendix V-20** – Vendor Penetration Testing Report
- xxi. **Appendix V-21** – Vendor Personal Data Breach Notification Procedure
- xxii. **Appendix V-22** – Vendor System and Organization Controls Report
- xxiii. **Appendix V-23** – Incident Escalation Policy
- xxiv. **Appendix V-24** – Incident Response Policy
- xxv. **Appendix V-25** – Information Security Management System Policy
- xxvi. **Appendix V-26** – Information Security Training Materials
- xxvii. **Appendix V-27** – Information Technology Risk Awareness Training Materials
- xxviii. **Appendix V-28** – Vendor Secure Software Development Policy
- xxix. **Appendix V-29** – Interview Guide - Instructions
- xxx. **Appendix V-30** – Laptop and Mobile Device Security Procedure
- xxxi. **Appendix V-31** – Mail and Package Handling Policy
- xxxii. **Appendix V-32** – Operational Management Policy
- xxxiii. **Appendix V-33** – Password Policy
- xxxiv. **Appendix V-34** – PC Security, Endpoint Protection, and Anti-Virus Procedure
- xxxv. **Appendix V-35** – Physical and Environmental Infrastructure Procedure
- xxxvi. **Appendix V-36** – Platform Hosted Solution Implementation Policy
- xxxvii. **Appendix V-37** – Post-Launch Risk Assessment Template
- xxxviii. **Appendix V-38** – Pre-Launch Risk Assessment
- xxxix. **Appendix V-39** – Privacy Policy
- xl. **Appendix V-40** – Risk Appetite Tolerance Statement
- xli. **Appendix V-41** – Risk Committee Charter
- xl. **Appendix V-42** – Secure Software Development Policy
- xl. **Appendix V-43** – Security Incident Management Policy
- xl. **Appendix V-44** – Teleworking Homeworking and Mobile Working Procedures
- xl. **Appendix V-45** – Third Party Management Policy and Procedures
- xl. **Appendix V-46** – Third Party Risk Assessment Process
- xl. **Appendix V-47** – Third Party Risk Assessment Process
- xl. **Appendix V-48** – Third Party Risk Assessment Process