



Nasdaq Futures, Inc.  
1900 Market Street  
Philadelphia, PA 19103 / USA  
[business.nasdaq.com/futures](http://business.nasdaq.com/futures)

## Rule Self-Certification

January 10, 2017

Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Center  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

Re: **NFX Fee Schedule Amendments**  
**Reference File: SR-NFX-2017-01**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”), and Section 40.6 of the Commission’s regulations thereunder, NASDAQ Futures, Inc. (“NFX” or “Exchange”) is amending its fee schedule to remove all references to the “New Client Fee.” NFX no longer offers new clients on the trading platform a different fee schedule.<sup>1</sup> All market participants on NFX will trade pursuant to the same fee schedule. The rule change will become effective January 25, 2017 for trade date January 26, 2017.

### Certification

There were no opposing views among NFX’s Board of Directors, members or market participants. The Exchange hereby certifies that the Fee Schedule amendments as set forth herein comply with the Act and the Commission’s regulations thereunder. The Exchange also certifies that notice of pending certification and a copy of this submission have been concurrently posted on the Exchange’s website at [business.nasdaq.com/futures](http://business.nasdaq.com/futures).

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<sup>1</sup> See SR-NFX-2016-111, amending the NFX fee schedule to remove the “New Client Fee” and adopt a tiered fee schedule.

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If you require any additional information regarding the submission, please contact Aravind Menon at (301) 978-8416. Please refer to SR-NFX-2017-01 in any related correspondence.

Regards,

A handwritten signature in black ink that reads "Daniel R. Carrigan". The signature is written in a cursive style with a large, sweeping initial "D".

Daniel R. Carrigan  
President

Attachments:

Exhibit A: NASDAQ Futures, Inc. Fee Schedule

**Exhibit A to SR-NFX-2017-01**

(additions are underlined, deleted language is ~~stricken~~)

**NASDAQ FUTURES FEE SCHEDULE**

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**Section I-Transaction Charges**

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**Designated Market Maker Fee Waiver.** Transaction Fees are waived for Exchange-selected Designated Market Makers ("DMMs") participating in the Exchange's Energy DMM Program in their assigned DMM contracts. Base Transaction Fees ~~and New Client Transaction Fees~~ are also waived for DMMs' hedging transactions in futures contracts underlying their assigned options. For the avoidance of doubt, the Block Trade/EFRP surcharge will not be waived. ~~Upon the termination of participation of a DMM in an Exchange DMM program, transactions of the DMM shall be subject to the New Client Transaction Fee rather than the Base Transaction Fee for a period of 3 calendar months (including the month or portion thereof in which the entity's participation in the DMM program terminates).~~

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