

## SUBMISSION COVER SHEET

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): \_\_\_\_\_

Organization: \_\_\_\_\_

Filing as a:        **DCM**        **SEF**        **DCO**        **SDR**    Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): \_\_\_\_\_ Filing Description:

**SPECIFY FILING TYPE**                      Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

Certification	§ 40.6(a)
Approval	§ 40.5(a)
Notification	§ 40.6(d)
Advance Notice of SIDCO Rule Change	§ 40.10(a)
SIDCO Emergency Rule Change	§ 40.10(h)

Rule Numbers: \_\_\_\_\_

**New Product**                                      Please note only ONE product per Submission.

Certification	§ 40.2(a)
Certification Security Futures	§ 41.23(a)
Certification Swap Class	§ 40.2(d)
Approval	§ 40.3(a)
Approval Security Futures	§ 41.23(b)
Novel Derivative Product Notification	§ 40.12(a)
Swap Submission	§ 39.5

Official Product Name: \_\_\_\_\_

**Product Terms and Conditions (product related Rules and Rule Amendments)**

Certification	§ 40.6(a)
Certification Made Available to Trade Determination	§ 40.6(a)
Certification Security Futures	§ 41.24(a)
Delisting (No Open Interest)	§ 40.6(a)
Approval	§ 40.5(a)
Approval Made Available to Trade Determination	§ 40.5(a)
Approval Security Futures	§ 41.24(b)
Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)
“Non-Material Agricultural Rule Change”	§ 40.4(b)(5)
Notification	§ 40.6(d)

Official Name(s) of Product(s) Affected: \_\_\_\_\_

Rule Numbers: \_\_\_\_\_

January 14, 2016

Submitted via Portal

U.S. Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, N.W.  
Washington, D.C. 20581

Re: Bloomberg SEF LLC – Certification 2016-R-1 (Notice to Participants)

Ladies and Gentlemen:

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Section 40.6(a) of the regulations of the Commodity Futures Trading Commission (the “Commission”), Bloomberg SEF LLC (“Bloomberg SEF”) hereby notifies the Commission that Bloomberg SEF will release the Notice to Participants attached hereto as Exhibit A on January 14, 2016 (the “Notice”).

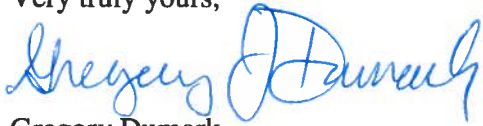
The Notice informs Participants that Bloomberg SEF has introduced a new trading functionality called a Manual Order Ticket. We have identified that the Notice may have some bearing on the following Commission regulations: 37.9 and 37.203(a).

Bloomberg SEF hereby certifies that: (i) the Notice to Participants complies with the Act and the Commission’s regulations thereunder, and (ii) a notice and copy of this submission is being concurrently posted on Bloomberg SEF’s web site. There were no substantive opposing views to the Notice to Participants.

The Notice will become effective as of February 1, 2016.

Please contact the undersigned at (212) 617-8302 with any questions regarding this matter.

Very truly yours,



Gregory Dumark  
Chief Compliance Officer

Bloomberg SEF LLC  
731 Lexington Avenue  
New York, NY 10022

Enclosures

**Notice to Bloomberg SEF Participants**

**2016-1**

*Manual Order Ticket Functionality*

Bloomberg SEF has introduced a new trading functionality called a Manual Order Ticket. A Participant using this functionality (SWXT<go> and CDXT <go> for IRS and CDS respectively) may enter the economic terms of a Permitted Transaction, the name of an authorized trader of the receiving Participant ("Recipient") and indicate that the Permitted Transaction is intended for execution on Bloomberg SEF. If the Permitted Transaction is a MAT leg of a Covered Package Transaction, the Participant must designate the appropriate package type. The Participant can then send the Manual Order Ticket to the Recipient. The Recipient may either accept or reject the trade set forth in the Manual Order Ticket.

For Permitted Transactions in Cleared Swaps, after the Recipient accepts the Manual Order Ticket, Bloomberg SEF will conduct a pre-trade credit check on each counterparty that is not a Clearing Member of the Clearing House where the Swap is cleared. If the credit check is passed, Bloomberg SEF will execute the trade. If the credit check fails, the trade will not be executed and the Participants will be notified.

For Permitted Transactions in Non-Cleared Swaps, the trade will be executed when accepted by the Recipient.

Bloomberg SEF will send a Confirmation to both Participants when the trade is executed and report the trade to an SDR as soon as technologically practicable. Trades in Cleared Swaps will be submitted to the relevant Clearing House.

Capitalized terms used but not defined herein shall have the meaning ascribed to them in the Bloomberg SEF Rulebook.

Effective Date: February 1, 2016