| Registered Entity Identifier Code (optional): <u>20-059</u>  |                         |
|--|-------------------------|
| Organization: <u>New York Mercantile Exchange, Inc. (''NYM</u>   | <u>EX'')</u>            |
| Filing as a: DCM SEF DCO   | SDR                     |
| Please note - only ONE choice allowed.   |                         |
| Filing Date (mm/dd/yy): <u>January 14, 2020</u> Filing Descript<br>NYMEX Fee Schedule for Two (2) Metals Futures Contrac |                         |
| PECIFY FILING TYPE   |                         |
| Please note only ONE choice allowed per Submission.  |                         |
| Organization Rules and Rule Amendments   |                         |
| Certification  | § 40.6(a)               |
| Approval   | § 40.5(a)               |
| Notification   | § 40.6(d)               |
| Advance Notice of SIDCO Rule Change  | § 40.10(a)              |
| SIDCO Emergency Rule Change  | § 40.10(h)              |
| Rule Numbers: <u>N/A</u>   |                         |
| New Product Please note only ONE   | product per Submission. |
| Certification  | § 40.2(a)               |
| Certification Security Futures   | § 41.23(a)              |
| Certification Swap Class   | § 40.2(d)               |
| Approval   | § 40.3(a)               |
| Approval Security Futures  | § 41.23(b)              |
| Novel Derivative Product Notification  | § 40.12(a)              |
| Swap Submission  | § 39.5                  |
| Official Product Name:   |                         |
| Product Terms and Conditions (product related Rules and  | Rule Amendments)        |
| Certification  | § 40.6(a)               |
| Certification Made Available to Trade Determination  | § 40.6(a)               |
| Certification Security Futures   | § 41.24(a)              |
| Delisting (No Open Interest)   | § 40.6(a)               |
| Approval   | § 40.5(a)               |
| Approval Made Available to Trade Determination   | § 40.5(a)               |
| Approval Security Futures  | § 41.24(c)              |
| Approval Amendments to enumerated agricultural products  | § 40.4(a), § 40.5(a)    |
| "Non-Material Agricultural Rule Change"  | § 40.4(b)(5)            |
| Notification   | § 40.6(d)               |



January 14, 2020

### VIA ELECTRONIC PORTAL

Christopher Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

### RE: Modifications to the NYMEX Fee Schedule for Two (2) Metals Futures Contracts NYMEX Submission No. 20-059

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") hereby notifies the Commodity Futures Trading Commission ("CFTC" or "Commission") of amendments to the NYMEX fee schedule for two (2) metals futures contracts ("Fee Schedule"). The modifications to the Fee Schedule will be effective on February 1, 2020.

The modified Fee Schedule is set forth in Exhibit 1 below, with additions underlined and deletions overstruck.

The Exchange reviewed the designated contract market ("DCM") core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA"). During the review, Exchange staff identified the following Core Principles as potentially being impacted:

<u>Availability of General Information</u>: As required by this Core Principle, the Exchange will publish the modified Fee Schedule on the CME Group website to ensure that market participants have been advised of the revised fees. The Exchange also issues a Special Executive Report ("SER") to the marketplace regarding the revised fees.

<u>Financial Resources</u>: The proposed fee changes will not impact the Exchange's ability to discharge its financial, operational, or managerial responsibilities as a DCM.

The Exchange certifies that the proposed modifications to the Fee Schedule comply with the CEA and the regulations thereunder. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <a href="http://www.cmegroup.com/market-regulation/rule-filings.html">http://www.cmegroup.com/market-regulation/rule-filings.html</a>.

If you require any additional information regarding this submission, please e-mail <u>CMEGSubmissionInquiry@cmegroup.com</u> or contact Rachel Johnson at 312-466-4393 or via e-mail at <u>Rachel.Johnson@cmegroup.com</u>. Please reference our NYMEX Submission No. 20-059 in any related correspondence.

Sincerely,

/s/ Christopher Bowen Managing Director and Chief Regulatory Counsel

Attachment: NYMEX Fee Schedule (blackline format)

300 Vesey Street New York, NY 10282 T 212 299 2200 F 212 301 4645 christopher.bowen@cmegroup.com cmegroup.com

# EXHIBIT 1

## **NYMEX Fee Schedule**

### (additions underlined; deletions overstruck)

| Symbol | Product   | Exchange<br>Fees | Member<br>Day<br>Current<br>Fee | Member<br>Day<br>Proposed<br>Fee |
|--------|---|------------------|---------------------------------|----------------------------------|
| BUS    | U.S. Midwest Busheling<br>Ferrous Scrap (AMM)<br>Futures              | Globex           | <del>\$2.00</del>               | <u>N/A</u>                       |
| HR     | U.S. Midwest Domestic<br>Hot-Rolled Coil Steel<br>(CRU) Index Futures | Globex           | <del>\$2.00</del>               | <u>N/A</u>                       |