

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 23-051 (1 of 2)

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): January 17, 2023 Filing Description: Modifications to the NYMEX and COMEX Fee Schedules for Certain Metals Contracts

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                                     |                                     |            |
|-------------------------------------|-------------------------------------|------------|
| <input checked="" type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/>            | Approval                            | § 40.5(a)  |
| <input type="checkbox"/>            | Notification                        | § 40.6(d)  |
| <input type="checkbox"/>            | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/>            | SIDCO Emergency Rule Change         | § 40.10(h) |

Rule Numbers: N/A

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                          |   |                      |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/> | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/> | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/> | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/> | Approval  | § 40.5(a)            |
| <input type="checkbox"/> | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/> | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected:

Rule Numbers:



Christopher Bowen  
Managing Director and Chief Regulatory Counsel  
Legal Department

January 17, 2023

**VIA ELECTRONIC PORTAL**

Christopher Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**RE: Modifications to the NYMEX and COMEX Fee Schedules for Certain Metals Contracts  
NYMEX Submission No. 23-051 (1 of 2)**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. ("NYMEX") and Commodity Exchange, Inc. ("COMEX") (collectively, the "Exchanges") hereby notify the Commodity Futures Trading Commission ("CFTC" or "Commission") of amendments to the NYMEX and COMEX fee schedule for certain metals contracts ("Fee Schedule"). The modifications to the Fee Schedule will be effective on February 1, 2023.

The modified Fee Schedule is set forth in Exhibit 1 below, with additions underlined and deletions ~~overstruck~~.

The Exchanges reviewed the designated contract market ("DCM") core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA"). During the review, Exchange staff identified the following Core Principles as potentially being impacted:

Availability of General Information: As required by this Core Principle, the Exchanges will publish the modified Fee Schedule on the CME Group website to ensure that market participants have been advised of the revised fees. The Exchanges also issue a Special Executive Report ("SER") to the marketplace regarding the revised fees.

Financial Resources: The proposed fee changes will not impact the Exchanges' ability to discharge its financial, operational, or managerial responsibilities as DCMs.

The Exchanges certify that the proposed modifications to the Fee Schedule comply with the CEA and the regulations thereunder. There were no substantive opposing views to this proposal.

The Exchanges certify that this submission has been concurrently posted on the Exchanges' website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information regarding this submission, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact Rachel Johnson at 312-466-4393 or via e-mail at [Rachel.Johnson@cmegroup.com](mailto:Rachel.Johnson@cmegroup.com). Please reference our NYMEX Submission No. 23-051 in any related correspondence.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Fee Schedule (blackline format)

# EXHIBIT 1

## Fee Schedule

(additions underlined; deletions ~~overstruck~~)

Symbol	Product	CURRENT (overstruck)		NEW (underlined)	
		EFR/EOO		EFR/EOO	
		Member	Non-Member	Member	Non-Member
G1M	Gold Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G1W	Gold Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G2M	Gold Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G2W	Gold Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G3M	Gold Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G3W	Gold Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G4M	Gold Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G4W	Gold Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G5M	Gold Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
G5W	Gold Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H1E	Copper Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H1M	Copper Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H1W	Copper Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H2E	Copper Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H2M	Copper Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H2W	Copper Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H3E	Copper Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H3M	Copper Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H3W	Copper Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H4E	Copper Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H4M	Copper Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H4W	Copper Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H5E	Copper Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H5M	Copper Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
H5W	Copper Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
HX	Copper Options	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
M1S	Silver Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
M2S	Silver Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
M3S	Silver Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
M4S	Silver Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
M5S	Silver Weekly Monday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
OG	Gold Options	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>

OG1	Gold Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
OG2	Gold Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
OG3	Gold Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
OG4	Gold Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
OG5	Gold Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
PAO	Palladium Options	<del>0.70</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
PO	Platinum Options	<del>0.70</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
SO	Silver Options	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
SO1	Silver Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
SO2	Silver Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
SO3	Silver Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
SO4	Silver Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
SO5	Silver Weekly Friday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
W1S	Silver Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
W2S	Silver Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
W3S	Silver Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
W4S	Silver Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>
W5S	Silver Weekly Wednesday Option	<del>0.85</del>	<del>1.55</del>	<u>3.35</u>	<u>4.00</u>