

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 16-036

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 01/27/2016 Filing Description: Issuance of CBOT Market Regulation Advisory Notice RA1601-1 Concerning the Trading Locations of Intermarket Spreads

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                                     |                                     |            |
|-------------------------------------|-------------------------------------|------------|
| <input checked="" type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/>            | Approval                            | § 40.5(a)  |
| <input type="checkbox"/>            | Notification                        | § 40.6(d)  |
| <input type="checkbox"/>            | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/>            | SIDCO Emergency Rule Change         | § 40.10(h) |

Rule Numbers: 521

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                          |   |                      |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/> | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/> | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/> | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/> | Approval  | § 40.5(a)            |
| <input type="checkbox"/> | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/> | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected:

Rule Numbers:

January 27, 2016

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(a) Certification. Issuance of CBOT Market Regulation  
Advisory Notice RA1601-1 Concerning the Trading Locations of Intermarket  
Spreads.  
CBOT Submission No. 16-036**

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange"), hereby notifies the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying the issuance of CBOT Market Regulation Advisory Notice RA1601-1 ("RA1601-1") concerning the trading locations of intermarket spreads. This submission shall be effective on February 11, 2016.

RA1601-1 provides updated guidance as to the location for open outcry trading of the Soybean Meal Options/Wheat Options intermarket spread. This intermarket spread is to be executed in the Soybean Meal Options trading pit.

The Exchange reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA" or "Act") and identified that the issuance of RA1601-1 may have some bearing on the following Core Principles:

Compliance with Rules: The Market Regulation Advisory Notice being issued provides guidance to the marketplace on the trading locations of intermarket spreads on the trading floor. This guidance is intended to provide the marketplace with information on access to, and location of, trading, and is therefore in compliance with this Core Principle.

Availability of General Information: As required by this Core Principle, the Exchange is publicly issuing RA1601-1 to ensure that market participants have updated guidance and information attendant to the open outcry trading locations of intermarket spreads. RA1601-1 will also be available on the CME Group website.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the issuance of RA1601-1 complies with the Act and regulations thereunder. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at 212.299.2200.

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – CBOT RA1601-1

## Exhibit A

### MARKET REGULATION ADVISORY NOTICE

---

<b>Exchange</b>	<b>CBOT</b>
<b>Subject</b>	<b>Trading Locations of Intermarket Spreads</b>
<b>Rule References</b>	<b>Rule 521</b>
<b>Advisory Date</b>	<b>January 28, 2016</b>
<b>Advisory Number</b>	<b>CBOT RA1601-1</b>
<b>Effective Date</b>	<b>February 11, 2016</b>

This Advisory Notice supersedes CBOT Market Regulation Advisory Notice RA1501-1 from June 8, 2015. It is being issued to provide updated information on the open outcry trading location of the Soybean Meal Options/ Wheat Options intermarket spread.

Please note that the following intermarket options spreads, including options/futures spreads, may be executed on the trading floor only by eligible members.

#### **FINANCIAL OPTION PRODUCTS**

The following spreads may be traded only in the designated areas and must be reported to the appropriate Trading Floor Operations staff.

##### **Spread**

Options/Underlying future  
T-Bond Options/any Treasury Future\*  
10-Year Note Options/any Treasury Future\*  
10-Year Note Options/T-Bond Options  
5-Year Note Options/any Treasury Future\*  
5-Year Note Options/10-Year Note Options  
5-Year Note Options/T-Bond Options  
2-Year Note Options/any Treasury Future\*  
2-Year Note Options/5-Year Note Options  
2-Year Note Options/10-Year Note Options  
2-Year Note Options/T-Bond Options  
Ultra Bond Options/Any Treasury Options\*

##### **Trading/Reporting Location**

Relevant options pit  
T-Bond Options pit  
10-Year Options pit  
10-Year Options pit  
5-Year Options pit  
5-Year Options pit  
5-Year Options pit  
2-Year Options pit  
2-Year Options pit  
2-Year Options pit  
2-Year Options pit  
T-Bond Options pit

\* Treasury Futures include T-Bonds, Ultra Bonds, 10-Year Notes, 5-Year Notes, 3-Year Notes and 2-Year Notes.

#### **AGRICULTURAL OPTION PRODUCTS**

These following spreads may be traded only in the designated areas and must be reported to the appropriate Trading Floor Operations staff.

##### **Spread**

Options/Underlying future  
Bean Options/Meal Options/Oil Options  
Meal Options/Oil Options

##### **Trading/Reporting Location**

Relevant options pit  
Meal/Oil Options pit  
Meal/Oil Options pit

Meal Options/Wheat Options  
Soybean Options/Corn Options  
Wheat Options/Corn Options  
Wheat Options/Soybean Options  
Oil Options/Soybean Options  
Meal Options/Soybean Options  
Meal Options/Corn Options  
Oat Options/Corn Options  
Wheat-Corn Inter-Commodity Spread Options  
Soybean-Corn Price Ratio Options  
MGEX-CBOT Wheat Spread Options

Meal Options pit  
Soybean Options pit  
Wheat Options pit  
Wheat Options pit  
Oil Options pit  
Meal Options pit  
Meal Options pit  
Oat Options pit  
Wheat Options pit  
Soybean Options pit  
Wheat Options pit

Questions regarding this advisory may be directed to the following individuals:

Robert Boruski, Senior Director, Trading Floor Operations, 312.347.5427

Renea Burton, Senior Director, Trading Floor Operations, 312.341.3143

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or [news@cmegroup.com](mailto:news@cmegroup.com).