**Rule Self-Certification**

January 28, 2019

Christopher J. Kirkpatrick

Office of the Secretariat

Commodity Futures Trading Commission

Three Lafayette Center

1155 21st Street, NW

Washington, DC 20581

Re: **Rule Certification for Amendments to the**

**Energy Broker Incentive Program**

**Reference File: SR-NFX-2019-01**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”), and Sections 40.2 and 40.6 of the Commission’s regulations thereunder, NASDAQ Futures, Inc. (“NFX” or “Exchange”) submits this self-certification to amend the Exchange’s broker incentive program (the “Energy Broker Incentive Program” or “Program”) for certain energy contracts (the “Energy Contracts”).[[1]](#footnote-1) This self-certification: (1) updates the language for freight to remove legacy references; (2) adds a competitive monthly incentive to Off-Exchange Reporting Brokers (“ORBs”) who submit Block Trades in NFX Options on NFX Henry Hub Futures - 10,000 (LNQ); (3) removes power options from the minimum volume threshold, and lowers the minimum power futures volume required from 4.0 TWh to 3.0 TWh; and (4) adjusts the terms upon which the Exchange will pay incentives under the Program where the ORBs customer is already receiving a benefit under another Exchange program. The terms and conditions of the Energy Broker Incentive Program are attached hereto as Exhibit A. The amendments in Exhibit A will be implemented on February 11, 2019.

There were no opposing views among the NFX’s Board of Directors, members or market participants. The Exchange hereby certifies that the amendments to the Energy Broker Incentive Program comply with the Commodity Exchange Act and regulations thereunder. The Exchange also certifies that notice of pending certification and a copy of this submission have been concurrently posted on the Exchange’s website at business.nasdaq.com/futures.

If you require any additional information regarding the submission, please contact Stephen Matthews at +1 301 978 8456 or via e-mail at [steve.matthews@nasdaq.com.](mailto:steve.matthews@nasdaq.com.) Please reference SR-NFX-2019-01 in any related correspondence.

Regards,



Kevin Kennedy

Chief Executive Officer

Attachments:

Exhibit A: Energy Broker Incentive Program

1. See [NFX Rulebook Appendix A – Listed Contracts](http://nasdaqphlx.cchwallstreet.com/NASDAQPHLXTools/PlatformViewer.asp?selectednode=chp_1_1_1_9&manual=%2Fnasdaqomxphlx%2Fnfx%2Fphlx-brdtrade-rules%2F) [↑](#footnote-ref-1)