

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 21-084

Organization: Chicago Mercantile Exchange Inc. ("CME")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 02/09/21 Filing Description: Weekly Notification of Rule Amendments (Week of February 1, 2021)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|-------------------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers: See filing.

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected: _____

Rule Numbers: _____



Christopher Bowen
Managing Director and Chief Regulatory Counsel
Legal Department

February 9, 2021

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification. Chicago Mercantile Exchange Inc. (“CME” or “Exchange”) Weekly Notification of Rule Amendments.
CME Submission No. 21-084**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following rule amendments effective during the week of February 1, 2021.

Effective February 1, 2021, the Exchange increased certain transaction fees as more specifically described in Special Executive Report (“SER”) 8691. The SER is attached hereto as Exhibit A.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – SER 8691

EXHIBIT A



Special Executive Report

DATE: December 17, 2020
SER#: 8691
SUBJECT: CME/CBOT/COMEX Exchange Transaction Fee Amendments Effective February 1, 2021

Effective February 1, 2021, Chicago Mercantile Exchange Inc. (“CME”), The Board of Trade of the City of Chicago, Inc. (“CBOT”), and Commodity Exchange, Inc. (“COMEX”) will increase certain transaction fees as more specifically described in the tables below.

- **CME EQUITY FUTURES** fees shall be amended as follows:
 - o Transaction fees for **CME Micro E-mini Futures** executed by **Non-Members** will be adjusted as follows:

Fees are charged per side (both buy and sell side) per contract.	Venue/Transaction Type	**Current**	**New**	
		Micro E-mini Index Futures & Options	Micro E-mini Index	
			Futures	Options
CBOE Members <i>(For S&P Products Only; N/A For All Other Products - See Non-Members)</i>	Open Outcry Delivery	\$0.04	\$0.04	\$0.04
	Globex - Outrights	\$0.19	\$0.24	\$0.19
	Globex - Spreads	\$0.13	\$0.18	\$0.13
	EFP EFR Block BTIC TACO	\$0.22	\$0.27	\$0.22
	Covered Fut & Opt Block	N/A	N/A	N/A
Members Trading Outside of Division <i>(For other than Globex During ETH - See Non-Members)</i>	Exercise Assign Future From	\$0.04	\$0.04	\$0.04
	Globex - During ETH Only	\$0.10	\$0.15	\$0.10
Non-Members <i>(Including: CTA/Hedge Fund Incentive Program Participants)</i>	Open Outcry Delivery	\$0.05	\$0.05	\$0.05
	Globex - Outrights	\$0.20	\$0.25	\$0.20
	Globex - Spreads	\$0.14	\$0.19	\$0.14
	EFP EFR Block BTIC TACO	\$0.24	\$0.29	\$0.24
	Covered Fut & Opt Block	N/A	N/A	N/A
	Exercise Assign Future From	\$0.05	\$0.05	\$0.05

- **CBOT EQUITY FUTURES** fees shall be amended as follows:
 - o Transaction fees for **CBOT Micro E-Mini Dow Futures** executed by **Non-Members** will be adjusted as follows:

Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	**Current**	**New**
				Micro E-mini Dow Futures	Micro E-mini Dow Futures
				5	Non-Members
			EFP EFR Block	\$0.24	\$0.29
			BTIC	n/a	n/a

- **COMEX METALS FUTURES** fees shall be amended as follows:
 - o Transaction Fees for **COMEX Metals Futures** executed by **Non-Members** will be adjusted for **Micro Precious Metals** products as follows:

Product	Division	**Current**		**New**	
		Non-Member	Non-Member	Non-Member	Non-Member
Micro Gold Futures	COMEX	0.30	0.30	0.50	0.50
Micro Silver Futures	COMEX	0.60	0.60	1.00	1.00

Please refer questions on this subject to:

- o For media inquiries: news@cmegroup.com +1 312-930-3193
- o For customer fee inquiries: EFSAdmin@cmegroup.com +1 312-648-5470