**Rulebook Appendix A - Listed Contracts**

**Introduction**

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|  | **OIL AND REFINED PRODUCTS – No Change** |
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|  | **NATURAL GAS PRODUCTS – No Change** |
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|  | **POWER CONTRACTS – No Change** |
|  |  |
|  | **PETROCHEMICALS PRODUCTS – No Change** |
|  |  |
|  | **FERROUS METALS – No Change** |
|  |  |
|  | **TANKER FREIGHT – No Change** |
|  |  |
|  | **DRY FREIGHT** |
| **\*\*\*\*\*** |  |
| 101 | NFX Brent Crude Financial Futures (BFQ) |
| 102 | NFX Options on NFX Brent Crude Financial Futures (BCQ) |
| 103A | NFX WTI Crude Oil Penultimate Financial Futures (TQ) |
| 104A | NFX Options on NFX WTI Crude Oil Penultimate Financial Futures (TOQ) |
| 105 | NFX Low Sulphur Gasoil Financial Futures (GOQ) |
| 201 | NFX Henry Hub Natural Gas Financial Futures - 2,500 (NNQ) |
| 202 | NFX Henry Hub Natural Gas Penultimate Financial Futures - 2,500 (NPQ) |
| 203 | NFX Henry Hub Natural Gas Financial Futures - 10,000 (HHQ) |
| 204 | NFX Henry Hub Natural Gas Penultimate Financial Futures - 10,000 (HUQ) |
| 205 | NFX Options on NFX Henry Hub Penultimate Financial Futures - 10,000 (LNQ) |
| 1300 | NFX C3 Dry Freight Capesize Voyage Route Financial Futures (C3AQ) |
| 1301 | NFX C4 Dry Freight Capesize Voyage Route Financial Futures (C4AQ) |
| 1302 | NFX C5 Dry Freight Capesize Voyage Route Financial Futures (C5AQ) |
| 1303 | NFX C7 Dry Freight Capesize Voyage Route Financial Futures (C7AQ) |
| 1304 | NFX CS4TC Capesize 4 T/C Average Financial Futures (CS4Q) |
| 1304A | NFX Options on NFX CS4TC Capesize 4 T/C Average Financial Futures (C4Q) |
| 1305 | NFX CS5TC Capesize 5 T/C Average Financial Futures (CS5Q) |
| 1305A | NFX Options on NFX CS5TC Capesize 5 T/C Average Financial Futures (C5Q) |
| 1306 | NFX HS6TC Handysize 6 T/C Average Financial Futures (HS6Q) |
| 1306A | NFX Options on NFX HS6TC Handysize 6 T/C Average Financial Futures (H6Q) |
| 1307 | NFX PM4TC Panamax 4 T/C Average Financial Futures (PM4Q) |
| 1307A | NFX Options on NFX PM4TC Panamax 4 T/C Average Financial Futures (P4Q) |
| 1308 | NFX SM10TC Supramax 10 T/C Average Financial Futures (S10Q) |
| 1308A | NFX Options on NFX SM10TC Supramax 10 T/C Average Financial Futures (S0Q) |
| 1309 | NFX SM6TC Supramax 6 T/C Average Financial Futures (SM6Q) |
| 1309A | NFX Options on NFX SM6TC Supramax 6 T/C Average Financial Futures (S6Q) |
| 1310 | NFX P1A Panamax T/C Transatlantic RV Financial Futures (P1AQ) |
| 1311 | NFX P2A Panamax T/C Skaw Gibraltar - Far East Financial Futures (P2AQ) |
| 1312 | NFX P3A Panamax T/C Japan - S. Korea Trans Pacific R/V Financial Futures (P3AQ) |
| 1313 | NFX P1A Panamax T/C Transatlantic RV Monthly Average Financial Futures (P1EQ) |
| 1314 | NFX P2A Panamax T/C Skaw Gibraltar - Far East Monthly Average Financial Futures (P2EQ) |
| 1315 | NFX P3A Panamax T/C Japan - S. Korea Trans Pacific R/V Monthly Average Financial Futures (P3EQ) |
| 1316 | NFX P8 Panamax Santos - Qingdao Monthly Average Financial Futures (P8EQ) |

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| Chapter 101 NFX Brent Crude Financial Futures (BFQ) \*\*\*\*\* 101.09 Block Trade Minimum Quantity Threshold and Reporting Window Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be five minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.  \*\*\*\*\* Chapter 102 NFX Options on NFX Brent Crude Financial Futures (BCQ) \*\*\*\*\* 102.10 Block Trade Minimum Quantity Threshold and Reporting Window Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.  \*\*\*\*\*  **Chapter 103A NFX WTI Crude Oil Penultimate Financial Futures (TQ)**  \*\*\*\*\* |  |

##### 103A.09 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be five minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 104A NFX Options on NFX WTI Crude Oil Penultimate Financial Futures (TOQ)

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##### 104A.10 Block Trade Minimum Quantity Threshold

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 105 NFX Low Sulphur Gasoil Financial Futures (GOQ)

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##### 105.09 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, Block Trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 201 NFX Henry Hub Natural Gas Financial Futures - 2,500 (NNQ)

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##### 201.09 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 contracts and the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 202 NFX Henry Hub Natural Gas Penultimate Financial Futures - 2,500 (NPQ)

##### 202.09 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 contracts and the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 203 NFX Henry Hub Natural Gas Financial Futures - 10,000 (HHQ)

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##### 203.09 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 204 NFX Henry Hub Natural Gas Penultimate Financial Futures - 10,000 (HUQ)

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##### 204.09 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

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#### Chapter 205 NFX Options on NFX Henry Hub Penultimate Financial Futures - 10,000 (LNQ)

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205.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 10 contracts in the front month and 5 contracts during any back month, the Reporting Window shall be fifteen minutes. Block trades executed as part of the NFX Open Interest Migration Plan will be subject to a one-contract minimum quantity threshold.

#### Chapter 1300. NFX C3 Dry Freight Capesize Voyage Route Financial Futures (C3AQ)

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##### 1300.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1301. NFX C4 Dry Freight Capesize Voyage Route Financial Futures (C4AQ)

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##### 1301.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1302. NFX C5 Dry Freight Capesize Voyage Route Financial Futures (C5AQ)

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##### 1302.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1303. NFX C7 Dry Freight Capesize Voyage Route Financial Futures (C7AQ)

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##### 1303.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1304. NFX CS4TC Capesize 4 T/C Average Financial Futures (CS4Q)

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##### 1304.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1304A. NFX Options on NFX CS4TC Capesize 4 T/C Average Financial Futures (C4Q)

**\*\*\*\*\***

##### 1304A.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1305. NFX CS5TC Capesize 5 T/C Average Financial Futures (CS5Q)

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##### 1305.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1305A. NFX Options on NFX CS5TC Capesize 5 T/C Average Financial Futures (C5Q)

##### 1305A.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed.  Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1306. NFX HS6TC Handysize 6 T/C Avereage Financial Futures (HS6Q)

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##### 1306.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1306A. NFX Options on HS6TC Handysize 6 T/C Average Financial Futures (H6Q)

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##### 1306A.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1307. NFX PM4TC Panamax 4 T/C Average Financial Futures (PM4Q)

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##### 1307.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1307A. NFX Options on NFX PM4TC Panamax 4 T/C Average Financial Futures (P4Q)

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##### 1307A.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1308. NFX SM10TC Supramax 10 T/C Average Financial Futures (S10Q)

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##### 1308.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1308A. NFX Options on NFX SM10TC Supramax 10 T/C Average Financial Futures (S10Q)

**\*\*\*\*\***

##### 1308A.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1309. NFX SM6TC Supramax 6 T/C Average Financial Futures (SM6Q)

**\*\*\*\*\***

##### 1309.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1309A. NFX Options on NFX SM6TC Supramax 6 T/C Average Financial Futures (S6Q)

**\*\*\*\*\***

##### 1309A.10 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1310. NFX P1A Panamax T/C Transatlantic RV Financial Futures (P1AQ)

**\*\*\*\*\***

##### 1310.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1311. NFX P2A Panamax T/C Skaw Gibraltar - Far East Financial Futures (P2AQ)

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##### 1311.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1312. NFX P3A Panamax T/C Japan - S. Korea Trans Pacific R/V Financial Futures (P3AQ)

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##### 1312.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1313 NFX P1A Panamax T/C Transatlantic RV Monthly Average Financial Futures (P1EQ)

**\*\*\*\*\***

##### 1313.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

**\*\*\*\*\***

#### Chapter 1314 NFX P2A Panamax T/C Skaw Gibraltar - Far East Monthly Average Financial Futures (P2EQ)

**\*\*\*\*\***

##### 1314.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1315 NFX P3A Panamax T/C Japan - S. Korea Trans Pacific R/V Monthly Average Financial Futures (P3EQ)

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##### 1315.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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#### Chapter 1316. NFX P8 Panamax Santos – Qingdao Monthly Average Financial Futures (P8EQ)

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##### 1316.00.08 Block Trade Minimum Quantity Threshold and Reporting Window

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 contracts and the Reporting Window shall be by close of trading of the Open Session during which the block trade was executed. Block trades executed as part of the NFX Open Interest Migration Plan ~~migrating open NFX Dry Freight contract positions to European Commodity Clearing~~ will be subject to a one-contract minimum quantity threshold.

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