

# FOIA CONFIDENTIAL TREATMENT REQUESTED

March 11, 2021

## **VIA CFTC PORTAL**

Mr. Christopher Kirkpatrick Secretary of the Commission Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581

Re: ICE NGX Self-Certification of Rule Amendments: Contracting Party Agreement -Submission Pursuant to Section 5c(c)(1) of the Commodity Exchange Act and **Commission Regulation 40.6(a)** 

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended ("Act"), and Commodity Futures Trading Commission ("Commission") Regulation 40.6(a), ICE NGX Canada Inc. ("ICE NGX") is submitting this self-certification to amend the ICE NGX Contracting Party Agreement ("CPA"). The CPA functions as the rulebook for ICE NGX's exchange and clearinghouse operations and is a "rule" as that term is defined under Commission Regulation 40.1(i). ICE NGX intends to implement the amendments on March 26, 2021, or such later date as ICE NGX may designate.

#### 1. Overview

ICE NGX is making certain amendments (the "Amendments") to update two definitions in the CPA.

#### 2. **Details of Rule Changes**

ICE NGX is making the Amendments to update two definitions in the CPA.

ICE NGX has respectfully requested confidential treatment for the Amendments that were submitted concurrently with this submission.

#### 3. **Compliance with the Act and Regulations**

ICE NGX reviewed the Amendments and determined that they comply with the requirements of the Act and the rules and regulations promulgated by the Commission in implementing the Act. In this regard, ICE NGX reviewed the derivatives clearing organizations core principles ("Core Principles") and determined that the amendments are potentially relevant to the following Core Principles and the applicable regulations of the Commission thereunder.



Financial Resources (Core Principle B): The Amendments facilitate ICE NGX's compliance with Core Principle B - Financial Resources and Commission Regulation 39.11, as the Amendments update ICE NGX's certain definitions relating to financial resources.

Public Information (Core Principle L): The Amendments facilitate ICE NGX's compliance with Core Principle B - Financial Resources and Commission Regulation 39.21, as the Amendments will facilitate updating of ICE NGX's public disclosure.

### 4. Certifications

ICE NGX hereby certifies that the Amendments to the CPA discussed in this submission comply with the Act and the rules and regulations promulgated by the Commission thereunder. ICE NGX is not aware of any substantive opposing views expressed regarding the Amendments. ICE NGX further certifies that, concurrent with this filing, a copy of the submission was posted on ICE NGX's website and may be accessed at https://www.theice.com/ngx/.

Please do not hesitate to contact me (403-974-1740 or martin.mcgregor@ice.com) or Greg Abbott, ICE NGX President & COO (403-974-1701 or greg.abbott@ice.com) for any further information the Commission or its staff may require in connection with this notice.

Yours truly,

Mt M-

Martin McGregor

General Counsel & CCO

Encls.

cc: Greg Abbott, ICE NGX