



## Application to Exceed Position Limits

The undersigned hereby applies, in accordance with Exchange Rule 559, for Exemption Approval for the next year. The information provided is handled in the strictest of confidence and is used for the purpose of determining exemption levels. Exemptions are granted in excess of Exchange Position Limits.

Name of Applicant:

Address:

City: State: Zip:

Country:

Phone: Fax (optional):

Email address for exemption correspondence:

1. List all agents, employees or management agents having control of futures and options trading relevant to the application. (Attach additional sheet(s) as necessary.)

Name	Title	Email	Phone Number

2. List all principal owners of the applicant by percent of ownership from largest to smallest. (if public corporation, list all stockholders with 10% or more interest)

Name	Percentage

3. List all clearing firms and accounts in which positions will be maintained. (Attach additional sheet(s) as necessary.)

Clearing Firm	Account Name(s) if different from applicant

**All relevant sections of this application must be completed. An incomplete or insufficient application may result in a delay or denial of your exemption request. Please include actual numbers, not estimates.**



**IMPORTANT:**

**The undersigned hereby agrees to immediately inform the Exchange of any material changes in the information provided in support of this application;**

The undersigned further agrees that he will not use an Exemption Approval to violate or avoid Exchange rules, manipulate any market, or otherwise impair the good name or dignity of the Exchange; it is further understood that the filing of this Exemption Application does not relieve the undersigned of any obligations imposed by the Commodity Futures Trading Commission.

Approval of this application shall not in any way limit the authority of the Exchange to take emergency or discretionary non-emergency action.

The Exchange may condition or revoke an exemption based on the applicant's business needs, financial status and integrity, or on the liquidity, depth and volume of the market for which exemptions is sought.

This application shall not be effective unless approved in writing by the CME Group Market Regulation Department and then only to the extent of the approval and any limitations.

Upon approval by the Exchange, Clearing Members at which the applicant carries positions will receive a copy of the approval letter indicating the size of the exemption granted by the Exchange.

The undersigned certifies that this application is executed by an officer of the applicant, or by one of its duly authorized representatives, as of the date shown below.

\_\_\_\_\_

Date

\_\_\_\_\_

Applicant Name

By:

\_\_\_\_\_

Signature

\_\_\_\_\_

Please PRINT Name

\_\_\_\_\_

Title/Position

\_\_\_\_\_

Phone

\_\_\_\_\_

Email



**For CBOT and CME products:**

Please submit the completed application via email to: [Hedgeprogram@cmegroup.com](mailto:Hedgeprogram@cmegroup.com)

Any questions regarding the use of this form should be directed to:

Brian Babinski	(312) 341-5822
Nicole Lapp	(312) 435-3683
Elizabeth McDermott	(312) 341-3250
Colin Cermak	(312) 347-4122
Drake Koster	(312) 341-7028
Mike Farrell	(312) 347-4138
Robert O’Gorman	(312) 435-3757
Mike Schulte	(312) 435-3740

**For NYMEX and COMEX products:**

Please submit the completed application via email to: [NYhedgeprogram@cmegroup.com](mailto:NYhedgeprogram@cmegroup.com)

Any questions regarding the use of this form should be directed to:

Rhianna Ross	(212) 299-2120
Jacob Cohen	(212) 299-2873
Caiyan Hu	(212) 299-2310
Mei Ling Toh	(212) 299-2241