

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 15-119 (1 of 2)

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): March 24, 2015 Filing Description: Amendments to NYMEX/COMEX Chapter 7 ("Delivery Facilities and Procedures")

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

**Rule Numbers: Chapter 7**

**New Product**

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

**Official Name(s) of Product(s) Affected:**

**Rule Numbers:**

March 24, 2015

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to NYMEX/COMEX Chapter 7 (“Delivery Facilities and Procedures”). NYMEX Submission No. 15-119 (1 of 2)**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) are notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that they are self-certifying amendments to NYMEX/COMEX Rulebook Chapter 7 (“Delivery Facilities and Procedures”) in connection with the requirements for approval of producers of gold deliverable against all physically delivered gold contracts listed on the Exchange effective on Wednesday, April 8, 2015.

In addition to existing conditions for approval of a gold producer, this amendment is intended to implement an additional safeguard and require that a producer of gold bars also comply with current London Bullion Market Association (“LBMA”) Responsible Gold Guidance. Specifically, amendments to NYMEX/COMEX Rule 703.B. (“Conditions for Approval”) is intended to render the Exchanges’ standards consistent with international standards for conflict-free gold. The LBMA Responsible Gold Guidance is intended to assure investors and consumers that gold stocks are conflict-free due to compliance with an audited, conflict-free process.

The amendments to Rule 703.B. (“Conditions for Approval”), is provided below with additions underscored and deletions ~~overstruck~~.

**NYMEX/COMEX**

Chapter 7

Delivery Facilities and Procedures

**703.B.**

**Conditions for Approval**

2. Producer. The refiner or smelter whose products meet all Exchange requirements and are Eligible. **A gold producer must also comply with current London Bullion Market Association (LBMA) Responsible Gold Guidance in order for such gold producer to obtain and maintain Exchange approval for delivery against any of the Exchange’s physically delivered gold futures contracts.**

[remainder of rule unchanged]

The Exchanges reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“Act”) and identified that the amendments to NYMEX/COMEX Rulebook Chapter 7 may have some bearing on the following Core Principle:

Availability of General Information: In order to ensure that market participants are apprised of the amendments to NYMEX/COMEX Chapter 7, the Exchanges will issue a CME Group Market Surveillance Notice to the marketplace notifying them of the amendments to Rule 703.B. in advance of the amendment becoming effective. The issuance of the Market Surveillance Notice constitutes compliance with this Core Principle.

The Exchanges certify that the amendment to Chapter 7 of the NYMEX/COMEX Rulebook complies with the Act and regulations thereunder. There were no opposing views to the amendment to Chapter 7.

The Exchanges certify that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information regarding this submission, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact me at 212-299-2200.

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel