

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 15-141

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): April 8, 2015 Filing Description: Weekly Notification of Rule Amendments (Week of March 30, 2015)

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|-------------------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input checked="" type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers: Chapter 1A

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | “Non-Material Agricultural Rule Change” | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected:

Rule Numbers:



Christopher Bowen
Managing Director and Chief Regulatory Counsel
Legal Department

April 8, 2015

VIA ELECTRONIC PORTAL

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. The Board of Trade of the City of Chicago, Inc.
("CBOT" or "Exchange") Weekly Notification of Rule Amendments.
CBOT Submission No. 15-141**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission ("CFTC" or "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following rule amendments made effective during the week of March 30, 2015.

On Tuesday, March 31, 2015, the Exchange made administrative amendments to the CBOT Rulebook to delete Chapter 1A, "KC HRW Wheat Incentive Program Participants," as the KC HRW Wheat Incentive Program expired on December 31, 2014. Revisions to CBOT Rulebook Chapter 1A are attached hereto as Exhibit 1, with deletions ~~overstruck~~.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at CMESubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit 1 – CBOT Rulebook Chapter 1A (blacklined)

EXHIBIT 1

CBOT RULEBOOK

(Deletions overstruck)

~~Chapter 1A~~ ~~KC HRW Wheat Incentive Program Participants~~

~~1A100. GENERAL~~

~~As of December 9, 2013, individuals and firms that were KCBT permit holders will be deemed KC HRW Wheat Incentive Program Participants. Participation in the KC HRW Wheat Incentive Program is a personal privilege not subject to transfer or sale; provided the privileges conferred upon KC HRW Wheat Incentive Program Participants may be subject to limited assignment in accordance with the Rules. Individuals and firms owning more than one KCBT trading permit as of December 9, 2013, will be deemed to have the equivalent number of interests in the KC HRW Wheat Incentive Program.~~

~~1A101. KC HRW WHEAT INCENTIVE PROGRAM PARTICIPANT PRIVILEGES~~

~~KC HRW Wheat Incentive Program Participants are entitled to receive preferential transaction fee rates in accordance with the terms set forth in the KC HRW Wheat Incentive Program.~~

~~1A102. FIRMS REPRESENTED BY KC HRW WHEAT INCENTIVE PROGRAM PARTICIPANTS~~

~~All provisions of the Rules shall apply to firms and corporations represented by a KC HRW Wheat Incentive Program Participant, and each KC HRW Wheat Incentive Program Participant who is a HRW Wheat Incentive member of a partnership, or an officer, director, or stockholder of a corporation, shall be responsible for the acts, default, or misconduct of such firm or corporation the same as though committed by the KC HRW Wheat Incentive Program Participant. The KC HRW Wheat Incentive Program Participant shall be subject to the payment of such claims, fines, suspensions, expulsions, and penalties for the acts, default, or misconduct of the firm or corporation, as it would be for the KC HRW Wheat Incentive Program Participant's individual acts.~~

~~1A103. TERM~~

~~The KC HRW Wheat Incentive Program shall expire on December 31, 2014, and all rights and privileges conferred upon KC HRW Wheat Incentive Program Participants shall terminate upon the earlier of the death of the KC HRW Wheat Incentive Program Participant or December 31, 2014, or such later date as may be determined by the Board.~~

~~In the case of the death of a KC HRW Wheat Incentive Program Participant who is registered as representing a firm under Rule 1A105, the rights conferred upon the KC HRW Wheat Incentive Program Participant may be transferred to another partner or executive of the firm upon their approval as a KC HRW Wheat Incentive Program Participant.~~

~~1A104. QUALIFICATIONS AND RESPONSIBILITIES~~

~~A determination as to whether an applicant for participation in the KC HRW Wheat Incentive Program satisfies the requirements shall be made by the CBOT Membership Committee or by staff in the applicable department. A person approved as a KC HRW Wheat Incentive Program Participant shall be subject to all Exchange Rules. Notwithstanding a transfer of the privilege conferred upon KC HRW Wheat Incentive Program Participants, a person shall continue to be responsible for violations of Exchange Rules committed by him while he was a KC HRW Wheat Incentive Program Participant and also agrees to have any disputes, which arose while he was a KC HRW Wheat Incentive Program Participant and which relate to or arise out of any transaction upon the Exchange or status as a KC HRW Wheat Incentive Program Participant, resolved in accordance with Exchange Rules.~~

~~1A105. KC HRW WHEAT INCENTIVE PROGRAM PARTICIPANT PRIVILEGES TO FIRMS, PARTNERSHIPS, AND CORPORATIONS; REGISTRATION~~

~~No firm, partnership, or corporation shall be permitted the privilege accorded to KC HRW Wheat Incentive Program Participants unless one (1) of the general partners, or an executive officer of the corporation, has been approved as a KC HRW Wheat Incentive Program Participant in good standing and is registered as representing such firm's, partnership's, or corporation's activities on the Exchange, satisfactory to Exchange staff. Certification of the foregoing authority shall accompany the application of the executive officer applying for KC HRW Wheat Incentive Program Participant status and shall be deemed to be continuously in full force and effect until notice to the contrary has been duly filed with Exchange staff.~~

~~1A106. REPRESENTATION REGISTRATION~~

~~Every KC HRW Wheat Incentive Program Participant shall register with Exchange staff the name of the person, partnership, corporation or firm such KC HRW Wheat Incentive Program Participant represents. Such representation shall not be changed to any other person, partnership, or corporation except upon application made to and with the approval of Exchange staff.~~

~~1A107. KC HRW WHEAT INCENTIVE PROGRAM PARTICIPANT REPRESENTATION QUALIFIED CATEGORIES~~

~~Representations registered with the Exchange pursuant to Rule 1A106 are limited to the following qualified categories:~~

~~1. Individual — A KC HRW Wheat Incentive Program Participant may only register as representing themselves as an individual if such KC HRW Wheat Incentive Program Participant has been deemed the KC HRW Wheat Incentive Program Participant pursuant to Rule 1A100 or is a bona fide Assignee pursuant to Rule 1A115.~~

~~2. Owner — A KC HRW Wheat Incentive Program Participant may register as representing an entity if such KC HRW Wheat Incentive Program Participant has a significant bona fide ownership interest or controlling authority in the entity, satisfactory to Exchange staff.~~

~~3. Employee — A KC HRW Wheat Incentive Program Participant may register as representing an entity if such KC HRW Wheat Incentive Program Participant is a bona fide employee of the entity.~~

~~If any KC HRW Wheat Incentive Program Participant shall, upon investigation, be found not to be a bona fide owner or employee of the entity such KC HRW Wheat Incentive Program Participant is registered with the Exchange as representing, such KC HRW Wheat Incentive Program Participant's rights and privilege as a KC HRW Wheat Incentive Program Participant shall immediately cease.~~

~~1A108. MAY NOT REPRESENT TWO FIRMS~~

~~No KC HRW Wheat Incentive Program Participant may represent more than one (1) partnership, corporation or firm for the purpose of giving more than one entity the privilege afforded a KC HRW Wheat Incentive Program Participant.~~

~~1A109. CHANGE IN STATUS; UNAPPROVED PARTNERS OR CORPORATIONS~~

~~Whenever it shall appear that any KC HRW Wheat Incentive Program Participant has formed a partnership with one (1) or more persons who are not KC HRW Wheat Incentive Program Participants, or has become an officer of a corporation that was not previously represented by a KC HRW Wheat Incentive Program Participant, and that thereby the interest and good repute of the Exchange may suffer, the Business Conduct Committee may, after an investigation of the facts in the case, require the KC HRW Wheat Incentive Program Participant to withdraw from such partnership or corporation, and if the KC HRW Wheat Incentive Program Participant fails to do so within a reasonable time to be fixed by the Business Conduct Committee, such KC HRW Wheat Incentive Program Participant shall be suspended from all privileges of the Exchange until the KC HRW Wheat Incentive Program Participant shall have severed their connection with such partnership or corporation.~~

~~1A110. COMPLIANCE WITH THE COMMODITY EXCHANGE ACT~~

~~All KC HRW Wheat Incentive Program Participants shall comply with the provisions of the Commodity Exchange Act and the rules and regulations duly issued pursuant thereto by the CFTC, including the~~

~~filing of reports, maintenance of books and records, and permitting inspection and visitation by duly authorized representatives of the CFTC.~~

~~1A111. VIOLATIONS OF COMMODITY EXCHANGE ACT~~

~~Within a reasonable time following the receipt of final notice under Section 6(c) of the Commodity Exchange Act from the CFTC that any person has been found guilty of violating the provisions of the Commodity Exchange Act, Exchange staff shall take the necessary action to effectuate the order of the CFTC, as applicable, and shall report such action to the Board.~~

~~1A112. TRADING PRIVILEGES~~

~~A KC HRW Wheat Incentive Program Participant shall have the right, subject to the rules of the Exchange, to solicit customer business and to trade as principal and as broker for others only with respect to futures and options on KC HRW Wheat and MGEX KC HRW Wheat Spread options. In order to solicit customer business, the KC HRW Wheat Incentive Program Participant must have proper industry registration. All solicitations must take place from the premises of an entity registered to conduct customer business and must be on behalf of the entity or one of its properly registered affiliates and such entity or affiliate must be an NFA member if required by NFA rules.~~

~~1A113. EXPULSION OR INELIGIBILITY FOR REINSTATEMENT~~

~~When a KC HRW Wheat Incentive Program Participant is expelled or becomes ineligible for reinstatement, the KC HRW Wheat Incentive Program Participant shall be deemed to have surrendered his participation in the KC HRW Wheat Incentive Program, and all rights, privileges, if any, pertaining thereto and resulting therefrom, and all privileges, if any, in said KC HRW Wheat Incentive Program shall be thereby terminated.~~

~~1A114. APPLICATION FOR REINSTATEMENT~~

~~When a KC HRW Wheat Incentive Program Participant has been suspended under the Rules, such KC HRW Wheat Incentive Program Participant shall not be readmitted as a KC HRW Wheat Incentive Program Participant until all outstanding claims with all of such KC HRW Wheat Incentive Program Participant's creditors, including all obligations owed the Exchange, have been adjusted and satisfactorily settled. All such applications for reinstatement shall be made in writing and shall include a list of such KC HRW Wheat Incentive Program Participant's creditors, a statement of the amounts originally owing, and the nature of the settlement in each case. All such applications shall be filed with Exchange staff. If the applicant furnishes satisfactory proof of settlement with all creditors and if no objections to reinstatement are made, the application may be approved by Exchange staff.~~

~~1A115. LIMITED ASSIGNMENT OF KC HRW WHEAT INCENTIVE PROGRAM RIGHTS~~

~~A. An individual who is a KC HRW Wheat Incentive Program Participant may assign, on a limited basis, his or her rights of participation in the KC HRW Wheat Incentive Program to an individual (a "Assignee") upon the following terms and conditions:~~

- ~~1. The Assignee shall be approved by Exchange staff or the Membership Committee under the standards of Rule 1A104, and shall sign a written agreement to observe and be bound by the Rules, and all amendments subsequently made thereto.~~
- ~~2. The limited assignment Agreement, any amendment thereto, and any termination, revocation, or renewal thereof, shall be in writing in such form as the Exchange may prescribe, ("Limited Assignment Agreement") and a copy thereof shall be filed by the KC HRW Wheat Incentive Program Participant with the Exchange as a pre-condition to its effectiveness; provided, however, the Limited Assignment Agreement shall be automatically null and void upon the happening of any of the following events:~~
 - ~~(a) Loss of any of the qualifications for entering into a Limited Assignment Agreement, such as expulsion of the Assignor or the Assignee; or~~
 - ~~(b) Bankruptcy of the Assignee.~~

- ~~B. Rights of Assignor After Assignment — Notwithstanding other provisions of this rule, an Assignor shall continue to have all of the obligations as provided in Rules, but during the time of such Limited Assignment Agreement, the rights and privileges of the Assignor shall be suspended. An Assignor may have all Exchange rights and the privilege associated with participation in the KC HRW Wheat Incentive Program by virtue of having multiple interests in the KC HRW Wheat Incentive Program as set forth in Rule 1A100, and may have the privilege attendant to another party's participation in the KC HRW Wheat Incentive Program such as a corporation or partnership assigned to such person as an officer, employee or partner of such corporation or partnership, provided there has been a separate application, full disclosure, and approval by Exchange staff.~~
- ~~C. Minimum Limited Assignment Agreement Term — No Limited Assignment Agreement shall have a term of less than three (3) months.~~
- ~~D. Maximum Limited Assignment Agreement Term — No Limited Assignment Agreement shall have a term beyond December 31, 2014; however, all Limited Assignment Agreements are subject to any subsequent rule amendments adopted after execution of said agreement.~~
- ~~E. Applications for Limited Assignment Agreement — Notwithstanding any other Rules, each party applying for participation in the KC HRW Wheat Incentive Program must demonstrate a positive personal net worth and positive personal net liquid assets in order to qualify for approval.~~
- ~~F. Financial Standards — Assignees must meet the same financial standards as do Assignors, or secure the same type of guarantee in lieu thereof.~~
- ~~G. A firm or corporation may delegate the rights it has under the KC HRW Wheat Incentive Program with all attendant rights and privileges pertaining to such program.~~

~~(End Chapter 1A)~~