**Rule Self-Certification**

April 25, 2018

Christopher J. Kirkpatrick

Office of the Secretariat

Commodity Futures Trading Commission

Three Lafayette Center

1155 21st Street, NW

Washington, DC 20581

Re: **Rule 40.6 Certification**

**Addition of Defined Term “Trade Date”**

**Reference File: SR-NFX-2018-23**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”) and section 40.6 of the Commission’s rules thereunder, Nasdaq Futures, Inc. (“NFX” or “Exchange”) is amending NFX Rulebook Chapter I, Definitions and Governance of the Exchange, to add the defined term “Trade Date.”

Because the Exchange operates 23-hour trading sessions that begin at 7:00 p.m. Eastern Time and end at 6:00 p.m. Eastern Time on the following day, the Exchange typically identifies the intended implementation date of rule amendments and the intended listing date of new contracts by “trade date.” The concept is explained in the introductory language of Rulebook Appendix A – Listed Contracts, but does not otherwise appear in the NFX Rulebook.[[1]](#footnote-1)

The Exchange is adding the defined term to the definitions section of the NFX Rulebook for ease of reference. The new defined term “Trade Date” means, in respect of any confirmed trade effected on or through the facilities of the Exchange, the day on which such transaction occurred, provided that the trade date in respect of confirmed trades that are effected in trading sessions beginning on one calendar day and ending on the next calendar day will be the calendar day on which such trading session ends. Thus, for example, a trade effected at 10:00 p.m. EPT on May 15 will have a Trade Date of May 16 (the date on which the trading session ends). The text of the rule amendment is set forth below. New language is underlined.

***Chapter I Definitions and Governance of the Exchange***

***Section 1 Definitions***

*Unless otherwise specifically provided in the By-Laws or Rules of the Exchange or the context otherwise requires, the terms defined herein shall for all purposes of the By-Laws and Rules of the Exchange, have the meanings therein specified.*

***\* \* \* \* \****

***Services Agreement****. The term "Services Agreement" shall mean the Exchange's Services Agreement, as it may be amended from time to time.*

***Trade Date.*** *The term “Trade Date” in respect of any confirmed trade effected on or through the facilities of the Exchange means the day on which such transaction occurred except that the trade date in respect of confirmed trades that are effected in trading sessions beginning on one calendar day and ending on the next calendar day shall be deemed to be the calendar day on which such trading session ends.*

***Trader ID****. The term "Trader ID" means a unique personal identification code issued by the Exchange and entered into the Trading System to identify the Authorized Trader, Authorized Customer or Automated System submitting an Order.*

*\* \* \* \* \**

The Exchange intends to implement the amendment on May 9, 2018 for trade date May 10, 2018. The amended rulebook will be publicly available on the NFX website, in compliance with Core Principle 7. There were no opposing views among NFX’s Board of Directors, members or market participants.

The Exchange certifies that the amendment complies with the Commodity Exchange Act and the Commission’s regulations thereunder. The Exchange also certifies that notice of pending certification and a copy of this submission have been concurrently posted on the Exchange’s website at <http://business.nasdaq.com/nasdaq-futures/nfx-market>.

If you require any additional information regarding the submission, please contact Carla Behnfeldt at (215) 496-5208 or via e-mail at carla.behnfeldt@nasdaq.com. Please reference SR-NFX-2018-23 in any related correspondence.



Regards,

Daniel R. Carrigan

President

1. The trade date language appears in Rulebook Appendix A- Listed Contracts, in the Introduction section, under the caption “Trading Days and Hours.” [↑](#footnote-ref-1)