



1921 Gallows Road, 3rd Floor
Tysons Corner, Virginia 22182
p: 703-962-9800
f: 703-962-9850
www.nodalexchange.com

part of eex group

May 7, 2019

VIA Portal

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Amendments to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is hereby providing notice to the Commodity Futures Trading Commission (“CFTC” or “Commission”) pursuant to CFTC Regulation 40.6(a) of amendments to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures. This filing is effective immediately and the Exchange intends to implement the fee schedule on May 21, 2019.


Exchange management has assessed the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and its compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the fee schedules may affect the following Core Principles as follows:

Core Principle 2 – Compliance with the Rules: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures is applicable to all Nodal Exchange Participants.

Core Principle 7 – Availability of General Information: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures is posted on the Exchange website: www.nodalexchange.com.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Fee Schedule.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.



If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures (Redline)

19-012