

May 15, 2020

VIA Portal

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Amendments to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options; and amendments to Rulebook Appendix B – Minimum Block Sizes

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is hereby providing notice to the Commodity Futures Trading Commission (“CFTC” or “Commission”) pursuant to CFTC Regulation 40.6(a) of amendments to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options; and amendments to Nodal Exchange Rulebook Appendix B – Minimum Block Sizes. These amendments are to establish fees and minimum block sizes for the upcoming launch of new Environmental contracts. The fee schedules and Appendix B are attached hereto and indicate the specific text in red that is being amended. This filing is effective immediately and the Exchange intends to implement the amended Rules on June 1, 2020.

Exchange management has assessed the Minimum Block Sizes and the Exchange and Clearing Transaction Fee Schedules for Nodal Exchange Environmental Futures and Options and its compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the fee schedules and minimum block sizes may affect the following Core Principles as follows:

Core Principle 2 – Compliance with the Rules: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options and Appendix B – Minimum Block Sizes are applicable to all Nodal Exchange Participants.

Core Principle 7 – Availability of General Information: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options and Appendix B – Minimum Block Sizes are posted on the Exchange website: www.nodalexchange.com.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options and Appendix B – Minimum Block Sizes comply with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Fee Schedules or Minimum Block Sizes.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures (Redline)
Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Options (Redline)
Nodal Exchange Rulebook Appendix B – Minimum Block Sizes (Redline)