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May 19, 2017

VIA Portal

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Rule Certification: Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is hereby providing notice to the Commodity Futures Trading Commission (“CFTC” or “Commission”) pursuant to CFTC Regulation 40.6(a) of the implementation of the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options. This filing is effective immediately and the Exchange intends to implement the fee schedule on June 5, 2017.

Exchange management has assessed the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options and its compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that this transaction fee schedule may affect the following Core Principles as follows:

Core Principle 2 – Compliance with the Rules: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options is applicable to all Nodal Exchange Participants.

Core Principle 7 – Availability of General Information: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options is posted on the Exchange website: www.nodalexchange.com.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Transaction Fee Schedule. Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic
Senior Compliance Manager