SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested ☐

Registered Entity Identifier Code (optional): 21-153

Organization: Chicago Mercantile Exchange Inc. ("CME")

Filing as a: ☐ DCM ☐ SEF ☒ DCO ☐ SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 05/19/21   Filing Description: Amendments to Rule 60006.A. ("FX Product Submission Methods") to Disable CME ClearPort GUI for Over-the-Counter ("OTC") Foreign Exchange ("FX") Contracts

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

☒	Certification	§ 40.6(a)
☐	Approval	§ 40.5(a)
☐	Notification	§ 40.6(d)
☐	Advance Notice of SIDCO Rule Change	§ 40.10(a)
☐	SIDCO Emergency Rule Change	§ 40.10(h)

Rule Numbers: See filing

New Product   **Please note only ONE product per Submission.**

☐	Certification	§ 40.2(a)
☐	Certification Security Futures	§ 41.23(a)
☐	Certification Swap Class	§ 40.2(d)
☐	Approval	§ 40.3(a)
☐	Approval Security Futures	§ 41.23(b)
☐	Novel Derivative Product Notification	§ 40.12(a)
☐	Swap Submission	§ 39.5

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

☐	Certification	§ 40.6(a)
☐	Certification Made Available to Trade Determination	§ 40.6(a)
☐	Certification Security Futures	§ 41.24(a)
☐	Delisting (No Open Interest)	§ 40.6(a)
☐	Approval	§ 40.5(a)
☐	Approval Made Available to Trade Determination	§ 40.5(a)
☐	Approval Security Futures	§ 41.24(c)
☐	Approval Amendments to enumerated agricultural products	§ 40.4(a), § 40.5(a)
☐	"Non-Material Agricultural Rule Change"	§ 40.4(b)(5)
☐	Notification	§ 40.6(d)

Official Name(s) of Product(s) Affected:

Rule Numbers:

May 19, 2021

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

> **Re:** **CFTC Regulation 40.6(a) Certification. Amendments to Rule 60006.A. ("FX Product Submission Methods") to Disable CME ClearPort GUI for Over-the-Counter ("OTC") Foreign Exchange ("FX") Contracts.**
> **CME Submission No. 21-153**

Dear Mr. Kirkpatrick:

Chicago Mercantile Exchange Inc. ("CME" or "Exchange") is certifying to the Commodity Futures Trading Commission ("CFTC" or "Commission") amendments to Rule 60006.A. ("FX Product Submission Methods") effective Sunday, June 6, 2021 for trade date Monday, June 7, 2021.

Specifically, CME is deleting the reference to the CME ClearPort GUI from Rule 60006.A. as the Exchange intends to disable the CME ClearPort GUI from its OTC FX products (collectively, the "Rule Amendments").

The CME ClearPort GUI is being disabled in order to streamline OTC FX trade submissions. OTC FX products will be disabled from the CME ClearPort GUI, but will still be available for clearing via the CME ClearPort API and CME Calypso.

Exhibit 1 sets forth the amendments to Rule 60006.A. applicable to OTC FX transactions cleared at CME, with additions underscored and deletions ~~overstruck~~.   Exhibit 2 set forth the OTC FX products being disabled from the CME ClearPort GUI.

CME reviewed the derivatives clearing organization ("DCO") core principles ("Core Principles") as set forth in the Commodity Exchange Act ("CEA" or "Act") and identified that the Rule Amendments may have some bearing on the following principles:

- DCO Core Principle B – Financial Resources: The Rule Amendments will not have a material impact on the financial resources of CME. As such, it will not prevent CME Clearing from demonstrating that it has adequate financial, operational, and managerial resources to discharge its responsibilities as DCO.

- DCO Core Principle L – Public Information: The Rule Amendments will be made publicly available on the CME Group website. In addition, CME will release a notice to the marketplace regarding the Rule Amendments in advance of the effective date which will also be posted on the CME Group website.

- DCO Core Principle R – Legal Risk: CME has determined that the Rule Amendments are consistent with the requirement to have a well-founded, transparent and enforceable legal framework for each aspect of the activities of the DCO.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the Rule Amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the Exchange's website at http://www.cmegroup.com/market-regulation/rule-filings.html.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or e-mail CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit 1 - Amendments to CME Rulebook Chapter 600 ("Cleared OTC Non-Deliverable Forward FX Products")

Exhibit 2: CME OTC FX Products

<u>EXHIBIT 1</u>

Chapter 600
Cleared OTC Non-Deliverable Forward FX Products

60006. SUBMISSION OF FX PRODUCTS TO THE CLEARING HOUSE

60006.A.   FX Product Submission Methods

FX Products shall be submitted to CME Clearing for clearing through (a) the CME ClearPort ~~GUI and~~ API, or (b) the CME Clearing migration utility, or (c) any other facility or trade platform approved by CME Clearing from time to time. To the extent not specified elsewhere in these Rules, CME Clearing shall specify the terms and conditions under which FX Products shall be submitted to CME Clearing for clearing.

EXHIBIT 2

Clearing Code	Globex Code	Product Name
AUDJYC	AUDJYC	Cleared WMR AUD/JPY CSF
AUDUSC	AUDUSC	Cleared WMR AUD/USD CSF
CADJYC	CADJYC	Cleared WMR CAD/JPY CSF
EURADC	EURADC	Cleared WMR EUR/AUD CSF
EURSFC	EURSFC	Cleared WMR EUR/CHF CSF
EURBPC	EURBPC	Cleared WMR EUR/GBP CSF
EURJYC	EURJYC	Cleared WMR EUR/JPY CSF
EURUSC	EURUSC	Cleared WMR EUR/USD CSF
GBPUSC	GBPUSC	Cleared WMR GBP/USD CSF
NZDUSC	NZDUSC	Cleared WMR NZD/USD CSF
USDCAC	USDCAC	Cleared WMR USD/CAD CSF
USDSFC	USDSFC	Cleared WMR USD/CHF CSF
USDCKC	USDCKC	Cleared WMR USD/CZK CSF
USDDKC	USDDKC	Cleared WMR USD/DKK CSF
USDHKC	USDHKC	Cleared WMR USD/HKD CSF
USDHFC	USDHFC	Cleared WMR USD/HUF CSF
USDISC	USDISC	Cleared WMR USD/ILS CSF
USDJYC	USDJYC	Cleared WMR USD/JPY CSF
USDMPC	USDMPC	Cleared WMR USD/MXN CSF
USDNKC	USDNKC	Cleared WMR USD/NOK CSF
USDPZC	USDPZC	Cleared WMR USD/PLN CSF
USDSKC	USDSKC	Cleared WMR USD/SEK CSF
USDSDC	USDSDC	Cleared WMR USD/SGD CSF
USDTBC	USDTBC	Cleared WMR USD/THB CSF
USDTLC	USDTLC	Cleared WMR USD/TRY CSF
USDZRC	USDZRC	Cleared WMR USD/ZAR CSF
AUDUSN	AUDUSN	Cleared WMR AUD/USD CSF
AUDUSN	AUDUSN	Cleared WMR AUD/USD European Option
EURBPN	EURBPN	Cleared WMR EUR/GBP CSF
EURBPN	EURBPN	Cleared WMR EUR/GBP European Option
EURUSN	EURUSN	Cleared WMR EUR/USD CSF
EURUSN	EURUSN	Cleared WMR EUR/USD European Option
GBPUSN	GBPUSN	Cleared WMR GBP/USD CSF
GBPUSN	GBPUSN	Cleared WMR GBP/USD European Option
USDCAN	USDCAN	Cleared WMR USD/CAD European Option
USDCAN	USDCAN	Cleared WMR USD/CAD CSF
USDSFN	USDSFN	Cleared WMR USD/CHF CSF
USDSFN	USDSFN	Cleared WMR USD/CHF European Option
USDJYN	USDJYN	Cleared WMR USD/JPY CSF
USDJYN	USDJYN	Cleared WMR USD/JPY European Option
USDBRL	USDBRL	Cleared OTC USD/BRL NDF
USDCLP	USDCLP	Cleared OTC USD/CLP NDF
USDCNY	USDCNY	Cleared OTC USD/CNY NDF
USDCOP	USDCOP	Cleared OTC USD/COP NDF
USDIDR	USDIDR	Cleared OTC USD/IDR NDF
USDINR	USDINR	Cleared OTC USD/INR NDF
USDKRW	USDKRW	Cleared OTC USD/KRW NDF
USDPEN	USDPEN	Cleared OTC USD/PEN NDF
USDPHP	USDPHP	Cleared OTC USD/PHP NDF
USDRUB	USDRUB	Cleared OTC USD/RUB NDF
USDTWD	USDTWD	Cleared OTC USD/TWD NDF