

## Rule Self-Certification

June 19, 2015

Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Center  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

Re: **Rule 40.6 Certification**  
**Nonsubstantive changes to SR-NFX-2015-10**  
**Reference File: SR-NFX-2015-47**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”), and 40.6 of the regulations promulgated by the Commission under the Act, NASDAQ Futures, Inc. (“NFX” or “Exchange”) hereby submits minor, nonsubstantive amendments to SR-NFX-2015-10, an unimplemented rule change concerning financially settled energy futures and futures options (the “Energy Contracts”) to be listed on NFX on a date to be announced through issuance of a Futures Regulatory Alert.<sup>1</sup> The Exchange will implement the amendments proposed herein concurrently with the implementation of SR-NFX-2015-10 and the listing of the Energy Contracts.

Exhibit 1 of SR-NFX-2015-10 proposed to redesignate then-existing Appendix B as “Rulebook Appendix C” and then-existing Appendix C as “Rulebook Appendix D”. The filing also proposed a conforming change to Chapter IV, Section 11 of the NFX Rulebook.

However, then-existing Appendix B and then-existing Appendix C have been deleted in subsequent filings.<sup>2</sup> Further, the Exchange has no current plans to adopt a new

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<sup>1</sup> See SR-NFX-2015-10 filed March 11, 2015, in which the Exchange proposes to list certain energy futures and futures options on a date to be announced by the Exchange through the issuance of a Futures Regulatory Alert. The amendments proposed herein will amend SR-NFX-2015-10.

<sup>2</sup> See SR-NFX-2015-37 (removing existing Appendix C – Error Trade Policy) and SR-NFX-2015-33 (removing existing Appendix B - Block Trade and Exchange for Related Position).

“Rulebook Appendix C” or a new “Rulebook Appendix D”. Therefore, the Exchange will not implement the changes set forth in Exhibit 1 of SR-NFX-2015-10 when the balance of the rule amendments described in SR-NFX-2015-10 are implemented upon the upcoming listing of the Energy Contracts.

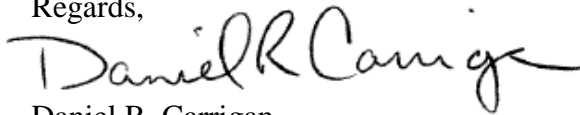
Core Principle 7 - Availability of General Information:

The Exchange will post general information, including this filing, on its website: <http://www.nasdaqomx.com/transactions/markets/nasdaq-futures>.

There were no opposing views among the NFX’s Board of Directors, members or market participants. The Exchange hereby certifies that the above rule amendments comply with the Act and the regulations thereunder. The Exchange also certifies that notice of pending certification and a copy of this submission have been concurrently posted on the Exchange’s website at [www.nasdaqomx.com/nasdaq-futures](http://www.nasdaqomx.com/nasdaq-futures).

If you require additional information regarding this submission, please contact Carla Behnfeldt at (215) 496-5208 or [carla.behnfeldt@nasdaq.com](mailto:carla.behnfeldt@nasdaq.com). Please refer to SR-NFX-2015-47 in any related correspondence.

Regards,



Daniel R. Carrigan  
President