**Rulebook Appendix A - Listed Contracts**

**Introduction**

\* \* \* \* \*

|  |  |
| --- | --- |
|  | **OIL AND REFINED PRODUCTS – No Change** |
|  |  |
|  | **NATURAL GAS PRODUCTS – No Change**  |
|  |  |
|  | **POWER CONTRACTS – No Change** |
|  |  |
|  | **PETROCHEMICALS PRODUCTS – No Change** |
|  |  |
|  | **FERROUS METALS – No Change** |
|  |  |
|  | **TANKER FREIGHT – No Change** |
|  |  |
|  | **DRY FREIGHT** |
| **\*\*\*\*\*** |  |
| 1300 | NFX C3 Dry Freight Capesize Voyage Route Financial Futures (C3AQ) |
| 1301 | NFX C4 Dry Freight Capesize Voyage Route Financial Futures (C4AQ) |
| 1302 | NFX C5 Dry Freight Capesize Voyage Route Financial Futures (C5AQ) |
| 1303 | NFX C7 Dry Freight Capesize Voyage Route Financial Futures (C7AQ) |
| 1304 | NFX CS4TC Capesize 4 T/C Average Financial Futures (CS4Q) |
| 1304A | NFX Options on NFX CS4TC Capesize 4 T/C Average Financial Futures (C4Q) |
| 1305 | NFX CS5TC Capesize 5 T/C Average Financial Futures (CS5Q) |
| 1305A | NFX Options on NFX CS5TC Capesize 5 T/C Average Financial Futures (C5Q) |
| 1306 | NFX HS6TC Handysize 6 T/C Average Financial Futures (HS6Q) |
| 1306A | NFX Options on NFX HS6TC Handysize 6 T/C Average Financial Futures (H6Q) |
| 1307 | NFX PM4TC Panamax 4 T/C Average Financial Futures (PM4Q) |
| 1307A | NFX Options on NFX PM4TC Panamax 4 T/C Average Financial Futures (P4Q) |
| 1308 | NFX SM10TC Supramax 10 T/C Average Financial Futures (S10Q) |
| 1308A | NFX Options on NFX SM10TC Supramax 10 T/C Average Financial Futures (S0Q) |
| 1309 | NFX SM6TC Supramax 6 T/C Average Financial Futures (SM6Q) |
| 1309A | NFX Options on NFX SM6TC Supramax 6 T/C Average Financial Futures (S6Q) |
| 1310 | NFX P1A Panamax T/C Transatlantic RV Financial Futures (P1AQ) |
| 1311 | NFX P2A Panamax T/C Skaw Gibraltar - Far East Financial Futures (P2AQ) |
| 1312 | NFX P3A Panamax T/C Japan - S. Korea Trans Pacific R/V Financial Futures (P3AQ) |

\* \* \* \* \*

**Chapter 1300. NFX C3 Dry Freight Capesize Voyage Route Financial Futures (C3AQ)**

**\*\*\*\*\***

**1300.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1301. NFX C4 Dry Freight Capesize Voyage Route Financial Futures (C4AQ)**

**\*\*\*\*\***

**1301.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1302. NFX C5 Dry Freight Capesize Voyage Route Financial Futures (C5AQ)**

**\*\*\*\*\***

**1302.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**Chapter 1303. NFX C7 Dry Freight Capesize Voyage Route Financial Futures (C7AQ)**

**\*\*\*\*\***

**1303.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**Chapter 1304. NFX CS4TC Capesize 4 T/C Average Financial Futures (CS4Q)**

**\*\*\*\*\***

**1304.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1304A. NFX Options on NFX CS4TC Capesize 4 T/C Average Financial Futures (C4Q)**

**\*\*\*\*\***

**1304A.10 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1305. NFX CS5TC Capesize 5 T/C Average Financial Futures (CS5Q)**

**\*\*\*\*\***

**1305.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1305A. NFX Options on NFX CS5TC Capesize 5 T/C Average Financial Futures**

**(C5Q)**

**\*\*\*\***

**1305A.10 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

\*\*\*\*\*

**Chapter 1306. NFX HS6TC Handysize 6 T/C Avereage Financial Futures (HS6Q)**

\*\*\*\*\*

**1306.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1306A. NFX Options on HS6TC Handysize 6 T/C Average Financial Futures (H6Q)**

**\*\*\*\*\***

**1306A.08 Daily Settlement Prices**

Pursuant to Chapter V, Section III, the daily settlement price shall be equal to the daily settlement price for the corresponding NFX HS6TC Handysize 6 T/C Average Financial Futures (HS6Q). If the daily settlement price described in the previous sentence is unavailable the Exchange may in its sole discretion establish a daily settlement price that it deems to be a fair and reasonable reflection of the market.

**\*\*\*\*\***

**Chapter 1307. NFX PM4TC Panamax 4 T/C Average Financial Futures (PM4Q)**

**\*\*\*\*\***

**1307.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1307A. NFX Options on NFX PM4TC Panamax 4 T/C Average Financial Futures (P4Q)**

\*\*\*\*\*

**1307A.10 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1308. NFX SM10TC Supramax 10 T/C Average Financial Futures (S10Q)**

**\*\*\*\*\***

**1308.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1308A. NFX Options on NFX SM10TC Supramax 10 T/C Average Financial Futures (S10Q)**

\*\*\*\*\*

**1308A.10 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1309. NFX SM6TC Supramax 6 T/C Average Financial Futures (SM6Q)**

**\*\*\*\*\***

**1309.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1309A. NFX Options on NFX SM6TC Supramax 6 T/C Average Financial Futures (S6Q)**

\*\*\*\*\*

**1309A.10 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1310. NFX P1A Panamax T/C Transatlantic RV Financial Futures (P1AQ)**

**\*\*\*\*\***

**1310.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1311. NFX P2A Panamax T/C Skaw Gibraltar - Far East Financial Futures (P2AQ)**

**\*\*\*\*\***

**1311.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**Chapter 1312. NFX P3A Panamax T/C Japan - S. Korea Trans Pacific R/V Financial Futures (P3AQ)**

**\*\*\*\*\***

**1312.08 Block Trade Minimum Quantity Threshold and Reporting Window**

Pursuant to Chapter IV, Section 10, block trades shall be permitted with a minimum quantity threshold of 5 Contracts and the Reporting Window shall be 15 Minutes. Block trades submitted by NFX migrating open Dry Freight contract positions from Nasdaq Commodities Europe will be subject to a one contract minimum quantity threshold.

**\*\*\*\*\***

**FEE SCHEDULE**



