CONFIDENTIAL APPENDIX 1 TO SR-NFX-2016-61

**Sections REDACTED from SR-NFX-2016-61 Exhibit A**

**Activity Threshold**

Once a participating Futures Commission Merchant has collectively (customer and house business) traded a minimum of 10,000 contracts per calendar month, it, and the NFX Clearing Futures Participant that facilitates its business, will qualify for the incentives.

**Incentives**

A participating Futures Commission Merchant who meets the activity threshold will receive a refund equivalent to 15% of the NFX Base Transaction Fee[[1]](#footnote-1) less The Options Clearing Corporation clearing fee (the “NFX Fee”) on a retroactive basis for all contracts traded.

A NFX Clearing Futures Participant that is facilitating the business of a Futures Commission Merchant who has met the activity threshold will receive a refund equivalent to 5% of the NFX Fee on a retroactive basis for all contracts traded by that Futures Commission Merchant.

1. See [NFX Rulebook Fee Schedule – Section I – Transaction Charges](http://nasdaqomxphlx.cchwallstreet.com/NASDAQOMXPHLX/NFX/) [↑](#footnote-ref-1)