

## **Rule Self-Certification**

July 8, 2015

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Center
1155 21<sup>st</sup> Street, NW
Washington, DC 20581

**Re:** Rule 40.6 Certification

**Amendment to Trading Hours for Energy Contracts** 

Reference File: SR-NFX-2015-55

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended ("Act"), and Section 40.6(a) of the regulations promulgated by the Commodity Futures Trading Commission under the Act, NASDAQ Futures, Inc. ("NFX" or "Exchange") hereby notifies the Commission that it amends its trading hours for the first day of trading of 26 new financially settled energy futures and futures options (the "Energy Contracts"). The Exchange filed a rule certification announcing the 26 Energy Contracts will be listed on July 24, 2015. The Exchange also recently filed a rule change specifying the days and hours the Exchange will be open and closed for business in 2015 and 2016 with respect to Energy Contracts. The Exchange amends the trading hours for the first day of trading of Energy Products, July 24, 2015, by commencing trading at 9:00 EPT through 17:00 EPT (Open Session) Thereafter, the days and hours specified

<sup>1</sup>The 26 new Energy Contracts include five futures and two futures option contracts on oil and refined products, four futures and one futures option contract on natural gas, and 14 futures contracts on power. See SR-NFX-2015-10 filed March 11, 2015, proposing 28 new energy contracts. The Exchange will not list two power contracts proposed in SR-NFX-2015-10, NFX PJM Western Hub Day-Ahead Off-Peak Financial Futures (PJDQ) and NFX PJM Western Hub Day-Ahead Peak Financial Futures (PJCQ), at this time.

<sup>&</sup>lt;sup>2</sup> See SR-NFX-2015-54.

<sup>&</sup>lt;sup>3</sup> See SR-NFX-2015-40, which was implemented on June 25, 2015.

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in the Exchange's Trading Calendar will apply for Energy Contracts. The Exchange intends to issue the Futures Regulatory Alert, attached as Exhibit A, announcing the amended July 24, 2015 trading hours for the 26 Energy Contracts on July 23, 2015.

Consistent with Core Principle 7, Availability of General Information, the Exchange will post the attached Futures Regulatory Alert on its website at: <a href="www.nasdaqomx.com/nasdaq-futures">www.nasdaqomx.com/nasdaq-futures</a>. The Exchange has indicated within its trading rules where specific information relates to a particular Contract as well as Contract specifications for Products. The Trading Calendar is posted on the Exchange's website.

There were no opposing views among the Exchange's Board of Directors, members or market participants. The Exchange hereby certifies that the amendment to its trading hours for July 24, 2015 complies with the Commodity Exchange Act and regulations thereunder. The Exchange also certifies that a notice of pending certification with the Commission and a copy of this submission have been concurrently posted on the Exchange's website at <a href="https://www.nasdaqomx.com/nasdaq-futures">www.nasdaqomx.com/nasdaq-futures</a>.

If you require any additional information regarding the submission, please contact Angela S. Dunn at +1 215 496 5692 or via e-mail at angela.dunn@nasdaq.com. Please reference SR-NFX-2015-55 in any related correspondence.

Regards,

Daniel R. Carrigan

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President

cc: National Futures Association
The Options Clearing Corporation

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# Exhibit A

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Futures Trader Alert #2015 - XX Initial Listing of 26 Energy Contracts On July 24, 2015

# **Category:**

System Impact

### **Markets Impacted:**

Nasdaq Futures, Inc.

#### **Contact Information:**

U.S. Market Operations - Futures at +1 215 496 1571

<u>U.S. Market Sales</u> at +1 800 846 0477

**Futures Sales** 

**Futures Regulation** 

# Resources:

The Exchange will list the following 26 new financially settled energy futures and futures options (the "Energy Contracts"), on July 24, 2015. <sup>1</sup>

On July 24, 2015, trading in the below Energy Contracts will open at 9:00 EPT through 17:00 EPT (Open Session). Thereafter the trading hours will be as specified in the Exchange's Trading Calendar.

Rulebook	Name of Energy Contract	Symbol
Appendix A Chapter		
101	NFX Brent Crude Financial Futures	BFQ
102	NFX Options on NFX Brent Crude	BCQ
	Financial Futures	
103	NFX WTI Crude Oil Financial Futures	CLQ
104	NFX Options on NFX WTI Crude Oil	LOQ
	Financial Futures	
105	NFX Low Sulphur Gasoil Financial Futures	GOQ
106	NFX Heating Oil Financial Futures	HOQ
107	NFX RBOB Gasoline Financial Futures	RBQ
201	NFX Henry Hub Natural Gas Financial	NNQ
	Futures- 2,500	
202	NFX Henry Hub Natural Gas Penultimate	NPQ
	Financial Futures – 2,500	
203	NFX Henry Hub Natural Gas Financial	HHQ
	Futures – 10,000	
204	NFX Henry Hub Natural Gas Penultimate	HUQ
	Financial Futures – 10,000	
205	NFX Options on NFX Henry Hub	LNQ
	Penultimate Financial Futures – 10,000	
301	NFX CAISO NP-15 Hub Day-Ahead Off-	ONPQ

<sup>&</sup>lt;sup>1</sup> The 26 new Energy Contracts include five futures and two futures option contracts on oil and refined products, four futures and one futures option contract on natural gas, and 14 futures contracts on power. See SR-NFX-2015-10 filed March 11, 2015, proposing 28 new energy contracts. The Exchange will not list two power contracts proposed in SR-NFX-2015-10, NFX PJM Western Hub Day-Ahead Off-Peak Financial Futures (PJDQ) and NFX PJM Western Hub Day-Ahead Peak Financial Futures (PJCQ), at this time.

	Peak Financial Futures	
302	NFX CAISO NP-15 Hub Day-Ahead Peak Financial Futures	NPMQ
303	NFX CAISO SP-15 Hub Day-Ahead Off- Peak Financial Futures	OFPQ
304	NFX CAISO SP-15 Hub Day-Ahead Peak Financial Futures	SPMQ
305	NFX ISO-NE Massachusetts Hub Day- Ahead Off-Peak Financial Futures	NOPQ
306	NFX ISO-NE Massachusetts Hub Day- Ahead Peak Financial Futures	NEPQ
307	NFX MISO Indiana Hub Real-Time Off- Peak Financial Futures	CPOQ
308	NFX MISO Indiana Hub Real-Time Peak Financial Futures	CINQ
309	NFX PJM AEP Dayton Hub Real-Time Off- Peak Financial Futures	AODQ
310	NFX PJM AEP Dayton Hub Real-Time Peak Financial Futures	MSOQ
311	NFX PJM Northern Illinois Hub Real-Time Off-Peak Financial Futures	NIOQ
312	NFX PJM Northern Illinois Hub Real-Time Peak Financial Futures	PNLQ
315	NFX PJM Western Hub Real-Time Off- Peak Financial Futures	OPJQ
316	NFX PJM Western Hub Real-Time Peak Financial Futures	PJMQ