

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 18-344 (1 of 2)

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 08/29/18 **Filing Description:** Application of Trading-at-Settlement ("TAS") Eligibility to the E-micro Gold Futures Contract and Other Administrative Amendments to the NYMEX/COMEX TAS Table

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|-------------------------------------|-------------------------------------|------------|
| <input checked="" type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers: See filing.

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected:

Rule Numbers:

August 29, 2018

VIA ELECTRONIC PORTAL

Mr. Christopher J. Kirkpatrick
 Office of the Secretariat
 Commodity Futures Trading Commission
 Three Lafayette Centre
 1155 21st Street, N.W.
 Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification. Notification Regarding Application of Trading at Settlement (“TAS”) Eligibility to the E-micro Gold Futures Contract and Other Administrative Amendments to the NYMEX/COMEX TAS Table. NYMEX Submission No. 18-344 (1 of 2)

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. (“NYMEX”) and Commodity Exchange, Inc. (“COMEX”) (collectively, the “Exchanges”) are notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that they are self-certifying amendments to the Trading at Settlement (“TAS”) Table (the “Table”) located in Chapter 5 (“Trading Qualifications and Practices”) to apply TAS eligibility to the COMEX E-micro Gold Futures contract (the “Contract”) for trading on the CME Globex electronic platform and for submission for clearing through CME ClearPort effective on Sunday, September 23, 2018 for trade date Monday, September 24, 2018 as noted below.

Contract Title	Rulebook Chapter	CME Globex and CME ClearPort Code	CME Globex TAS Code	TAS Eligibility as of Trade Date September 24, 2018
E-micro Gold Futures	120	MGC	MGT	Trading at Settlement is eligible in the first, second and third active contract month - February, April, June, August, December.

Also effective at this time, the Exchanges will permission spread functionality for all TAS eligible contract months of the Contract. Lastly, the Exchanges will implement clarification amendments to the NYMEX/COMEX TAS Table (collectively, the “Rule Amendments”).

Based on recent requests of market participants, the COMEX conducted an analysis of liquidity of the Contract on a month-by-month basis and determined that the implementation of TAS eligibility for trading on the CME Globex electronic trading platform is appropriate at this time.

The amended Table is provided as Exhibit A in blackline format.

The Exchanges reviewed the designated contracts market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “ACT”) and identified that the Rule Amendments may have some bearing on the following core principles:

- **Availability of General Information:** The Exchanges will publish information on the changes via a Special Executive Report (“SER”) to notify the marketplace of the Rule Amendments. The SER will also be posted on the CME Group website.
- **Contracts Not Readily Subject to Manipulation:** A review of the Contract shows that there is sufficient liquidity on CME Globex to support pricing at TAS. There continues to be belief that this Contract are not subject to manipulation.
- **Compliance with Rules:** The SER being issued provides information on the Contract’s eligible to be entered on CME Globex and priced at the settlement price or any valid price increment ten (10) ticks higher or lower than the settlement price pursuant to Rule 524. The SER and the Table are intended to provide regulatory guidance to ensure that market participants are in compliance with Rule 524.

Pursuant to Section 5c(c) of the Act and CFTC Regulations 40.6(a), the Exchanges certify that the Rule Amendments comply with the Act, including regulations under the Act. There were no substantive opposing views to the Rule Amendments.

The Exchanges certifies that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at (212) 299-2200 or via e-mail at CMEGSubmissionInquiry@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – Amendments to the Trading at Settlement (“TAS”) Table Located in NYMEX/COMEX Chapter 5 (“Trading Qualifications and Practices”) (blackline format)

Exhibit A

NYMEX/COMEX Rulebook Chapter 5 (“Trading Qualifications and Practices”) Rule 524. (“Trading at Settlement (“TAS”) and Trading at Marker (“TAM”)) Transactions

Trading at Settlement (“TAS”) Table

(additions underscored; deletions ~~struck-through~~)

NYMEX and COMEX Metals Active Contract Months Eligible for Trading at Settlement ("TAS")

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
COMEX												
Gold Futures (GCT)	<i>Feb*</i> <i>Apr</i> <i>Jun</i>	<i>Apr</i> <i>Jun</i> <i>Aug</i>	<i>Apr*</i> <i>Jun</i> <i>Aug</i>	<i>Jun</i> <i>Aug</i> <i>Dec</i>	<i>Jun*</i> <i>Aug</i> <i>Dec</i>	<i>Aug</i> <i>Dec</i> <i>Feb</i>	<i>Aug*</i> <i>Dec</i> <i>Feb</i>	<i>Dec</i> <i>Feb</i> <i>Apr</i>	<i>Dec</i> <i>Feb</i> <i>Apr</i>	<i>Dec</i> <i>Feb</i> <i>Apr</i>	<i>Dec*</i> <i>Feb</i> <i>Apr</i>	<i>Feb</i> <i>Apr</i> <i>Jun</i>
<u>E-micro Gold Futures (MGT)</u>	<u><i>Feb*</i></u> <u><i>Apr</i></u> <u><i>Jun</i></u>	<u><i>Apr</i></u> <u><i>Jun</i></u> <u><i>Aug</i></u>	<u><i>Apr*</i></u> <u><i>Jun</i></u> <u><i>Aug</i></u>	<u><i>Jun</i></u> <u><i>Aug</i></u> <u><i>Dec</i></u>	<u><i>Jun*</i></u> <u><i>Aug</i></u> <u><i>Dec</i></u>	<u><i>Aug</i></u> <u><i>Dec</i></u> <u><i>Feb</i></u>	<u><i>Aug*</i></u> <u><i>Dec</i></u> <u><i>Feb</i></u>	<u><i>Dec</i></u> <u><i>Feb</i></u> <u><i>Apr</i></u>	<u><i>Dec</i></u> <u><i>Feb</i></u> <u><i>Apr</i></u>	<u><i>Dec</i></u> <u><i>Feb</i></u> <u><i>Apr</i></u>	<u><i>Dec*</i></u> <u><i>Feb</i></u> <u><i>Apr</i></u>	<u><i>Feb</i></u> <u><i>Apr</i></u> <u><i>Jun</i></u>
Silver Futures (SIT)	<i>Mar</i> <i>May</i> <i>Jul</i>	<i>Mar*</i> <i>May</i> <i>Jul</i>	<i>May</i> <i>Jul</i> <i>Sep</i>	<i>May*</i> <i>Jul</i> <i>Sep</i>	<i>Jul</i> <i>Sep</i> <i>Dec</i>	<i>Jul*</i> <i>Sep</i> <i>Dec</i>	<i>Sep</i> <i>Dec</i> <i>Mar</i>	<i>Sep*</i> <i>Dec</i> <i>Mar</i>	<i>Dec</i> <i>Mar</i> <i>May</i>	<i>Dec</i> <i>Mar</i> <i>May</i>	<i>Dec*</i> <i>Mar</i> <i>May</i>	<i>Mar</i> <i>May</i> <i>Jul</i>
Copper Futures (HGT)	<i>Mar</i> <i>May</i> <i>Jul</i>	<i>Mar*</i> <i>May</i> <i>Jul</i>	<i>May</i> <i>Jul</i> <i>Sep</i>	<i>May*</i> <i>Jul</i> <i>Sep</i>	<i>Jul</i> <i>Sep</i> <i>Dec</i>	<i>Jul*</i> <i>Sep</i> <i>Dec</i>	<i>Sep</i> <i>Dec</i> <i>Mar</i>	<i>Sep*</i> <i>Dec</i> <i>Mar</i>	<i>Dec</i> <i>Mar</i> <i>May</i>	<i>Dec</i> <i>Mar</i> <i>May</i>	<i>Dec*</i> <i>Mar</i> <i>May</i>	<i>Mar</i> <i>May</i> <i>Jul</i>
NYMEX												
Platinum Futures (PLT)	<i>Apr</i>	<i>Apr</i>	<i>Apr*</i>	<i>Jul</i>	<i>Jul</i>	<i>Jul*</i>	<i>Oct</i>	<i>Oct</i>	<i>Oct*</i>	<i>Jan</i>	<i>Jan</i>	<i>Jan*</i>

BOLD indicates calendar months; *italic* indicates contract months.

* The current active contract month ~~ceases to be~~ is not TAS-eligible when it becomes the spot month, which occurs on the second to last business day of the ~~calendar~~ preceding-prior to the contract month. The next active contract month becomes TAS-eligible on that date.

Gold, E-micro Gold, and Silver Futures: ~~Tas-TAS~~ is available in the first, second, and third ~~nearest~~ active contract months until the nearest active contract month becomes the spot month, at which time the next non-TAS-eligible active contract month becomes TAS-eligible.

Platinum Futures: TAS is available in the nearest active contract month until it becomes the spot month, at which time the next active contract month becomes TAS-eligible as described above.

Copper Futures: TAS is available in the first, second, and third ~~nearest~~ active contract months until the nearest active contract month becomes the spot month, at which time the next non-TAS-eligible active contract month becomes TAS-eligible. The spot month is eligible to trade solely at the settlement price, known as TAS flat.

TAS Block Trades in Gold, E-micro Gold, Silver, Platinum and Copper Futures

The minimum block threshold for TAS ~~block~~-trades in the active month for Gold, E-micro Gold, and Silver futures is 25 contracts.

The minimum block threshold for TAS ~~block~~-trades in the active month for Platinum futures is 10 contracts.

The minimum block threshold for TAS ~~block~~-trades in the non-spot active month and the second active month ~~in-for~~ **Copper** futures is 25 contracts.

The minimum block threshold for TAS trades in the spot month for **Copper** futures is 5 contracts.

TAS block trades are not permitted in any inactive Copper futures contract months at any time.