**Rule Self-Certification**

September 14, 2018

Christopher J. Kirkpatrick

Office of the Secretariat

Commodity Futures Trading Commission

Three Lafayette Center

1155 21st Street, NW

Washington, DC 20581

Re: **Rule 40.6 Certification**

 **Amends Trading Halt Rule**

**Reference File: SR-NFX-2018-40**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”) and section 40.6 of the Commission’s rules thereunder, Nasdaq Futures, Inc. (“NFX” or “Exchange”) is amending NFX Rulebook Chapter IV, Trading System, by revising subsection (a) of Section 13, Trading Halts.

Currently, Chapter IV Section 13(a) lacks clarity regarding the contracts that are subject to the rule, the circumstances which may trigger a trading halt, and the circumstances under which trading in a halted contract may recommence. The Exchange therefore proposes to amend the rule to make clear that all contracts traded on the Exchange are subject to being halted under the rule. As revised, trading in a contract may be halted under the rule when the Chief Executive Officer of the Exchange or his designees declare any market irregularities in the trading of the contract. The amended rule provides that the Exchange shall not reopen trading in halted contracts until the interests of a fair and orderly market are best served by a resumption of trading.

The text of the rule amendment is set forth below. New language is underlined; deleted language is struck through:

***\* \* \* \* \****

***Chapter IV Trading System***

***\* \* \* \* \****

***Section 13 Trading Halts***

*(a) ~~The Exchange will halt trading in all Contracts subject to this Rule and~~ In the event that any market irregularities in the trading of any Contract are declared by the Chief Executive Officer of the Exchange or his designees, trading in that Contract may be halted.  The Exchange shall not reopen trading in those Contracts until ~~trading in the Contracts may be resumed when~~ the interests of a fair and orderly market are best served by a resumption of trading.*

*(b) – (d) No change.*

***\* \* \* \* \****

The Exchange intends to implement the amendment on October 2, 2018 for trade date October 3, 2018. The amended rulebook will be publicly available on the NFX website, in compliance with Core Principle 7. The amended rule is consistent with Core Principle 4, Prevention of Market Disruption. There were no opposing views among NFX’s Board of Directors, members or market participants.

The Exchange certifies that the amendment complies with the Commodity Exchange Act and the Commission’s regulations thereunder. The Exchange also certifies that notice of pending certification and a copy of this submission have been concurrently posted on the Exchange’s website at <http://business.nasdaq.com/nasdaq-futures/nfx-market>.

If you require any additional information regarding the submission, please contact Carla Behnfeldt at (215) 496-5208 or via e-mail at carla.behnfeldt@nasdaq.com. Please reference SR-NFX-2018-40 in any related correspondence.



Regards,

Daniel R. Carrigan

President