

October 22, 2021

**VIA COMMISSION PORTAL**

Christopher J. Kirkpatrick  
Secretary, Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: Weekly Notification of Rule Amendments [Reg. 40.6(d)]  
LedgerX LLC Submission No. 21-21**

Dear Mr. Kirkpatrick:

LedgerX LLC (“LedgerX”) hereby submits to the Commodity Futures Trading Commission (the “Commission”), pursuant to Section 5c(c) of the Commodity Exchange Act (the “CEA”) and Commission Regulation 40.6(d), this weekly notification following rule amendments made effective during the week of October 11, 2021. The attached Amendments became effective on October 15, 2021.

Although a version control table already existed in the LedgerX Compliance Manual and the LedgerX Operations Manual, LedgerX augmented those table to make explicit its pre-existing procedure of identifying the date each version came into effect, identifying the individuals responsible for each change, and redlining all changes to those manuals from one version to the next.

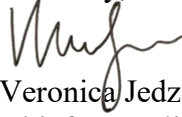
A redline of the change to the LedgerX Compliance Manual is attached hereto as Appendix A, with the final version of the Compliance Manual attached hereto as Appendix B. A redline of the change to the LedgerX Operations Manual is attached hereto as Appendix C, with the final version of the Operations Manual attached hereto as Appendix D.

These amendments are consistent with CFTC Core Principles because they reduce operational risk through the development of appropriate controls and procedures, as required by DCO Core Principle I (CEA § 5b(c)(2)(I)(i)), DCM Core Principle 20 (CEA § 5(d)(20)(A)) and SEF Core Principle 15 requiring the establishment and administration of policies and procedures required by the CEA (CEA § 5h(f)(15)).

LedgerX certifies that the changes comply with the CEA and Commission Regulations thereunder. LedgerX additionally certifies that it has concurrently posted a copy of this submission letter and the attachment hereto on LedgerX’s website at <https://ledgerx.com/>. LedgerX is not aware of any substantive opposing views to the Amendment.

Please contact the undersigned at [cco@ledgerx.com](mailto:cco@ledgerx.com) if you have any questions or you would otherwise like to discuss this further.

Sincerely,



Veronica Jedzejec  
Chief Compliance Officer  
LedgerX LLC

