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part of eex group

November 1, 2018

**VIA Portal**

Commodity Futures Trading Commission  
Attention: Chris Kirkpatrick, Secretary  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

**Re: CFTC Regulation 40.6(a) Certification: Fee Schedules for Environmental Futures and Options**

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is hereby providing notice to the Commodity Futures Trading Commission (“CFTC” or “Commission”) pursuant to CFTC Regulation 40.6(a) of the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options. This filing is effective immediately and the Exchange intends to implement the fee schedules on November 16, 2018.

Exchange management has assessed the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Environmental Futures and Options and its compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the fee schedules may affect the following Core Principles as follows:

Core Principle 2 – Compliance with the Rules: The Exchange and Clearing Fee Schedule for Nodal Exchange Environmental Futures and Options is applicable to all Nodal Exchange Participants.

Core Principle 7 – Availability of General Information: The Exchange and Clearing Fee Schedule for Nodal Exchange Environmental Futures and Options is posted on the Exchange website: [www.nodalexchange.com](http://www.nodalexchange.com).

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the Exchange and Clearing Fee Schedule for Nodal Exchange Environmental Futures and Options complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Environmental Fee Schedules.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at [www.nodalexchange.com](http://www.nodalexchange.com).

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or [markotic@nodalexchange.com](mailto:markotic@nodalexchange.com).

Sincerely,

/s/ Max Markotic

Senior Compliance Manager

Attachments:

Environmental Futures Fee Schedule  
Environmental Options Fee Schedule