

November 10, 2020

VIA CFTC PORTAL

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: Nodal Exchange Environmental Incentive Program Extensions: Certification Submission No. 20-043 Pursuant to Regulation 40.6(a)

Dear Mr. Kirkpatrick:

Pursuant to Commission Regulation 40.6(a), Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is notifying the Commission by self-certification of the Exchange’s intention to continue Nodal Exchange Environmental Incentive Program 1, Nodal Exchange Environmental Incentive Program 2, Nodal Exchange Environmental Incentive Program 3, Nodal Exchange Environmental Incentive Program 4, Nodal Exchange Environmental Incentive Program 5, Nodal Exchange Environmental Incentive Program 6 and Nodal Exchange Environmental Broker Incentive Program (“Programs”). In addition, the Exchange is re-activating Nodal Exchange Environmental Incentive Program 7 to December 31, 2020. A complete description of each Program is included and segregated as Exhibit 1 for which the Exchange seeks confidential treatment. A redacted version is also included. This filing is effective immediately and the Programs, which are set to expire on December 31, 2020, are being extended to December 31, 2021.

Exchange management has assessed each Program and certifies its compliance with the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Specifically, the Program complies with Core Principle 2 (Compliance with Rules), Core Principle 4 (Prevention of Market Disruption), Core Principle 9 (Execution of Transactions), Core Principle 12 (Protection of Market Participants), and Core Principle 18 (Recordkeeping).

Pursuant to Section 5c(c) of the Act and Commission Regulation 40.6, the Exchange certifies that each Program complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Program.

Nodal Exchange certifies that, concurrent with this submission, a redacted version of each Program was posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any questions or need additional information regarding this submission, please contact me at 703-962-9853 or Markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Senior Compliance Manager

Attachments:

Exhibit 1: Confidential Programs

- Nodal Exchange Environmental Broker Incentive Program - Confidential
- Nodal Environmental Incentive Program 1 – Confidential
- Nodal Environmental Incentive Program 2 – Confidential
- Nodal Environmental Incentive Program 3 – Confidential
- Nodal Environmental Incentive Program 4 – Confidential
- Nodal Environmental Incentive Program 5 – Confidential
- Nodal Environmental Incentive Program 6 – Confidential
- Nodal Environmental Incentive Program 7 - Confidential

Exhibit 1: Redacted Programs

- Nodal Exchange Environmental Broker Incentive Program – Redacted
- Nodal Environmental Incentive Program 1 – Redacted
- Nodal Environmental Incentive Program 2 – Redacted
- Nodal Environmental Incentive Program 3 – Redacted
- Nodal Environmental Incentive Program 4 – Redacted
- Nodal Environmental Incentive Program 5 – Redacted
- Nodal Environmental Incentive Program 6 – Redacted
- Nodal Environmental Incentive Program 7 - Redacted

Request for Confidential Treatment