

SUBMISSION COVER SHEET

IMPORTANT: Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 14-417

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a: DCM SEF DCO SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): October 2, 2014 Filing Description: Amendments to CBOT Chapter 7 ("Delivery Facilities and Procedures")

SPECIFY FILING TYPE

Please note only ONE choice allowed per Submission.

Organization Rules and Rule Amendments

- | | | |
|-------------------------------------|-------------------------------------|------------|
| <input checked="" type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Notification | § 40.6(d) |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change | § 40.10(h) |

Rule Numbers: CBOT Rulebook Chapter 7

New Product

Please note only ONE product per Submission.

- | | | |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification | § 40.2(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class | § 40.2(d) |
| <input type="checkbox"/> | Approval | § 40.3(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission | § 39.5 |

Official Product Name:

Product Terms and Conditions (product related Rules and Rule Amendments)

- | | | |
|--------------------------|---|----------------------|
| <input type="checkbox"/> | Certification | § 40.6(a) |
| <input type="checkbox"/> | Certification Made Available to Trade Determination | § 40.6(a) |
| <input type="checkbox"/> | Certification Security Futures | § 41.24(a) |
| <input type="checkbox"/> | Delisting (No Open Interest) | § 40.6(a) |
| <input type="checkbox"/> | Approval | § 40.5(a) |
| <input type="checkbox"/> | Approval Made Available to Trade Determination | § 40.5(a) |
| <input type="checkbox"/> | Approval Security Futures | § 41.24(c) |
| <input type="checkbox"/> | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/> | "Non-Material Agricultural Rule Change" | § 40.4(b)(5) |
| <input type="checkbox"/> | Notification | § 40.6(d) |

Official Name(s) of Product(s) Affected:

Rule Numbers:

October 2, 2014

VIA ELECTRONIC PORTAL

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

RE: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to Chapter 7 (“Delivery Facilities and Procedures”). CBOT Submission No. 14-417

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. (“CBOT” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is self-certifying amendments to CBOT Rulebook Chapter 7 (“Delivery Facilities and Procedures”) effective on Monday, October 20, 2014. The amendments addressed herein include administrative, non-substantive changes intended to clarify certain terms and references within the chapter and changes to Rule 708 (“Minimum Financial Requirements for Agricultural Regularity”) relating to financial requirements of approved firms.

The administrative, non-substantive amendments include:

- Update of the Table of Content;
- Reference to time zone added to Rule 700, (“Scope of Chapter”), and removed from other areas of Chapter 7;
- Addition of “shipping” and “warehouse” to the terms “certificate” and “receipt”, respectively, such that reference to the deliverable instruments is consistent across the chapter;
- Amendment to Rule 707 (“Revocation, Expiration or Withdrawal or Regulation”) to include a minimum notification period should a facility choose to withdraw from regularity; and
- Elimination of Rule 712.D, (“Lost or Destroyed Negotiable Warehouse Receipts”). This Rule is no longer applicable as warehouse receipts are in electronic format and no longer in paper format.

In addition, the Exchange is amending Rule 708, (“Minimum Financial Requirements for Agricultural Regularity”), such that an approved firm is required to notify the Exchange of *any* substantial reduction in their capital as opposed to notifying the Exchange upon a reduction of 20% of the firm’s tangible net worth.

Chapter 7 in its entirety is set forth in Exhibit A, with additions underscored and deletions ~~overstruck~~.

The Market Regulation Department and the Legal Department collectively reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“Act”). During the review, we have identified that the amendments to CBOT Rulebook Chapter 7 may have some bearing on the following Core Principle:

Availability of General Information: In order to ensure that market participants are apprised of the amendments to CBOT Chapter 7, CBOT will issue a CME Group Market Surveillance Notice to the marketplace notifying them of the implementation of these amendments in advance of the amendments becoming effective. The issuance of the Market Surveillance Notice constitutes compliance with this Core Principle.

The Exchange certifies that the amendments to Chapter 7 of the CBOT Rulebook comply with the Act and regulations thereunder. There were no opposing views to the amendments to Chapter 7.

The Exchange certifies that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you have any questions regarding this submission, please contact me at 212-299-2200 or via e-mail at Christopher.Bowen@cmegroup.com.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Exhibit A – Amendments to CBOT Rulebook Chapter 7 (attached under separate cover)

Exhibit A

CBOT Chapter 7 Delivery Facilities and Procedures

(attached under separate cover)