

SUBMISSION COVER SHEET

Confidential Treatment has not been requested

Organization Name: New York Mercantile Exchange, Inc.

Organization Type: DCM

Registered Entity Identifier: NYMEX Submission No. 14-290 (3 of 4)

Submission Number: 1408-0718-3537-16

Submission Date: 08/07/14 06:35:37 PM

Submission Type: Rule - 40.6(a) Rule Certification

Submission Description: Revisions to CME and CBOT Rule 539 and Issuance of CME Group Marketing Regulation Advisory Notice RA1404-5 Concerning Pre-Execution Communications.

Rule Numbers: CME and CBOT Rule 539 ("Prearranged, Pre-Negotiated and Noncompetitive Trades Prohibited")