

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 15-568

Organization: The Board of Trade of the City of Chicago, Inc. ("CBOT")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): 12/22/2015 Filing Description: Availability of Certain Equity Option Contracts for Submission for Clearing via CME ClearPort

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- Certification § 40.6(a)
- Approval § 40.5(a)
- Notification § 40.6(d)
- Advance Notice of SIDCO Rule Change § 40.10(a)
- SIDCO Emergency Rule Change § 40.10(h)

Rule Numbers: CBOT Rulebook Chapter 27A

**New Product**

Please note only ONE product per Submission.

- Certification § 40.2(a)
- Certification Security Futures § 41.23(a)
- Certification Swap Class § 40.2(d)
- Approval § 40.3(a)
- Approval Security Futures § 41.23(b)
- Novel Derivative Product Notification § 40.12(a)
- Swap Submission § 39.5

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- Certification § 40.6(a)
- Certification Made Available to Trade Determination § 40.6(a)
- Certification Security Futures § 41.24(a)
- Delisting (No Open Interest) § 40.6(a)
- Approval § 40.5(a)
- Approval Made Available to Trade Determination § 40.5(a)
- Approval Security Futures § 41.24(c)
- Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)
- "Non-Material Agricultural Rule Change" § 40.4(b)(5)
- Notification § 40.6(d)

Official Name(s) of Product(s) Affected:

Rule Numbers:



December 22, 2015

**VIA ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick  
 Office of the Secretariat  
 Commodity Futures Trading Commission  
 Three Lafayette Centre  
 1155 21st Street, N.W.  
 Washington, DC 20581

**RE: CFTC Regulation 40.6(a) Certification. Notification Regarding Availability of Certain Equity Option Contracts for Submission for Clearing via CME ClearPort. CBOT Submission No. 15-568**

Dear Mr. Kirkpatrick:

The Board of Trade of the City of Chicago, Inc. (“CBOT” or “Exchange”) hereby notifies the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it shall make End-of-Month Options on E-mini Dow Jones Industrial Average<sup>SM</sup> Index (\$5 Multiplier) futures and Weekly Options on E-mini Dow Jones Industrial Average<sup>SM</sup> Index (\$5 Multiplier) futures (the “Contracts”) available for submission for clearing through CME ClearPort, effective on Sunday, January 10, 2016, for trade date Monday, January 11, 2016. The Contracts, summarized below, are currently available for trading on the CME Globex electronic trading platform.

Contract	CBOT Rulebook Chapter	CME Clearing Codes
End-of-Month Options on CBOT <sup>®</sup> E-mini Dow Jones Industrial Average <sup>SM</sup> Index (\$5 Multiplier) Futures	27A	EYM
Weekly Options on CBOT <sup>®</sup> E-mini Dow Jones Industrial Average <sup>SM</sup> Index (\$5 Multiplier) Futures	27A	YM1, YM2, YM3, YM4, YM5

CME ClearPort hours are Sunday through Friday, 5:00 p.m. to 4:00 p.m. Chicago time (CT), with a one-hour break Monday through Thursday, beginning at 4:00 p.m. CT.

Exchange fees are published at [http://www.cmegroup.com/company/files/CBOT\\_Fee\\_Schedules.pdf](http://www.cmegroup.com/company/files/CBOT_Fee_Schedules.pdf).

Exchange fees pertinent to the actions certified herein, including surcharges per contract for ex-pit transactions and clearing fees per contract, are as follows:

Fees per Contract	
Ex-Pit Surcharge -- Wholesale/Block Transactions	\$0.75
Ex-Pit Surcharge -- Exchange for Physical -- Equities	\$1.25
Clearing Division Fee	\$0.06
Facilitation Fee	\$0.40

The Exchange reviewed the designated contract market core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the listing of the Contracts on CME ClearPort may have some bearing on the following Core Principles:

- **Prevention of Market Disruption:** As with all products listed for trading on a CME Group designated contract market, trading activity in the Contracts will continue to be subject to monitoring and surveillance by CME Group's Market Regulation Department.
- **Availability of General Information:** CBOT will make publically available the details of the Contracts' listing on the CME ClearPort by publishing a Special Executive Report ("SER") to the market. The SER will be available on CME Group's website.
- **Daily Publication of Trading Information:** CBOT shall continue its regular practice to make public information on the Contracts' prices, volume, and open interest. The Exchange shall publish on its website information in regard to the Contracts' specifications, terms, and conditions, as well as daily trading volume, open interest, and price information to include data on these options from the CME ClearPort.
- **Execution of Transactions:** The Contracts will be additionally cleared through CME ClearPort.
- **Trade Information:** All required trade information for the Contracts is included in the audit trail and is sufficient for the Market Regulation Department to monitor for market abuse.

Pursuant to Section 5c(c) of the Act and CFTC Regulation 40.6(a), the Exchange hereby certifies that the actions certified herein comply with the Act, including regulations under the Act. There were no substantive opposing views to this proposal.

The Exchange certifies that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require additional information, please e-mail [CMEGSubmissionInquiry@cmegroup.com](mailto:CMEGSubmissionInquiry@cmegroup.com) or contact the undersigned at 212-299-2200.

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel