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part of eex group

December 20, 2019

**VIA Portal**

Commodity Futures Trading Commission  
Attention: Chris Kirkpatrick, Secretary  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

**Re: CFTC Regulation 40.6(d) Notification: Nodal Exchange Weekly Notification of Rule Amendments 19-056**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), Nodal Exchange submits this weekly notification of non-substantive revisions made, during the week of December 9, 2019, to Exhibit N-3 of the Nodal Exchange DCM Application. Exhibit N-3, for which confidential treatment is requested and has been segregated into Appendix A, is the agreement Nodal Exchange has executed with vendors that need to access the Nodal Exchange trading system in order to develop certain trading applications, such as a front-end to the Nodal Exchange trading screen. Exhibit N-3 has been updated with current identifying information, defined terms and business relationship provisions applicable to Nodal Exchange and its vendors, and privacy provisions in compliance with recently implemented privacy laws. These revisions are necessary to update the agreement without altering Nodal Exchange’s compliance with the applicable core principles in accordance with CFTC Regulation 40.6(d)(i).

The Nodal Exchange Form DCM and Exhibit N-3 are attached hereto with the corrections noted in red.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or [markotic@nodalexchange.com](mailto:markotic@nodalexchange.com).

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

- Appendix A: CONFIDENTIAL DCM Exhibit N-3
- Form DCM