# **COMMODITY FUTURES TRADING COMMISSION**

# FORM DCM

# DESIGNATED CONTRACT MARKET APPLICATION OR AMENDMENT TO APPLICATION FOR DESIGNATION

#### Exact name of Applicant as specified in charter: Nodal Exchange, LLC

Address of principal executive offices: <u>1921 Gallows Road 3<sup>rd</sup> Floor</u>, Tysons Corner, <u>VA 22182</u>

If this is an **APPLICATION** for designation, complete in full and check here.

**X** If this is an **AMENDMENT** to an application, or to an existing designation, list all items that are amended and check here.

Exhibit N-3

## **GENERAL INFORMATION**

\_\_\_\_\_

1. Name under which the business of the designated contract market will be conducted if different than the specified above (include acronyms, if any): N/A

2. If name of designated contract market is being amended, state previous designated contract market name: N/A

3. Contact information, including mailing address, if different than address specified above:

1921 Gallows Road, 3 <sup>rd</sup> Floor								
Number and Street								
Tysons Corner	VA	USA	22182					
City	State	Country	Zip Code					
703-962-9800		703-962-9850						
Main Phone Number		Fax						

Nodal Exchange Application for Designation as a Contract Market

www.nodalexchange.com	legal@nodalexchange.com
Website URL	E-mail Address
4. List of principal office(s) activities are/will be condu <u>Office</u> <u>Nodal Exchange, LLC</u>	and address(es) where designated contract market cted: <u>Address</u> <u>1921 Gallows Rd, 3<sup>rd</sup> Floor, Tysons Corner, VA 22180</u>

5. If Applicant is a successor to a previously designated contract market, please complete the following:

- a. Date of Succession N/A
- b. Full name and address of predecessor designee N/A

NA				
Name				
Number ar	nd Street			
City	State	County	Zip Code	
Main Phon	e Number	Website URL		

## **BUSINESS ORGANIZATION**

- 6. Applicant is a:
- \_ Corporation
- \_ Partnership
- **<u>X</u>** Limited Liability Company
- \_\_\_\_ Other form of organization (specify)

7. Date of incorporation or formation: <u>March 14, 2007</u>

8. State of incorporation or jurisdiction of organization: <u>Delaware</u>

9. Applicant agrees and consents that the notice of any proceeding before the Commission in connection with its application for designation as a contract market may be given by sending such notice by certified mail to the person named below at the address given.

 Paul Cusenza, Chairman and Chief Executive Officer

 Print Name and Title

 Nodal Exchange, LLC

 Name of Applicant

 1921 Gallows Road, 3<sup>rd</sup> Floor

 Number and Street

 Tysons Corner
 VA

 22182

 City
 State

# SIGNATURES

10. The Applicant has duly caused this application or amendment to be signed on its behalf by the undersigned, hereunto duly authorized, this <u>20th</u> day of <u>December</u>, <u>2019</u>. The Applicant and the undersigned represent hereby that all information contained herein is true, current and complete. It is understood that all required items and Exhibits are considered integral parts of this Form DCM and that the submission of any amendment represents that all un-amended items and Exhibits remain true, current, and complete as previously filed.

Nodal Exchange, LLC\_

Name of Applicant

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Signature of Duly Authorized Person

Anita Herrera, General Counsel and Secretary Print Name and Title of Signatory